

# On local isometries between algebras of $C(Y)$ -valued differentiable maps

Rev. Real Acad. Cienc. Exactas. Fis. Nat. Sea. A-Mat. (2022) 116:108

M. Hosseini & A. Jiménez-Vargas & M.I. Ramírez

Banach Algebras and Applications 2022  
University of Granada (Spain)  
18-23, July 2022

Research supported by project UAL-FEDER Grant UAL2020-FQM-B1858,  
and by Junta de Andalucía Grants P20\_00255 and FQM194.



DEPARTAMENTO DE  
MATEMÁTICAS/UAL

# Maliheh Hosseini (University of Tehran)



# With María Isabel Ramírez (University of Almería)



# Notations

Let  $E$  and  $F$  be Banach spaces. Denote:

$$F^E = \{T \text{ is a mapping from } E \text{ to } F\},$$

$$\mathcal{B}(E, F) = \{T \text{ is a continuous linear operator from } E \text{ to } F\},$$

$$\text{Iso}(E, F) = \{T \text{ is a surjective linear isometry from } E \text{ to } F\}.$$

$K$  denotes either

$$[0, 1] = \{t \in \mathbb{R} : 0 \leq t \leq 1\}$$

or

$$\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}.$$

# Algebraic and topological reflexivity (Molnár '02)

Let  $E$  and  $F$  be Banach spaces and  $\emptyset \neq \mathcal{S} \subseteq \mathcal{B}(E, F)$ .

Define the **algebraic reflexive closure** of  $\mathcal{S}$  by

$$\text{ref}_{\text{alg}}(\mathcal{S}) = \{T \in \mathcal{B}(E, F) : \forall e \in E, \exists S_e \in \mathcal{S} \mid S_e(e) = T(e)\},$$

and the **topological reflexive closure** of  $\mathcal{S}$  by

$$\text{ref}_{\text{top}}(\mathcal{S}) = \left\{ T \in \mathcal{B}(E, F) : \forall e \in E, \exists \{S_{e,n}\}_{n \in \mathbb{N}} \subset \mathcal{S} \mid \lim_{n \rightarrow \infty} S_{e,n}(e) = T(e) \right\}$$

Clearly,  $\mathcal{S} \subseteq \text{ref}_{\text{alg}}(\mathcal{S}) \subseteq \text{ref}_{\text{top}}(\mathcal{S})$ .

The set  $\mathcal{S}$  is said to be **algebraically reflexive** if  $\text{ref}_{\text{alg}}(\mathcal{S}) \subseteq \mathcal{S}$ .

The set  $\mathcal{S}$  is said to be **topologically reflexive** if  $\text{ref}_{\text{top}}(\mathcal{S}) \subseteq \mathcal{S}$ .

## 2-Algebraic and 2-topological reflexivity (Šemrl '97)

Let  $E$  and  $F$  be Banach spaces and  $\emptyset \neq \mathcal{S} \subseteq \mathcal{B}(E, F)$ .

Define the **2-algebraic reflexive closure** of  $\mathcal{S}$ ,  $2\text{-ref}_{\text{alg}}(\mathcal{S})$ , by

$$\left\{ \Delta \in F^E : \forall e, u \in E, \exists S_{e,u} \in \mathcal{S} \mid S_{e,u}(e) = \Delta(e), S_{e,u}(u) = \Delta(u) \right\}$$

and the **2-topological reflexive closure** of  $\mathcal{S}$ ,  $2\text{-ref}_{\text{top}}(\mathcal{S})$ , by

$$\left\{ \Delta \in F^E : \forall e, u \in E, \exists \{S_{e,u,n}\}_{n \in \mathbb{N}} \subset \mathcal{S} \right. \\ \left. \mid \lim_{n \rightarrow \infty} S_{e,u,n}(e) = \Delta(e), \lim_{n \rightarrow \infty} S_{e,u,n}(u) = \Delta(u) \right\}.$$

Clearly,  $\mathcal{S} \subseteq 2\text{-ref}_{\text{alg}}(\mathcal{S}) \subseteq 2\text{-ref}_{\text{top}}(\mathcal{S})$ .

The set  $\mathcal{S}$  is called **2-algebraically reflexive** if  $2\text{-ref}_{\text{alg}}(\mathcal{S}) \subseteq \mathcal{S}$ .

The set  $\mathcal{S}$  is called **2-topologically reflexive** if  $2\text{-ref}_{\text{top}}(\mathcal{S}) \subseteq \mathcal{S}$ .

# More suggestive terminologies

Let  $E$  and  $F$  be Banach spaces.

$$\text{ref}_{\text{alg}}(\text{Iso}(E, F)) = \{\text{local isometries from } E \text{ to } F\},$$

$$\text{ref}_{\text{top}}(\text{Iso}(E, F)) = \{\text{approximate local isometries from } E \text{ to } F\}.$$

$$2\text{-ref}_{\text{alg}}(\text{Iso}(E, F)) = \{2\text{-local isometries from } E \text{ to } F\},$$

$$2\text{-ref}_{\text{top}}(\text{Iso}(E, F)) = \{\text{approximate 2-local isometries from } E \text{ to } F\}.$$

# Banach algebras of $C(Y)$ -valued differentiable maps

## Definition

Let  $K$  be either  $[0, 1]$  or  $\mathbb{T}$ , and let  $Y$  be a Hausdorff compact space. A mapping  $F \in C(K, C(Y))$  is said to be **continuously differentiable** if there exists a map  $G \in C(K, C(Y))$  such that

$$\lim_{x \rightarrow x_0} \left\| \frac{F(x) - F(x_0)}{x - x_0} - G(x_0) \right\|_{\infty} = 0$$

for every  $x_0 \in K$ . We denote  $F' = G$ .

The linear space

$$C^1(K, C(Y)) = \{F \in C(K, C(Y)) : F \text{ is continuously differentiable}\},$$

equipped with the  $\Sigma$ -norm:

$$\|F\|_{\Sigma} = \|F\|_{\infty} + \|F'\|_{\infty} \quad (F \in C^1(K, C(Y))),$$

is a unital semisimple commutative complex Banach algebra.



The unity is the mapping  $1_K \otimes 1_Y: K \rightarrow C(Y)$  given by

$$(1_K \otimes 1_Y)(x) = 1_K(x)1_Y = 1_Y \quad (x \in K).$$

Given  $f \in C^1(K)$  and  $g \in C(Y)$ , the map  $f \otimes g: K \rightarrow C(Y)$ , given by

$$(f \otimes g)(x) = f(x)g \quad (x \in K),$$

belongs to  $C^1(K, C(Y))$  with

$$\begin{aligned}\|f \otimes g\|_\infty &= \|f\|_\infty \|g\|_\infty, \\ \|(f \otimes g)'\|_\infty &= \|f'\|_\infty \|g\|_\infty, \\ \|f \otimes g\|_\Sigma &= \|f\|_\Sigma \|g\|_\infty.\end{aligned}$$

If  $\#(Y) = 1$ , then  $C(Y) \cong \mathbb{C}$ , and we write  $C^1(X) = C^1(X, C(Y))$ .

# Background

The reflexivity of the isometry group of spaces of differentiable mappings has been studied for:

- $C^1([0, 1])$ , the Banach algebra of all continuously differentiable complex-valued functions on  $[0, 1] \subseteq \mathbb{R}$   
(Hatori & Oi, '19).
- $C^{(n)}(X)$ , the Banach algebra of all  $n$ -times continuously differentiable complex-valued functions on an open subset  $X \subseteq \mathbb{R}$ .  
(Hosseini & JV, '21).
- $C^{(n)}(X, E)$ , the Banach space of all  $n$ -times continuously differentiable Banach-valued functions on an open subset  $X \subseteq \mathbb{R}^n$   
(Miao & X. Wang & Li & L. Wang, '20).

# Objective

Our main goal is to prove:

$\text{Iso}(C^1(K, C(Y)))$  is topologically reflexive and 2-topologically reflexive whenever  $\text{Iso}(C(Y))$  is topologically reflexive.

Is our result applicable? Yes, there are Hausdorff compact spaces  $Y$  for which  $\text{Iso}(C(Y))$  is topologically reflexive.

# FIRST PART

## THE TOOLS

# Spherical variants of Gleason–Kahane–Żelazko and Kowalski–Ślodkowski theorems

## Theorem (Li, Peralta, L. Wang & Y.-S. Wang, '19)

Let  $\mathcal{A}$  be a unital complex Banach algebra, and let  $F: \mathcal{A} \rightarrow \mathbb{C}$  be a continuous linear functional such that  $F(x) \in \mathbb{T}\sigma(x)$  for every  $x \in \mathcal{A}$ . Then  $\overline{F(\mathbf{1})}F$  is multiplicative.

## Theorem (Li, Peralta, L. Wang & Y.-S. Wang, '19)

Let  $\mathcal{A}$  be a unital complex Banach algebra, and let  $\Delta: \mathcal{A} \rightarrow \mathbb{C}$  be a function such that

- 1  $\Delta$  is homogeneous:  $\Delta(\lambda x) = \lambda\Delta(x)$  for every  $\lambda \in \mathbb{C}$  and  $x \in \mathcal{A}$ .
- 2  $\Delta$  satisfies the spectral condition:  $\Delta(x) - \Delta(y) \in \mathbb{T}\sigma(x - y)$  for every  $x, y \in \mathcal{A}$ .

Then  $\Delta$  is linear, and there exists  $\lambda_0 \in \mathbb{T}$  such that  $\lambda_0\Delta$  is multiplicative.

# Onto linear isometries of $C^1(K, C(Y))$ -spaces

## Theorem (Hatori & Oi, '18)

Let  $K$  be either  $[0, 1]$  or  $\mathbb{T}$  and let  $Y_1, Y_2$  be Hausdorff compact spaces. A map  $T: C^1(K, C(Y_1)) \rightarrow C^1(K, C(Y_2))$  is a surjective linear isometry with respect to the  $\Sigma$ -norms if and only if it has a **representation of BJ type**, that is, there exist:

- a function  $h \in C(Y_2, \mathbb{T})$ ,
- a function  $\phi \in C(K \times Y_2, K)$  with  $\phi^y \in \text{Iso}(K)$  for each  $y \in Y_2$ ,
- a mapping  $\tau \in \text{Homeo}(Y_2, Y_1)$ ,

such that

$$T(F)(x, y) = h(y)F(\phi(x, y), \tau(y)) \quad ((x, y) \in K \times Y_2),$$

for all  $F \in C^1(K, C(Y_1))$ .

-For each  $y \in Y$ ,  $\varphi^y: K \rightarrow K$  is defined by  $\varphi^y(x) = \phi(x, y)$  for all  $x \in K$ .  
- $\tau$  depends only on the second variable.

# Unital algebra homom. of $C^1(K, C(Y))$ -algebras

## Theorem (Hosseini & JV & Ramírez, '22)

Let  $K$  be either  $[0, 1]$  or  $\mathbb{T}$  and let  $Y_1, Y_2$  be Hausdorff compact spaces. If  $T$  is a unital algebra homomorphism of  $C^1(K, C(Y_1))$  to  $C^1(K, C(Y_2))$ , then there exist:

- a function  $\phi \in C(K \times Y_2, K)$  so that  $\phi^y \in C^1(K)$  for each  $y \in Y_2$ ,
- a map  $\tau \in C(K \times Y_2, Y_1)$ ,

such that

$$T(F)(x, y) = F(\phi(x, y), \tau(x, y)) \quad ((x, y) \in K \times Y_2)$$

for all  $F \in C^1(K, C(Y_1))$ .

## SECOND PART

### THE RESULTS



# Topological reflexivity in $C^1(K)$ -algebras

Using the descriptions of onto linear isometries and unital algebra homomorphisms of  $C^1(K)$ , the spherical variant of Gleason–Kahane–Żelazko theorem and the Arzelá–Ascoli theorem:

## Theorem (Hosseini & JV & Ramírez, '22)

For  $K = [0, 1]$  or  $\mathbb{T}$ ,  $\text{Iso}(C^1(K))$  is topologically reflexive.

# Topological reflexivity in $C^1(K, C(Y))$ -algebras

## Theorem (Hosseini & JV & Ramírez, '22)

Let  $K$  be either  $[0, 1]$  or  $\mathbb{T}$  and let  $Y_1, Y_2$  be Hausdorff compact spaces. Suppose that  $\text{Iso}(C(Y_1), C(Y_2))$  is topologically reflexive. Then

$$\text{Iso}(C^1(K, C(Y_1)), C^1(K, C(Y_2)))$$

is topologically reflexive.

## A sketch of the proof (steps 1–3)

Let  $T \in \text{ref}_{\text{top}}(\text{Iso}(C^1(K, C(Y_1)), C^1(K, C(Y_2))))$ . We prove that  $T$  has a **representation of BJ type**:

$$T(F)(x, y) = h(y)F(\phi(x, y), \tau(y)) \quad ((x, y) \in K \times Y_2),$$

for all  $F \in C^1(K, C(Y_1))$ , and so

$$T \in \text{Iso}(C^1(K, C(Y_1)), C^1(K, C(Y_2))).$$

Steps:

- (1) Using (Hat-Oi'18)**, for every  $F \in C^1(K, C(Y_1))$ , we have  $\|T(F)\|_\infty = \|F\|_\infty$ ,  $\|T(F)'\|_\infty = \|F'\|_\infty$  and  $\|T(F)\|_\Sigma = \|F\|_\Sigma$ .
- (2) Using (Hat-Oi'18)**, for every  $F \in C^1(K, C(Y_1))$ , there exist three sequences:  $\{h_{F,n}\}_{n \in \mathbb{N}}$  in  $C(Y_2, \mathbb{T})$ ,  $\{\phi_{F,n}\}_{n \in \mathbb{N}}$  in  $C(K \times Y_2, K)$  such that, for each  $y \in Y_2$ ,  $\phi_{F,n}^y \in \text{Iso}(K)$  for all  $n \in \mathbb{N}$ , and  $\{\tau_{F,n}\}_{n \in \mathbb{N}}$  in  $\text{Homeo}(Y_2, Y_1)$  satisfying that

$$\lim_{n \rightarrow \infty} \|h_{F,n}F(\phi_{F,n}, \tau_{F,n}) - T(F)\|_\Sigma = 0.$$

- (3)** There exists a  $h \in C(Y_2, \mathbb{T})$  such that  $T(1_K \otimes 1_{Y_1}) = 1_K \otimes h$ .

## A sketch of the proof (steps 4–7)

- (4) Using (Li-Per-Wan-Wan'19), for each  $(x, y) \in K \times Y_2$ , the functional  $S_{(x,y)}: C^1(K, C(Y_1)) \rightarrow \mathbb{C}$  defined by

$$S_{(x,y)}(F) = \overline{h(y)} T(F)(x, y) \quad (F \in C^1(K, C(Y_1))),$$

is linear, unital and multiplicative.

- (5) Using (Hos-JV-Ram'22), there exist two maps  $\phi \in C(K \times Y_2, K)$  with  $\phi^y \in C^1(K)$  for each  $y \in Y_2$ , and  $\tau \in C(K \times Y_2, Y_1)$  such that

$$T(F)(x, y) = h(y)F(\phi(x, y), \tau(x, y)) \quad ((x, y) \in K \times Y_2),$$

for all  $F \in C^1(K, C(Y_1))$ .

- (6) Using (Hat-Oi'18 & Hos-JV-Ram'22), for each  $y \in Y_2$ ,  $\phi^y \in \text{Iso}(K)$ .  
(7) Using Banach–Stone theorem, there exists a map  $\beta \in \text{Homeo}(Y_2, Y_1)$  such that

$$\beta(y) = \tau(x, y) \quad (y \in Y_2),$$

where  $x$  is any point of  $K$ .

## 2-Topological reflexivity in $C^1(K, C(Y))$ -algebras

Applying also the spherical variant of Kowalski–Słodkowski theorem:

### Corollary (Hosseini & JV & Ramírez, '22)

Let  $K$  be either  $[0, 1]$  or  $\mathbb{T}$  and let  $Y_1, Y_2$  be Hausdorff compact spaces. Suppose that  $\text{Iso}(C(Y_1), C(Y_2))$  is topologically reflexive. Then

$$\text{Iso}(C^1(K, C(Y_1)), C^1(K, C(Y_2)))$$

is 2-topologically reflexive.

MANY THANKS  
FOR YOUR TIME!