

Traces on locally compact groups

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Positive definite functions and traces

G – topological group

$u : G \rightarrow \mathbb{C}$ is positive definite if

$$\sum_{i,j=1}^n \overline{\lambda_i} u(s_j^{-1} s_i) \lambda_j \geq 0 \quad \begin{array}{l} \forall \lambda_1, \dots, \lambda_n \in \mathbb{C} \\ s_1, \dots, s_n \in G, n \in \mathbb{N} \end{array}$$

i.e. $[u(s_j^{-1} s_i)] \in M_n(\mathbb{C})$ is positive.

Note: $|u(s)| \leq u(e)$ as $\begin{bmatrix} u(e) & u(s) \\ u(s^{-1}) & u(e) \end{bmatrix} \geq 0$.

Basic spaces

$$P(G) = \{u \in \mathcal{C}(G) : u \text{ pos. def.}\}$$

$$P_1(G) = \{u \in P(G) : u(e) = 1\}$$

$$T(G) = \{u \in P_1(G) : u(st) = u(ts), \forall s, t \in G\}$$

Locally compact case

G – locally compact group, admits left Haar measure.

Hence $\mathcal{C}_c(G) \subseteq L^1(G)$ are involutive algebras

$$f * g(t) = \int_G f(s)g(s^{-1}t) ds, \quad f^*(t) = \overline{f(t^{-1})}\Delta(t^{-1})$$

Universal C^* -algebra:

$$C^*(G) = \overline{\mathcal{C}_c(G)} = \overline{L^1(G)}$$

(completion w.r.t. largest C^* -norm); $\lambda : L^1(G) \rightarrow \mathcal{B}(L^2(G))$,

$\lambda(f)h = f * h$ insures a norm.

States and tracial states

$$P_1(G) \cong S(C^*(G)), \quad \langle f, u \rangle = \int_G f(s)u(s) ds$$

$$T(G) \cong T(C^*(G))$$

Abelian and compact cases

$$G \text{ l.c. abelian} \Rightarrow \mathbb{T}(G) = P_1(G) \cong \text{Prob}(\widehat{G})$$

$$G \text{ compact} \Rightarrow \mathbb{T}(G) = \overline{\text{conv}} \left\{ \frac{1}{d_\pi} \chi_\pi : \pi \in \widehat{G} \right\}$$

Hence $\dim \mathbb{T}(G) = \infty$ if either

G/G' is infinite, or

G admits infinitely many f.d. (irreducible) rep'ns

Tracial kernel

Proposition (GNS)

If $u \in P_1(G)$, there is w.o.-continuous $\pi_u : G \rightarrow U(\mathcal{H}_u)$, $\xi \in \mathcal{H}_u$ unit, so $u = \langle \pi_u(\cdot)\xi | \xi \rangle$.

Proposition

- (i) $u \in P_1(G) \Rightarrow N_u = \{s \in G : u(s) = 1\}$ closed subgroup.
- (ii) $u \in T(G) \Rightarrow N_u = \ker \pi_u \triangleleft G$.

Idea. Use uniform convexity of \mathcal{H}_u .

Tracial kernel:

$$N_{\text{Tr}} = N_{\text{Tr}}(G) = \bigcap_{u \in T(G)} N_u.$$

Then $T(G) = T(G/N_{\text{Tr}}) \circ q_{N_{\text{Tr}}}$.

Related kernels

$$N_{\text{MAP}} = \bigcap_{N \in \mathcal{N}_{\text{MAP}}} N, \quad \mathcal{N}_{\text{MAP}} = \{N \triangleleft G : \text{closed}, G/N \in [\text{MAP}]\}$$

– von Neumann kernel, MAP = maximally almost periodic

$$N_{\text{SIN}} = \bigcap_{N \in \mathcal{N}_{\text{SIN}}} N, \quad \mathcal{N}_{\text{SIN}} = \{N \triangleleft G : \text{closed}, G/N \in [\text{SIN}]\}$$

– SIN = small invariant neighbourhood

Easy to show that $G/N_{\text{MAP}} \in [\text{MAP}]$.

Problem: G/N_{SIN} may not live in $[\text{SIN}]$.

If $N \in \mathcal{N}_{\text{SIN}}$ then $(G/N_{\text{SIN}})/(N/N_{\text{SIN}}) \cong G/N \in [\text{SIN}]$.

I.e. G/N_{SIN} is residually-SIN; write $G/N_{\text{SIN}} \in [\text{RSIN}]$.

An inclusion of classes

Theorem

$[\text{MAP}] \subset [\text{RSIN}]$.

Idea. $G_0 \cong V \times K$. If $\pi : G \rightarrow U(d)$, can show that $\ker \pi \in \mathcal{N}_{\text{SIN}}$.

Corollary

$G \in [\text{MAP}]$ and tot'ly disc'd $\Rightarrow G$ is residually discrete.

$G \in [\text{MAP}]$, tot'ly disc'd, & com. gen. $\Rightarrow G$ is residually finite.

Idea. There is a compact open subgroup $L \subseteq \ker \pi$.

If compactly generated, use [Mal'cev '40].

Inclusions of classes

$$\begin{array}{ccccc}
 [K] & \xrightarrow{\mathbb{Z}} & [RK] & \xrightarrow{\mathbb{Q}, \mathbb{Q}_p} & [MAP] = [RMAP] \\
 & \searrow & & & \downarrow \\
 & & [D] & \xrightarrow{\mathbb{R}} & [SIN] \longrightarrow [RSIN]
 \end{array}$$

Examples. $\Gamma \in [D]$, $\alpha \in F \subseteq \text{Aut}(\Gamma)$ finite,
 $\{\alpha(s)s^{-1} : s \in \Gamma\}$ infinite

$$G = \underbrace{\Gamma^{\oplus \mathbb{N}}}_{\text{discrete}} \rtimes \underbrace{F^{\mathbb{N}}}_{\text{compact}} \in [RSIN] \setminus [SIN].$$

(i) [Murakami '50] $\Gamma = \mathbb{Z}$, $F = \langle \text{inversion} \rangle$: $G \in [RK] \setminus [SIN]$

(ii) $\Gamma = \text{SL}_2(\mathbb{Q})$, $F = \left\langle \text{Ad} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \right\rangle$:

$G \in [RSIN] \setminus ([SIN] \cup [MAP])$ [von Neumann–Wigner '40]

Back to tracial kernel

Proposition

$$N_{\text{Tr}} \subseteq N_{\text{SIN}} \subseteq N_{\text{MAP}}$$

Idea. $N_{\text{SIN}} \subseteq N_{\text{MAP}}$ since $[\text{MAP}] \subset [\text{RSIN}]$.

If $N \in \mathcal{N}_{\text{SIN}}$, U rel. com. inv. nbhd. of eN in G/N , then

$$u_U = \frac{1}{m(U)} \langle \lambda_{G/N}(\cdot) 1_U | 1_U \rangle \in \text{T}(G).$$

Remark. If there is non-open $N \in \mathcal{N}_{\text{SIN}}$, or infinite index $N \in \mathcal{N}_{\text{MAP}}$, then $\dim \text{T}(G) = \infty$.

Question. Is there G s.t. $N_{\text{Tr}} \subsetneq N_{\text{SIN}}$?

The role of compact generation

Proposition

Let H be a top'l group, with a family \mathcal{B} of open invariant subsets with $\bigcap_{B \in \mathcal{B}} B = \{e\}$. Suppose G is com. gen., & admits cts. injective hom'm $\varphi : G \rightarrow H$. Then $G \in [\text{SIN}]$.

- (i) G com. gen. $\Rightarrow N_{\text{Tr}} = N_{\text{SIN}}$.
- (ii) G com. gen. in $[\text{RSIN}] \Rightarrow G \in [\text{SIN}]$.

Essential idea in [Hofmann-Mostert '63], used to show com. gen. MAP is SIN.

- (i) $u \in \text{T}(G)$, $\varphi : G/N_u \rightarrow \pi_u(G) \subseteq U(\mathcal{H}_u)$, s.o.t.=w.o.t.
- (ii) $\varphi(s) = (q_N(s))_{N \in \mathcal{N}_{\text{SIN}}} : G \rightarrow \prod_{N \in \mathcal{N}_{\text{SIN}}} G/N$

$$[\text{K}] \xrightarrow{\mathbb{Z}, \mathbb{R}} [\text{RK}] \xrightarrow{\mathbb{R}^2 \rtimes \mathbb{C}_3} [\text{MAP}] \xrightarrow{BS} [\text{SIN}]$$

Connected group G

[Freudenthal '36/Weil '40] G connected: then

$$G \in [\text{SIN}] \Leftrightarrow G \in [\text{MAP}] \Leftrightarrow G = V \times K.$$

Consequence. $N_{\text{Tr}} = N_{\text{MAP}}$

Lie case. R – solvable radical, $S = S_c S_{nc}$ – Levi complement

$$[\text{Shtern '10}] N_{\text{MAP}} = \overline{[R, G] S_{nc}}$$

Consequence. $G/N_{\text{Tr}} = V \times K$, V quot. of max'l vector subgp. of $G/\overline{[R, G]}$, semisimple part of K loc. isom. to S_c .

If G is simply connected, then $V = G/\overline{[R, G]}$ and $K = S_c$.

Reduced traces

$\lambda : G \rightarrow U(L^2(G))$ left reg. rep'n,

$$P_r(G) = \overline{\{\langle \lambda(\cdot)h|h\rangle : h \in L^2(G)\}}^{uc} = \{\langle \pi(\cdot)\xi|\xi\rangle : \xi \in \mathcal{H}_\pi, \pi \prec \lambda\}$$

(' \prec ' = "weakly contained")

$$C_r^*(G) = \overline{\lambda(L^1(G))} = \overline{\lambda(C_c(G))} \subseteq \mathcal{B}(L^2(G))$$

Reduced traces:

$$T_r(G) = P_r \cap T(G)$$

Existence of reduced traces I

Lemma

G totally disconnected and SIN $\Leftrightarrow G$ pro-discrete.

Theorem [Forrest–S.–Wiersma '17]

TFAE for G compactly generated:

- (i) $T_r(G) \neq \emptyset$
- (ii) \mathcal{N}_{SIN} admits an amenable element
- (iii) G admits an open normal amenable subgroup
- (iv) $N_{\text{Tr}}^r = \bigcap_{u \in T_r(G)} N_u$ ($= G$ if $T_r(G) = \emptyset$) is amenable

(i) \Rightarrow (ii) $u \in T_r(G)$, $1 \in P_r(G)|_{N_u} \Rightarrow N_u$ amen.; $G/N_u \in [\text{SIN}]$.

(ii) \Rightarrow (iii) $N \in \mathcal{N}_{\text{SIN}}$ amenable, $(G/N)/\underline{(G/N)}_0$ has open compact normal L , $V \times K \cong (G/N)_0 \rightarrow L \rightarrow L$, $L \subset G/N$ open normal.

Hence if G connected: $T_r(G) \neq \emptyset \Leftrightarrow G$ amenable.

Existence of reduced traces II

$AR(G)$ – amenable radical

Theorem [Kennedy–Raum '17]

$T_r(G) \neq \emptyset \Leftrightarrow AR(G)$ open. In this case

$$T_r(G) \cong \{u \in T(AR(G)) : u(srs^{-1}) = u(r) \forall s \in G, r \in AR(G)\}.$$

Hence

$$T_r(G) \cong \left\{ u \in T(AR(G)/N_{Tr}^r) : \begin{array}{l} u(srs^{-1}N_{Tr}^r) = u(rN_{Tr}^r) \\ \forall s \in G, r \in AR(G) \end{array} \right\}.$$

Groups with(out) unique reduced trace

Theorem

If A is non-discrete abelian, Γ is fin. gen. disc., $\Gamma \curvearrowright A$ irred.
then $N_{\text{Tr}}^r(A \rtimes \Gamma) \cap A$ either $\{e\}$ or A ;
former case $\Leftrightarrow \Gamma \curvearrowright A$ factors through compact $K \curvearrowright A$.

Idea. A either connected or tot. disc'd., $A \rtimes \Gamma$ com. gen.
If $N_{\text{Tr}}^r(A \rtimes \Gamma) \cap A = \{e\}$, Ascoli Thm. of [Grosser-Moskowitz '71].

Proposition

K – compact, Γ – disc., $\Gamma \curvearrowright K$
 $N_{\text{Tr}}^r(K \rtimes \Gamma) \cap K = K$ unless $X_K = \{\frac{1}{d_\pi} \chi_\pi : \pi \in \widehat{G}\}$ admits
non-trivial finite Γ -orbit.

Remark. semi-direct products can be replaced by extensions, e.g.
 $A \rightarrow G \rightarrow \Gamma$

Groups with unique reduced trace: Examples

Discrete examples

[Powers '75] $\mathrm{Tr}(F_2) = \{1_{\{e\}}\}$, i.e. $\mathrm{AR}(F_2) = \{e\}$.

[Pashcke-Salinas '79] free products

[de la Harpe '83, Bekka-Cowling-de la Harpe '94] $\mathrm{PSL}_n(\mathbb{Z})$ etc.

Non-discrete examples.

Below, $G = A \rtimes \Gamma$ or $K \rtimes \Gamma$.

- (i) $\Gamma = F_2$, $q : F_2 \rightarrow \mathbb{Z}^2$, $\eta : \mathbb{Z}^2 \rightarrow \mathbb{R}$, $\eta(m, n) = m + \sqrt{2}n$
 $M \in \mathrm{GL}_2(\mathbb{R})$, $\sigma(M) \subset \mathbb{C} \setminus (\mathbb{R} \cup \mathbb{T})$.
 $s \in F_2$, $v \in A = \mathbb{R}^2$, $s \cdot v = \exp(iM\eta \circ q(s))v$
- (ii) $d \geq 3$ odd, $\Gamma = \mathrm{SL}_d(\mathbb{Z}) = \mathrm{PSL}_d(\mathbb{Z})$, $K = \mathbb{T}^d$; $A = \mathbb{R}^d$.
- (iii) $\mathrm{AR}(\Gamma) = \{e\}$, $L \supsetneq \{e\}$ compact, $K = L^\Gamma$, shift action.
- (iv) $\Gamma = \mathrm{PSL}_2(\mathbb{Z}[1/p])$, $A = \mathrm{M}_2(\mathbb{Q}_p)/\mathbb{Q}_p \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$, $\sigma \cdot x = \sigma x \sigma^T$.

Amenable reduced traces

Fact. $u \in T(G) \Rightarrow \tilde{u}(s, t) = u(st^{-1})$ is in $P(G \times G)$.

If $u \in T_r(G)$ then $\tilde{u} \in S(C_r^*(G) \otimes_{\max} C_r^*(G))$.

$T_{\text{am},r}(G) = \{u \in T_r(G) : \tilde{u} \in P_{1,r}(G \times G) \cong S(C_r^*(G) \otimes_{\min} C_r^*(G))\}$

[Lance '73] Γ disc.: $C_r^*(\Gamma)$ nuclear $\Leftrightarrow \Gamma$ amenable.

[Ng '15] $C_r^*(G)$ nuclear & $T_r(G) \neq \emptyset \Leftrightarrow G$ amenable.

Theorem

TFAE

- (i) G amenable
- (ii) $T_{\text{am},r}(G) \neq \emptyset$
- (iii) $C_r^*(G)$ nuclear & $T_r(G) \neq \emptyset$

Idea. (ii) \Rightarrow (i) $u \in T_{\text{am},r}(G)$, $1 \cong \tilde{u}|_{G_D} \in P_r(G)$.

AF-embedability and quasi-diagonality

Theorem

If G is 2nd countable then TFAE

- (i) $C_r^*(G)$ embeds into a simple unital AF-algebra;
- (ii) $C_r^*(G)$ admits a faithful amenable trace; and
- (iii) G is amenable and $N_{\text{Tr}} = \{e\}$.

Use AF-embedability theorem of [Schafhauser '20].

Corollary

G amenable, $N_{\text{Tr}} = \{e\}$, then $C_r^*(G)$ is quasi-diagonal.

Use [Lau-Losert '90] approximation of σ -compact by metrizable.

Certain solvable Lie G have non-QD $C_r^*(G)$, [Beltiță-Beltiță '21].

Reference and Thank-you

arXiv:2206.01771 (Just look for Forrest, Spronk, or Wiersma.)

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I hope to see you all in Waterloo in 2024.