# r-Fredholm theory in general ordered Banach algebras

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(This is joint work with Sonja Mouton)

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# $a \in A$

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$$\sigma(a, A) = \{\lambda \in \mathbb{C} : \lambda \mathbf{1} - a \notin A^{-1}\}$$
: the spectrum of  $a$  in  $A$ 

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σ(a, A) = {λ ∈ C : λ1 − a ∉ A<sup>-1</sup>} : the spectrum of a in A
r(a, A) := sup<sub>λ∈σ(a,A)</sub> |λ|: spectral radius of a in A

•  $p(a, \lambda)$ : spectral idempotent of  $a \in A$  corresponding to  $\lambda \in iso \sigma(a)$ 

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• T has the strong Riesz property whenever  $\sigma(a) \subseteq \eta \sigma(Ta) \cup \text{iso } \sigma(a)$  $(- \Lambda) \subset n\sigma(Ta, B)$ for all  $a \in A$ .

acc 
$$\sigma(a, A) \subseteq \eta \sigma(I)$$

strong Riesz  $\implies$  Riesz

•  $\eta K$ : Connected hull of a compact set  $K \subseteq \mathbb{C}$ (the complement of the unique unbounded component of  $\mathbb{C} \setminus K$ )

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$$\pi:\mathcal{L}(X) 
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#### Fredholm theory in Banach algebras and some motivation

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 $\mathsf{Clearly,\ invertible}\ \Rightarrow \mathsf{almost\ invertible}\ \mathsf{Fredholm}\ \Rightarrow\ \mathsf{Browder}$ 

Theorem (Harte ,1982)

almost invertble Fredholm = Browder

 $\label{eq:tau} \begin{array}{l} \text{if and only if} \\ \mathcal{T}: \mathcal{A} \to \mathcal{B} \text{ has the Riesz property} \end{array}$ 

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#### Theorem (R. Harte, S. Živković-Zlatanović, 2014)

If  $T: A \rightarrow B$  has the strong Riesz property, then

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Now for  $T \in \mathcal{L}(E)$ :  $\bigcap_{S \in K \cap \mathcal{K}(E)} \sigma(T + S)$ , *K*: positive operators on *E* 

# For $T \in K$ , does $r(T) \notin \sigma(\pi T)$ imply $r(T) \notin \bigcap_{S \in K \cap \mathcal{K}(E)} \sigma(T+S)$ ?

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For  $T \in \mathcal{L}(E)$ ,  $\omega_{\pi}(T) = \bigcap_{S \in K \cap \mathcal{K}(E)} \sigma(T+S).$ 

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•  $\mathcal{F}(E) \subseteq \operatorname{span}(K \cap \mathcal{K}(E))$ 

#### Natural generalization

Ordered Banach algebra  $(A, C), a \in A$ :  $\bigcap_{c \in C \cap N(T)} \sigma(a + c)$ 

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$$\omega_{\mathcal{T}}(a) := \bigcap_{c \in \mathbb{N}(\mathcal{T})} \sigma(a+c) \subsetneq \bigcap_{c \in C \cap \mathbb{N}(\mathcal{T})} \sigma(a+c)$$

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Let K := [0,1] and  $T : C(K, \mathbb{C}) \to C(K, \mathbb{C})$  be the homomorphism induced by composition with the unit function **1**; i.e.

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• In ordered Banach algebras, we have information about p(a, r(a))
### *r*-Fredholm theory in (ordered) Banach algebras

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#### Example

Let K and L be compact Hausdorff spaces and  $T : C(K) \to C(L)$  be the homomorphism induced by composition with the continuous map  $\theta : L \to K$ ; i.e.

$$Tf = f \circ \theta$$
 for all  $f \in C(K)$ .

Then

- r-Fredholm elements:  $\{f \in C(K) : r(f) \notin f(\theta(L))\}$
- r-Browder elements =  $\begin{cases} C(K)^r & \text{if } \theta(L) = K \\ C(K) & \text{otherwise} \end{cases}$

#### Example

Let K and L be compact Hausdorff spaces and  $T : C(K) \to C(L)$  be the homomorphism induced by composition with the continuous map  $\theta : L \to K$ ; i.e.

$$Tf = f \circ \theta$$
 for all  $f \in C(K)$ .

Then

- r-Fredholm elements:  $\{f \in C(K) : r(f) \notin f(\theta(L))\}$
- r-Browder elements =  $\begin{cases} C(K)^r & \text{if } \theta(L) = K \\ C(K) & \text{otherwise} \end{cases}$

#### Example

Let  $A = M_2^u(\mathbb{C})$  and  $T : A \to \mathbb{C}$  be the homomorphism defined by  $Ta = a_1$ , where  $a := \begin{pmatrix} a_1 & a_2 \\ 0 & a_4 \end{pmatrix}$ . Then • *a* is *r*-Fredholm if and only  $r(a) \neq a_1$ • *r*-Browder elements:  $A \setminus \left\{ \begin{pmatrix} x & y \\ 0 & x \end{pmatrix} : x \ge 0 \text{ and } y \neq 0 \right\}$ 

If  $T: A \rightarrow B$  has the Riesz property, then

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Theorem [Benjamin, Mouton, 2020]

If  $T: A \rightarrow B$  has the strong Riesz property, then

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 $\iff$  *a* is *r*-Fredholm

*a* is an almost *r*-invertible *r*-Fredholm element  $\downarrow$  *a* is contractive *r*-Browder

 *a* is **a positive** almost *r*-invertible *r*-Fredholm element ↓

a = b + c, bc = cb, where b is r-invertible,  $c \in C \cap N(T)$  and  $r(b) \leq r(a)$ 

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### Theorem (Benjamin and Mouton)

Let  $A = A_1 \oplus \cdots \oplus A_n$ , where each  $A_j$  (j = 1, ..., n) is a finite-dimensional simple OBA with algebra cone  $C_j$ , and  $C = C_1 \oplus \cdots \oplus C_n$ . W.r.t. any  $T : A \to B$ ,

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#### Corollary

Any finite-dimensional semisimple OBA is algebraically isomorphic to an OBA (A, C) with the property that, w.r.t. any  $T : A \rightarrow B$ ,

positive almost *r*-invertible *r*-Fredholm  $\Rightarrow$  contractive upper *r*-Browder

Let (A, C) be an OBA with <u>closed</u> algebra cone C. W.r.t. any  $T : A \rightarrow B$ ,







Let (A, C) be a semisimple OBA with closed algebra cone. If A is either commutative or C is proper and inverse-closed, then, w.r.t. a homomorphism  $T : A \to B$  with the strong Riesz property,

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- proper:  $C \cap -C = \{0\}$
- inverse-closed:  $a \in C \cap A^{-1} \Longrightarrow a^{-1} \in C$

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### Let (A, C) be a Dedekind complete OBA

Dedekind complete:

Every non-empty order-bounded set in A has a supremum

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Let (A, C) be a Dedekind complete OBA which has a disjunctive product and with closed and normal algebra cone C.

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if  $0 \le a \le b$ , then  $||a|| \le \alpha ||b||$ 

Let (A, C) be a <u>Dedekind complete</u> OBA which has a <u>disjunctive product</u> and with closed and <u>normal</u> algebra cone C. W.r.t. a homomorphism  $T: A \to B$  with the strong Riesz property such that the spectral radius in  $(A/\overline{\mathbb{N}(T)})$  is weakly monotone,

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 $p_i(\mathbf{1}-p_{i-1})ap_i(\mathbf{1}-p_{i-1})$ 

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for all  $i \in \{1, ..., n\}$  such that  $r(p_i(1 - p_{i-1})ap_i(1 - p_{i-1})) = r(a)$ .

Dedekind complete: Every non-empty order-bounded set in A has a supremum
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### Upper Browder spectrum property

Let (A, C) be an OBA and  $T : A \rightarrow B$  an algebra homomorphism. Then  $a \in C$  has the upper Browder spectrum property if

$$r(a) \notin \sigma(Ta, B) \Longrightarrow r(a) \notin \beta_T^+(a)$$

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Any finite-dimensional semisimple OBA is algebraically isomorphic to an OBA (A, C) with the property that all positive elements in A has the upper Browder spectrum property relative to arbitrary algebra homomorphisms  $T : A \rightarrow B$ .
Let (A, C) be an OBA with closed algebra cone C. W.r.t. any  $T : A \to B$ , *a* positive almost *r*-invertible *r*-Fredholm  $\Rightarrow$  upper r(a) simple pole of the resolvent of a *r*-Browder

Let (A, C) be an OBA with closed algebra cone C. W.r.t. any  $T : A \to B$ , *a* positive almost *r*-invertible *r*-Fredholm  $\Rightarrow$  upper r(a) simple pole of the resolvent of a *r*-Browder

Let (A, C) be an OBA with closed algebra cone C and  $T : A \to B$  an algebra homomorphism satisfying the Riesz property. If  $a \in C$  with r(a) a simple pole of the resolvent of a, then

$$r(a) \notin \sigma(Ta, B) \Longrightarrow r(a) \notin \beta_T^+(a).$$

Let (A, C) be a Dedekind complete semisimple OBA which has a disjunctive product and with closed and normal algebra cone C. W.r.t. a homomorphism  $T : A \to B$  with the strong Riesz property such that the spectral radius in  $(A/\overline{N(T)})$  is weakly monotone,

 $\begin{array}{ll} a \text{ positive almost } r\text{-invertible } r\text{-Fredholm} & p_i(\mathbf{1} - p_{i-1})ap_i(\mathbf{1} - p_{i-1}) \\ \implies & \text{contractive upper} \\ & \text{spectrally order continuous} & r\text{-Browder} \end{array}$ for all  $i \in \{1, \ldots, n\}$  such that  $r(p_i(\mathbf{1} - p_{i-1})ap_i(\mathbf{1} - p_{i-1})) = r(a)$ .

Let (A, C) be a Dedekind complete semisimple OBA which has a disjunctive product and with closed and normal algebra cone C. W.r.t. a homomorphism  $T : A \to B$  with the strong Riesz property such that the spectral radius in  $(A/\overline{N(T)})$  is weakly monotone,

a positive almost *r*-invertible *r*-Fredholm

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 $\Rightarrow$  contractive upper

*r*-Browder

for all  $i \in \{1, \ldots, n\}$  such that  $r(p_i(1-p_{i-1})ap_i(1-p_{i-1})) = r(a)$ .

Let (A, C) be a Dedekind complete semisimple OBA which has a disjunctive product and with closed and normal algebra cone C. Also, suppose that  $T : A \to B$  is an algebra homomorphism satisfying the strong Riesz property such that the spectral radius function in  $(A/\overline{N(T)})$  is weakly monotone. If  $a \in C$  is a spectrally order continuous element, then

$$r(a) \notin \sigma(Ta, B) \Longrightarrow r(a) \notin \bigcup_{i=1} \beta^+_T(p_i(1-p_{i-1})ap_i(1-p_{i-1}))$$

## Thank you for your attention