

Ideals in the algebra of operators on Baernstein's space

James Smith

Lancaster University, UK

Lancaster University

Summary

- 1 Introduction
- 2 Some classical operator ideal results
- 3 Schreier and Tsirelson spaces + 2019 theorem
- 4 Baernstein's space
- 5 Some context

Introduction

- (i) Let X be a Banach space over \mathbb{R} or \mathbb{C} . A sequence $(b_n)_{n \in \mathbb{N}}$ is a *basis* if, for each $x \in X$, there exist unique constants $\alpha_n \in \mathbb{R}$ (or \mathbb{C}) such that $x = \sum_{n \in \mathbb{N}} \alpha_n b_n$.
- (ii) A basis is *unconditional* if $x = \sum_{n \in \mathbb{N}} \alpha_{\pi(n)} b_{\pi(n)}$ where π is any permutation of \mathbb{N} .
- (iii) Two bases $(b_n), (d_n)$ are *equivalent* if convergence of $\sum_n \alpha_n b_n$ is equivalent to convergence of $\sum_n \alpha_n d_n$.

Consider the Banach algebra:

$$\mathcal{B}(X) = \{T : X \rightarrow X, T \text{ is bounded and linear}\}$$

Objective: to understand the lattice of closed, (two-sided) ideals of $\mathcal{B}(X)$.

General Ideal Structure

If $X \neq \{0\}$, $\mathcal{F}(X) = \{T \in \mathcal{B}(X) : \dim(TX) < \infty\}$ is the smallest non-zero ideal of $\mathcal{B}(X)$.

$\widehat{\mathcal{F}}(X) \subseteq \mathcal{K}(X) = \{T \in \mathcal{B}(X) : T(B_1(0)) \text{ is relatively compact}\}$, which is also an ideal.

If X has a basis, then $\overline{\mathcal{F}(X)} = \mathcal{K}(X)$.

Some classical operator ideal results

Theorem

(Calkin, *Ann. of Math.* 1941). Let H be a separable Hilbert space with $\dim(H) = \infty$. Then $\mathcal{B}(H)$ has a single non-trivial closed ideal:

$$(0) \subsetneq \mathcal{K}(H) \subsetneq \mathcal{B}(H).$$

Theorem

(Gohberg-Markus-Feldman, *Bul. Akad. Štiințe RSS Moldoven* 1960). Let $X = c_0$ or $X = l_p$, where $1 \leq p < \infty$. Then $\mathcal{B}(X)$ has a single non-trivial closed ideal:

$$(0) \subsetneq \mathcal{K}(X) \subsetneq \mathcal{B}(X).$$

Schreier space

Schreier space origin: Banach and Saks proved that every weakly convergent sequence in $L_p([0, 1])$, there exists a subsequence whose arithmetic means converge in norm. Schreier proved in 1930, this property fails to hold in $C[0, 1]$. A product of this investigation was the Schreier space.

We say a finite subset $M \subset \mathbb{N}$ is **admissible** if $|M| \leq \min M$. Let S_1 be the collection of admissible sets. For $(x_n) \in c_{00}$:

$$\|(x_n)\|_{S_1} := \sup_{M \in S_1} \sum_{m \in M} |x_m|.$$

Then the Schreier space $X[S_1]$ is the completion of c_{00} with respect to this norm.

properties of $X[S_1]$

Proofs of the following can be found in Casazza and Shura's book 'Tsirelson's Space':

Lemma

The standard unit vector basis is an unconditional basis for $X[S_1]$.

c_0 embeds into $X[S_1]$; in fact, every infinite dimensional subspace of $X[S_1]$ contains a complemented copy of c_0 .

in particular, $X[S_1]$ is not reflexive.

l_1 does not embed into $X[S_1]$.

higher order Schreier spaces

Definition: (Alspach and Argyros, Diss. Math. 1992). Let:

$$S_0 := \{\{k\} : k \in \mathbb{N}\}.$$

We say $E < F$ when $\max E < \min F$. For $n \in \mathbb{N}_0$ recursively define the $(n + 1)$ th Schreier family by:

$$S_{n+1} := \left\{ \bigcup_{j=1}^m E_j : m \in \mathbb{N}, E_1, \dots, E_m \in S_n, m \leq \min E_1, E_1 < \dots < E_m \right\}$$

For $(x_n) \in c_{00}$:

$$\|(x_n)\|_{S_n} := \sup_{M \in S_n} \sum_{m \in M} |x_m|.$$

Then the Schreier space $X[S_n]$ is the completion of c_{00} with respect to this norm.

Tsirelson's space and properties

Tsirelson's space origin: T was the first example (1974) of a Banach space lacking copies of c_0 or any ℓ_p .

Lemma

The standard unit vector basis is an unconditional basis for T .

T is reflexive.

Introducing spatial ideals

If X has an unconditional basis (b_n) :

Define $P_E(\sum_{n \in \mathbb{N}} \alpha_n b_n) = \sum_{n \in E} \alpha_n b_n$.

An ideal \mathcal{I} of $\mathcal{B}(X)$ is *spatial* if $\mathcal{I} = \overline{\langle P_E \rangle}$ (two-sided) for some $E \subseteq \mathbb{N}$.

If $E \subset \mathbb{N}$ is finite and X has a basis, $\mathcal{K}(X) = \overline{\langle P_E \rangle}$.

We call a spatial ideal \mathcal{I} non-trivial if $\mathcal{K}(X) \subsetneq \mathcal{I}$.

Ideals in $\mathcal{B}(X[S_n])$ and $\mathcal{B}(T)$

The following classification is due to Beanland, Kania and Laustsen (2019):

Theorem

Let X denote either Tsirelson's space T or the Schreier space $X[S_n]$.

(i) The family of non-trivial spatial ideals in $\mathcal{B}(X)$ is non-empty and contains no minimal or maximal elements.

(ii) Let $\mathcal{I}_1 \subsetneq \mathcal{I}_2$ be spatial ideals in $\mathcal{B}(X)$. There is a family $\{\Gamma_\lambda : \lambda \in \Lambda\}$ of uncountably large chains of spatial ideals, such that:

The indexing set Λ has cardinality of the continuum;

for each $\lambda \in \Lambda$, $\mathcal{I}_1 \subsetneq J \subsetneq \mathcal{I}_2 \quad \forall J \in \Gamma_\lambda$;

For distinct $\lambda, \mu \in \Lambda$, $\mathcal{L} \in \Gamma_\lambda$ and $\mathcal{M} \in \Gamma_\mu \implies \overline{\mathcal{L} + \mathcal{M}} = \mathcal{I}_2$.

(iii) The algebra $\mathcal{B}(X)$ contains at least continuum many maximal ideals.

Approach for $X[S_n]$ and T

A central property enabling this classification is the following result. For a Banach space X with basis (b_n) , define $X_M := \overline{\text{span}}(b_m : m \in M)$ where $M \subseteq \mathbb{N}$.

Theorem

In $X = X[S_n]$ or T , the spaces $X_M \cong X_L$ if and only if $(e_l)_{l \in L}$ is equivalent to $(e_m)_{m \in M}$.

For $X[S_n]$ this is due to Gasparis and Leung (1999), and for T is due to Casazza, Johnson and Tzafriri.

Lemma

In $X[S_n]$ and T , the ideals $\langle P_M \rangle = \langle P_L \rangle$ iff $X_M \cong X_L$.

Question: How do we determine when (e_l) is equivalent to (e_m) ?

The Gasparis-Leung Index

Let $L, M \subseteq \mathbb{N}$ be infinite and $\varphi(l_n) = m_n$. First we have the **pre-index** on finite subsets $A \subset \mathbb{N}$:

$$\tau(A) := \min \left\{ n \in \mathbb{N} : A \subseteq \bigcup_{j=1}^n E_j, E_1 < \dots < E_n \text{ are in } S_1 \right\}.$$

This leads to the full **Gasparis-Leung index**:

$$d(L, M) := \sup \{ \tau(\varphi^{-1}A) : A \in S_1 \text{ and } A \subset M \}.$$

Intuition: d measures the extent to which admissibility is lost when passing through φ^{-1} . Then:

Theorem

In $X[S_1]$, the subsequences $(e_l)_{l \in L}$ and $(e_m)_{m \in M}$ are equivalent iff $d(L, M), d(M, L)$ are finite.

p th Baernstein space

Baernstein Space origin: A Banach space purpose-built to be reflexive and fail the Banach-Saks property: fix $1 < p < \infty$. Let $x \in c_{00}$. Given some S_1 sets $E_1 < \dots < E_m$, we define:

$$\mu_p(x, (E_j)_{j=1}^m) = \left(\sum_{j=1}^m \left(\sum_{i \in E_j} |x_i| \right)^p \right)^{\frac{1}{p}}.$$

Then $\|x\|_{B_p} = \sup\{\mu_p(x, (E_j)_{j=1}^m) : E_1 < \dots < E_m \text{ are } S_1 \text{ sets}\}$. The completion of c_{00} with respect to this norm is B_p .

The original space was where $p = 2$.

Properties of B_p

Theorem

(Seifert. 1977.) In the p th Baernstein space:

Each B_p is reflexive, but fails the Banach-Saks property. But B_p^ has the Banach-Saks property.*

Every infinite-dimensional subspace of B_p contains a complemented copy of l_p .

$\mathcal{B}(B_p, B_q) = \mathcal{K}(B_p, B_q)$ whenever $p > q$.

The main Theorem on B_p

New result (Joint work with Niels Laustsen): the **same** classification of $X[S_n]$ and T holds for B_p :

Theorem

- (i) *The family of non-trivial spatial ideals in $\mathcal{B}(B_p)$ is non-empty and contains no minimal or maximal elements.*
- (ii) *Let $\mathcal{I}_1 \subsetneq \mathcal{I}_2$ be spatial ideals in $\mathcal{B}(B_p)$. There is a family $\{\Gamma_\lambda : \lambda \in \Lambda\}$ of uncountably large chains of spatial ideals, such that:*
- The indexing set Λ has cardinality of the continuum;*
 - for each $\lambda \in \Lambda$, $\mathcal{I}_1 \subsetneq J \subsetneq \mathcal{I}_2 \quad \forall J \in \Gamma_\lambda$;*
 - For distinct $\lambda, \mu \in \Lambda$, $\mathcal{L} \in \Gamma_\lambda$ and $\mathcal{M} \in \Gamma_\mu \implies \overline{\mathcal{L} + \mathcal{M}} = \mathcal{I}_2$.*
- (iii) *The algebra $\mathcal{B}(B_p)$ contains at least continuum many maximal ideals.*

Approach for B_p

Surprisingly, the **Gasparis-Leung index** also characterises when subsequences of (e_n) are equivalent:

Theorem

In B_p , the subsequences $(e_l)_{l \in L}$ and $(e_m)_{m \in M}$ are equivalent iff $d(L, M), d(M, L)$ are finite.

And we can draw the same conclusion:

Theorem

If $X = B_p$, the spaces $X_M \cong X_L$ if and only if $(e_l)_{l \in L}$ is equivalent to $(e_m)_{m \in M}$.

Then, the algebraic machinery of Beanland, Kania and Laustsen can be applied to produce the main theorem.

context

(i) $X[S_n]$ and T were not the first examples of separable spaces with infinitely many closed operator ideals. The following is a special case of Porta's example (Bull. Amer. Math. Soc.1969): let

$$2 < p_1 < p_2 < \dots$$

$$X = \left(\bigoplus_{n \in \mathbb{N}} \ell_{p_n} \right)_{\ell_2}.$$

(ii) Mankiewicz and Dales–Loy–Willis have independently constructed separable Banach spaces X such that $\mathcal{B}(X)$ admits a bounded, surjective algebra homomorphism φ onto ℓ_∞ and therefore $\{\varphi^{-1}(\mathcal{M}) : \mathcal{M} \text{ is a maximal ideal of } \ell_\infty\}$ is a family of cardinality 2^c of maximal ideals of $\mathcal{B}(X)$.

The End