# Compact perturbations of operator semigroups 

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## Formulation of the problem

## Notation:

- $\mathcal{H}$ inf. dim. separable Hilbert space
- $\mathcal{B}(\mathcal{H})$ bounded linear operators on $\mathcal{H}$
- $\mathcal{K}(\mathcal{H})$ compact operators on $\mathcal{H}$
- $\mathcal{Q}(\mathcal{H})$ Calkin algebra, i.e. $\mathcal{B}(\mathcal{H}) / \mathcal{K}(\mathcal{H})$
- $\pi: \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{Q}(\mathcal{H})$ quotient map
- $\mathbb{H}$ Hilbert space of density $\mathfrak{c}$, so that there is an isometric *-isomorphism from $\mathcal{Q}(\mathcal{H})$ into $\mathcal{B}(\mathbb{H})$ (Calkin, 1941)


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## Problem (general formulation)

Assume $(Q(t))_{t \geqslant 0} \subset \mathcal{B}(\mathcal{H})$ is a family of (normal) operators such that

$$
Q(s+t)-Q(s) Q(t) \in \mathcal{K}(\mathcal{H}) \quad \text { for all } s, t \geqslant 0
$$

Can it be, under natural circumstances, lifted to an operator semigroup? In other words, does there exist an operator semigroup $(T(t))_{t \geqslant 0} \subset \mathcal{B}(\mathcal{H})$ such that $Q(t)-T(t) \in \mathcal{K}(\mathcal{H})$ for $t \geqslant 0$ ?

## Possible motivations

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- The problem of preserving the semigroup property while lifting leads to some modifications of the Brown-Douglas-Fillmore theory. Recall that the BDF theory provided the famous characterization of essentially normal operators that admit a normal lift.


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- The problem of preserving the semigroup property while lifting leads to some modifications of the Brown-Douglas-Fillmore theory. Recall that the BDF theory provided the famous characterization of essentially normal operators that admit a normal lift.
- Our hypothesis 'semigroup modulo compacts' occurs for Toeplitz operators. Recall that for $\varphi \in L^{\infty}(\mathbb{T}), T_{\varphi}$ is defined on the Hardy space $H^{2}$ by $T_{\varphi} f=P(\varphi f)$, where $P$ is the orthogonal projection of $L^{2}(\mathbb{T})$ onto $H^{2}$. We have that $T_{\varphi} T_{\psi}-T_{\varphi \psi}$ is compact for $\varphi \in C(\mathbb{T})$ and $\psi \in L^{\infty}(\mathbb{T})$.


## Basic definitions

## Definition

A family $(T(t))_{t \geqslant 0} \subset \mathcal{B}(\mathcal{H})$ is called an operator semigroup, provided that (a) $T(0)=I_{\mathcal{H}}$ (the identity operator) and
(b) $T(s+t)=T(s) T(t)$ for all $s, t \geqslant 0$.

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If additionally

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\lim _{\varepsilon \rightarrow 0+}\|T(\varepsilon) x-x\|=0 \quad \text { for every } x \in \mathcal{H}
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The infinitesimal generator of a $C_{0}$-semigroup $(T(t))_{t \geqslant 0}$ is defined by

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In general, it is an unbounded, densely defined operator,

## Lifting problems

Considering the operators $q(t)=\pi Q(t) \in \mathcal{Q}(\mathcal{H})$, we may formulate our problem as follows:

## Problem (precise formulation)

Assume that $(q(t))_{t \geqslant 0} \subset \mathcal{Q}(\mathcal{H})$ is a $C_{0}$-semigroup of normal elements of the Calkin algebra. Under what conditions there exists a $C_{0}$-semigroup $(T(t))_{t \geqslant 0}$ of normal operators in $\mathcal{B}(\mathcal{H})$ such that $\pi T(t)=q(t)$ for every $t \geqslant 0$ ?

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In a sense, we seek for a 'semigroup variant' of the famous BDF result from
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which says that an operator $T \in \mathcal{B}(\mathcal{H})$ is of the form 'normal plus compact' if and only if it is essentially normal and $\operatorname{ind}(\lambda I-T)=0$ for every $\lambda \notin \sigma_{\text {ess }}(T)$.

## A counterexample

Fredholm operators

Recall that an operator $T \in \mathcal{B}(\mathcal{H})$ is called a Fredholm operator, provided that both $\alpha(T):=\operatorname{dim} \operatorname{Ker}(T)$ and $\beta(T):=\operatorname{codim} \operatorname{Ran}(T)$ are finite.

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- The Fredholm index is invariant under compact perturbations, that is, $\operatorname{ind}(T+K)=\operatorname{ind}(T)$ for every $K \in \mathcal{K}(\mathcal{H})$.
- For any $S \in \mathcal{B}(\mathcal{H})$, the essential spectrum $\sigma_{\text {ess }}(S)$ is defined as the set of those $\lambda \in \mathbb{C}$ for which $\lambda I-S$ is not Fredholm, and we have $\sigma_{\text {ess }}(S)=\sigma(\pi(S))$.


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## The BDF theory

## Brief overview 1

Let $X$ be a compact metric space. By an extension of $C(X)$ (by $\mathcal{K}(\mathcal{H}))$ we mean any pair $(\mathcal{A}, \varphi)$, where $\mathcal{A}$ is a $\mathrm{C}^{*}$-subalgebra of $\mathcal{B}(\mathcal{H})$ containing the compact operators and $\varphi: \mathcal{A} \rightarrow C(X)$ is a *-homomorphism such that

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The collection $\operatorname{Ext}(X)$ of all equivalence classes of extensions of $C(X)$ forms a group (nontrivial!) when equipped with an operation + defined in terms of ${ }^{*}$-monomorphisms $C(X) \rightarrow \mathcal{Q}(\mathcal{H})$ as $\left[\tau_{1}\right]+\left[\tau_{2}\right]=\left[\tau_{1} \oplus \tau_{2}\right]$.

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The collection $\operatorname{Ext}(X)$ of all equivalence classes of extensions of $C(X)$ forms a group (nontrivial!) when equipped with an operation + defined in terms of ${ }^{*}$-monomorphisms $C(X) \rightarrow \mathcal{Q}(\mathcal{H})$ as $\left[\tau_{1}\right]+\left[\tau_{2}\right]=\left[\tau_{1} \oplus \tau_{2}\right]$. We identify $\mathcal{H} \oplus \mathcal{H} \cong \mathcal{H}$ and $\mathbb{M}_{2}(\mathcal{Q}(\mathcal{H})) \cong \mathcal{Q}(\mathcal{H})$, as $\mathbb{M}_{2}(\mathcal{K}(\mathcal{H}))$ is mapped onto $\mathcal{K}(\mathcal{H})$.

## The BDF theory

Brief overview 2
Given two compact metric spaces $X$ and $Y$, and a continuous map $f: X \rightarrow Y$, there is an induced map $f_{*}: \operatorname{Ext}(X) \rightarrow \operatorname{Ext}(Y)$ defined as

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f_{*}(\tau)(g)=\tau(g \circ f) \oplus \sigma(g) \quad(g \in C(Y)),
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where $\sigma$ is any *-monomorphism corresponding to the trivial extension of $C(Y)$. We add that second direct sum summand in order to guarantee that the resulting map $f_{*}(\tau)$ is injective.

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The zero element of $\operatorname{Ext}(X)$ can be constructed as follows: Take any infinite direct sum decomposition $\mathcal{H}=\bigoplus_{i=1}^{\infty} \mathcal{H}_{i}$, where each $\mathcal{H}_{i}$ is infinite-dimensional, pick a countable dense subset $\left\{\xi_{i}: i \in \mathbb{N}\right\}$ of $X$ and define $\sigma: C(X) \rightarrow \mathcal{B}(\mathcal{H})$ by

$$
\sigma(g)=\bigoplus_{i=1}^{\infty} g\left(\xi_{i}\right) I_{i}
$$

where $I_{i}$ is the identity operator on $\mathcal{H}_{i}$.

## The BDF theory

## Crucial isomorphism

In $C(X)$ consider the relation of homotopy equivalence and let $\mathcal{G}_{0}(C(X))$ be the equivalence class of the constant one function. By $\pi^{1}(X)$ we denote the group $\mathcal{G}(C(X)) / \mathcal{G}_{0}(C(X))$ of homotopy classes of invertible functions.

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## Theorem (Brown, Douglas, Fillmore, 1977)

For any compact set $X \subset \mathbb{C}$, there is a well-defined map

$$
\gamma: \operatorname{Ext}(X) \longrightarrow \operatorname{Hom}\left(\pi^{1}(X), \mathbb{Z}\right), \quad \gamma[\tau]([f])=\operatorname{ind} \tau(f)
$$

which is a group isomorphism.

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## Crucial isomorphism

In $C(X)$ consider the relation of homotopy equivalence and let $\mathcal{G}_{0}(C(X))$ be the equivalence class of the constant one function. By $\pi^{1}(X)$ we denote the group $\mathcal{G}(C(X)) / \mathcal{G}_{0}(C(X))$ of homotopy classes of invertible functions.

## Theorem (Brown, Douglas, Fillmore, 1977)

For any compact set $X \subset \mathbb{C}$, there is a well-defined map

$$
\gamma: \operatorname{Ext}(X) \longrightarrow \operatorname{Hom}\left(\pi^{1}(X), \mathbb{Z}\right), \quad \gamma[\tau]([f])=\operatorname{ind} \tau(f)
$$

which is a group isomorphism.
This leads to the famous characterization of 'liftable' essentially normal operators. More generally: two essentially normal operators $T_{1}$ and $T_{2}$ are unitarily equivalent modulo compacts iff $\sigma_{\text {ess }}\left(T_{1}\right)=\sigma_{\text {ess }}\left(T_{2}\right)$ and $\operatorname{ind}\left(\lambda I-T_{1}\right)=\operatorname{ind}\left(\lambda I-T_{2}\right)$ for every $\lambda \notin \sigma_{\text {ess }}\left(T_{1}\right)$.

## What kind of result we expect?

Assume that $(q(t))_{t \geqslant 0} \subset \mathcal{Q}(\mathcal{H})$ is a $C_{0}$-semigroup of normal elements of the Calkin algebra, and let $A$ be its generator.

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STEP 1: With every such $(q(t))_{t \geqslant 0}$ we associate an extension of $C(\Omega)$, where $\Omega$ is a certain compact metric space defined exclusively in terms of $\sigma(A)$.

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Step 3: Our extension is in the middle of Milnor's exact sequence and to show that it is trivial we need to guarantee that certain connecting maps are surjective (here we find a condition on $\sigma(A)$ ).
Step 4: Once having a section witnessing the triviality of our extension, we use a lifting procedure, similar as in the classical BDF case, to produce an operator semigroup lift; sometimes we can even obtain a $C_{0}$-semigroup.

## Building an extension

(a) Since there exists $\gamma<\infty$ such that $\operatorname{Re} \lambda \leqslant \gamma$ for each $\lambda \in \sigma(A)$, all the sets

$$
\Omega_{n}:=\overline{\exp \left(2^{-n} \sigma(A)\right)} \quad(n=0,1,2, \ldots)
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Plainly, $q(s), q(t), q(t)^{*}$ commute for all $s, t \geqslant 0$, thus

$$
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is commutative. Let $\Delta$ be its maximal ideal space.
The joint spectrum of the set $\left\{q\left(2^{-n}\right): n=0,1, \ldots\right\}$ is a compact subset of $\mathbb{C}^{\infty}$ defined by

$$
\sigma_{\mathcal{A}_{0}}\left(q\left(2^{-n}\right): n=0,1, \ldots\right)=\left\{\left(\varphi\left(q\left(2^{-n}\right)\right)\right)_{n=0}^{\infty}: \varphi \in \Delta\right\} .
$$

## Building an extension

Then, the map

$$
\Delta \ni \varphi \longmapsto\left(\varphi\left(q\left(2^{-n}\right)\right)\right)_{n=0}^{\infty}
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is a homeomorphism between $\Delta$ and $\sigma_{\mathcal{A}_{0}}\left(q\left(2^{-n}\right): n=0,1, \ldots\right)$.

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A sequence $\boldsymbol{\lambda}=(\lambda)_{n=1}^{\infty} \in \mathbb{C}^{\infty}$ belongs to $\sigma_{\mathcal{A}_{0}}\left(q\left(2^{-n}\right): n \in \mathbb{N}\right)$ if and only if

$$
\begin{equation*}
q(\boldsymbol{\lambda}):=\sum_{n=0}^{\infty} 2^{-n} \frac{\left(\lambda_{n} I-q\left(2^{-n}\right)\right)^{*}\left(\lambda_{n} I-q\left(2^{-n}\right)\right)}{\left\|\lambda_{n} I-q\left(2^{-n}\right)\right\|^{2}} \tag{1}
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is not invertible in $\mathcal{Q}(\mathcal{H})$.
Indeed, as each summand is a positive operator, we infer that for every linear multiplicative functional $\varphi \in \Delta$ we have $\varphi(q(\boldsymbol{\lambda}))=0$ iff $\varphi\left(q\left(2^{-n}\right)\right)=\lambda_{n}$ for each $n=0,1, \ldots$. Hence, if $q(\boldsymbol{\lambda})$ is not invertible we pick $\varphi \in \Delta$ so that $\varphi(q(\boldsymbol{\lambda}))=0$ to see that $\boldsymbol{\lambda}$ belongs to the joint spectrum. Conversely, if $q(\boldsymbol{\lambda})$ is invertible, then we have $\varphi(q(\boldsymbol{\lambda})) \neq 0$ for every $\varphi \in \Delta$, thus $\boldsymbol{\lambda}$ is not in the joint spectrum.

## Building an extension

Fix $\boldsymbol{\lambda}=\left(\lambda_{n}\right)_{n=0}^{\infty} \in \mathbb{C}^{\infty}$. The operator $\left(\lambda_{n} I-q\left(2^{-n}\right)\right)^{*}\left(\lambda_{n} I-q\left(2^{-n}\right)\right)$ corresponds via functional calculus to the map $\phi_{n} \in L_{\infty}\left(E^{A}\right)$ given by

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For every $z \in \sigma(A)$, we have $\operatorname{Re} z \leqslant \gamma$ and hence

$$
\left\|\phi_{n}\right\|_{\infty} \leqslant\left(e^{2^{-n} \gamma}+\left|\lambda_{n}\right|\right)^{2}
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which implies that each denominator in formula (1) is majorized by a constant (cannot become arbitrarily large after applying functional calculus and varying $z$ over $\sigma(A)$ ).

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which implies that each denominator in formula (1) is majorized by a constant (cannot become arbitrarily large after applying functional calculus and varying $z$ over $\sigma(A)$ ). Hence, $q(\boldsymbol{\lambda})$ is noninvertible if and only if 0 lies in the closure of the range of the map

$$
\sigma(A) \ni z \longmapsto \sum_{n=0}^{\infty} 2^{-n} \frac{\phi_{n}(z)}{\left\|\phi_{n}\right\|_{\infty}}
$$

which implies that each $\lambda_{n}$ must belong to the closure of $\exp \left(2^{-n} \sigma(A)\right)$ which is denoted by $\Omega_{n}$.

## Building an extension

Moreover, for any $n=0,1,2, \ldots$ we pick $z \in \sigma(A)$ so that both $\phi_{n}(z)$ and $\phi_{n+1}(z)$ are arbitrarily close to zero. Since $\exp \left(2^{-n-1} z\right)^{2}=\exp \left(2^{-n} z\right)$, we infer that for $q(\boldsymbol{\lambda})$ being noninvertible we also must have $\lambda_{n+1}^{2}=\lambda_{n}$ $(n=0,1, \ldots)$.

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Conversely, any sequence $\boldsymbol{\lambda}=\left(\lambda_{n}\right)_{n=0}^{\infty} \in \mathbb{C}^{\infty}$ satisfying $\lambda_{n} \in \Omega_{n}$ and $\lambda_{n+1}^{2}=\lambda_{n}$ for $n=0,1, \ldots$ produces a noninvertible operator $q(\boldsymbol{\lambda})$.

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Conclusion: The identity map

$$
\mathrm{id}: \sigma_{\mathcal{A}_{0}}\left(q\left(2^{-n}\right): n=0,1, \ldots\right) \longrightarrow \lim _{\leftrightarrows} \Omega_{n}
$$

is bijective and hence a homeomorphism, as both topologies are the product topology. Consequently, $\Delta$ is homeomorphic to the projective (inverse) limit $\left\{\Omega_{n}, p_{n}\right\}_{n \geqslant 0}$, where $p_{n}(z)=z^{2}$ for each $n=0,1,2, \ldots$

## Building an extension

Recall that the projective (inverse) limit of an inverse system $\left\{X_{n}, f_{n}\right\}_{n \geqslant 0}$, that is, a sequence of topological spaces and continuous maps $f_{n}: X_{n+1} \rightarrow X_{n}$, is defined as

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\lim _{\longleftarrow} X_{n}=\left\{\mathbf{x}=\left(x_{n}\right)_{n=0}^{\infty} \in \prod_{n=0}^{\infty} X_{n}: f_{n}\left(x_{n+1}\right)=x_{n} \text { for } n \geqslant 0\right\} .
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Of course, $\mathcal{K}(\mathcal{H})$ forms an ideal in $\mathcal{E}$. For every $T \in \mathcal{E}$, we have $\pi(T) \in \mathcal{A}_{0}$ and each element in $\mathcal{A}_{0}$ is of this form.

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Of course, $\mathcal{K}(\mathcal{H})$ forms an ideal in $\mathcal{E}$. For every $T \in \mathcal{E}$, we have $\pi(T) \in \mathcal{A}_{0}$ and each element in $\mathcal{A}_{0}$ is of this form. Hence, the formula $\theta(T)=\widehat{\pi(T)}$ yields a *-homomorphism onto $C(\Delta)$. Obviously, $T \in \operatorname{ker} \theta$ iff $\pi(T)=0$, i.e. $T \in \mathcal{K}(\mathcal{H})$.

## Building an extension

Summarizing, what we have proved is the following:

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## Proposition

Let $(q(t))_{t \geqslant 0} \subset \mathcal{Q}(\mathcal{H})$ be a $C_{0}$-semigroup of normal operators in the Calkin algebra. Let $\mathcal{A}_{0}=\mathrm{C}^{*}\left(\left\{q\left(2^{-n}\right): n=\infty, 0,1,2, \ldots\right\}\right)$ be the $\mathrm{C}^{*}$-subalgebra of $\mathcal{Q}(\mathcal{H})$ generated by the identity and all $q\left(2^{-n}\right)$ for $n \in \mathbb{N}$, and let $\mathcal{E}=\pi^{-1}\left(\mathcal{A}_{0}\right)$.
(a) Let $A$ be the generator of $(q(t))_{t \geqslant 0}$ and define

$$
\Omega_{n}=\overline{\exp \left(2^{-n} \sigma(A)\right)} \quad(n=0,1,2, \ldots)
$$

Then, $\mathcal{A}_{0}$ is a commutative $\mathrm{C}^{*}$-algebra and its maximal ideal space $\Delta$ is homeomorphic to the projective limit of the inverse system $\left\{\Omega_{n}, p_{n}\right\}_{n \geqslant 0}$, where $p_{n}(z)=z^{2}$ for each $n=0,1,2, \ldots$

## Building an extension

## Proposition (continued)

(b) The $\mathrm{C}^{*}$-algebra $\mathcal{E}$ contains $\mathcal{K}(\mathcal{H})$ as an ideal and there is an exact sequence

$$
0 \longrightarrow \mathcal{K}(\mathcal{H}) \stackrel{\iota}{\longrightarrow} \mathcal{E} \xrightarrow{\theta} C(\Delta) \longrightarrow 0,
$$

where $\theta(T)=\widehat{\pi(T)}$ and $\mathcal{A}_{0} \ni q \longmapsto \widehat{q} \in C(\Delta)$ is the Gelfand transform.

## Building an extension

## Proposition (continued)

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This accomplishes Step 1 of our strategy: With every $(q(t))_{t \geqslant 0}$ as before we associate an extension of $C(\Omega)$, where $\Omega$ is a certain compact metric space defined exclusively in terms of $\sigma(A)$.

## Proceeding to Step 2

We know that every normal $C_{0}$-semigroup $(q(t))_{t \geqslant 0}$ in $\mathcal{Q}(\mathcal{H})$ generates an extension of $C(\Delta)$ by $\mathcal{K}(\mathcal{H})$, where $\Delta$ is a compact metric space depending only on the generator $A$ of $(q(t))_{t \geqslant 0}$. Recall that

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\Delta \approx \lim _{\curvearrowleft}\left(\Omega_{n}, p_{n}\right) \quad \text { and } \quad \Omega_{n}=\overline{\exp \left(2^{-n} \sigma(A)\right)} .
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$$

Suppose $\left\{X_{n}, p_{n}\right\}_{n=0}^{\infty}$ is an inverse system of compact metric spaces. Let $X=\lim _{\leftrightarrows} X_{n}$ and $q_{n}: X \rightarrow X_{n}$ stand for the coordinate maps, for $n \in \mathbb{N}_{0}$, so that $p_{n} q_{n+1}=q_{n}$. Hence, we have another inverse system of groups $\left\{\operatorname{Ext}\left(X_{n}\right), p_{n *}\right\}_{n=0}^{\infty}$. Since $p_{n *} q_{(n+1) *}=q_{n *}$, we can define an induced map $P: \operatorname{Ext}(X) \rightarrow \lim _{\leftrightarrows}^{\operatorname{Ext}}\left(X_{n}\right)$ by the formula

$$
P(\tau)=\left(q_{n *} \tau\right)_{n=0}^{\infty}
$$

The induced map is always surjective, but in general not injective.

## Milnor's exact sequence

J. Milnor, On the Steenrod homology theory (first distributed 1961), in: S. Ferry, A. Ranicki, J. Rosenberg (Eds.), Novikov Conjectures, Index Theorems, and Rigidity: Oberwolfach 1993, London Mathematical Society Lecture Note Series, pp. 79-96, Cambridge University Press, Cambridge 1995.

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## Theorem (Milnor, 1961)

For any inverse system $\left\{X_{n}\right\}$ of compact metric spaces, and any $k \in \mathbb{Z}$, there exists an exact sequence

$$
0 \longrightarrow \lim _{\longleftarrow}^{(1)} \operatorname{Ext}_{k+1}\left(X_{n}\right) \longrightarrow \operatorname{Ext}_{k}\left(\lim X_{n}\right) \xrightarrow{P} \lim _{\leftrightarrows}^{\operatorname{Ext}_{k}\left(X_{n}\right) \longrightarrow 0, ~}
$$

where $\lim _{\leftarrow}{ }^{(1)}$ is the first derived functor of inverse limit.

## Conditions on the kernel

Therefore, we can ask: Given a $C_{0}$-semigroup in the Calkin algebra, when does the resulting extension of $\Omega$ actually land in the kernel from the Milnor's exact sequence?

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## Proposition

Let $(q(t))_{t \geqslant 0} \subset \mathcal{Q}(\mathcal{H})$ be a $C_{0}$-semigroup of normal operators and let

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P: \operatorname{Ext}(\Omega) \longrightarrow \underset{\longleftrightarrow}{\lim } \operatorname{Ext}\left(\Omega_{n}\right), \quad \text { where } \Omega=\underset{\swarrow}{\lim } \Omega_{n} \approx \Delta,
$$

be the induced surjective map. Then, $(\mathcal{E}, \theta) \in$ ker $P$ if and only if

$$
\operatorname{ind}\left(\lambda I-q\left(2^{-n}\right)\right)=0 \quad \text { for all } n \in \mathbb{N}_{0}, \lambda \notin \Omega_{n}
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## Conditions on the kernel

Therefore, we can ask: Given a $C_{0}$-semigroup in the Calkin algebra, when does the resulting extension of $\Omega$ actually land in the kernel from the Milnor's exact sequence?

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Consequently, if we start with a collection of normal operators $(T(t))_{t \geqslant 0} \subset \mathcal{B}(\mathcal{H})$ and consider the $C_{0}$-semigroup $(\pi T(t))_{t \geqslant 0}$, we automatically have an extension from the Milnor kernel.

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This accomplishes Step 2: We show that BDF conditions imposed 'separately' on $q(t)$ 's imply that we land in Milnor's kernel.

## Suspensions

Recall that for any compact metric space $X$, the cone $C X$ over $X$ is obtained from $X \times I$ by collapsing $X \times\{0\}$ to a single point, where $I=[0,1]$. The suspension $S X$ is obtained from $X \times I$ by collapsing $X \times\{0\}$ and $X \times\{1\}$ to two distinct points.

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The extension functor is defined for ranks $q \leqslant 1$ by
$\operatorname{Ext}_{q}(X)=\operatorname{Ext}\left(S^{1-q} X\right)$. It was shown by BDF that, analogously to Bott's periodicity in $K$-theory, there exist isomorphisms

$$
\operatorname{Per}_{*}: \operatorname{Ext}_{q-2}(X) \longrightarrow \operatorname{Ext}_{q}(X) \quad(r \leqslant 1)
$$

This allows us to extend the definition of Ext to all integer dimensions:

$$
\operatorname{Ext}_{q}(X)= \begin{cases}\operatorname{Ext}(X) & \text { if } q \text { is odd } \\ \operatorname{Ext}(S X) & \text { if } q \text { is even }\end{cases}
$$

## The derived functor

By definition, the functor $\lim ^{(1)}$ applied to an inverse system of groups $\left\{G_{n}, p_{n}\right\}_{n=0}^{\infty}$ returns the cokernel of the map

$$
\prod G_{n} \ni\left(a_{0}, a_{1}, \ldots\right) \stackrel{d}{\longmapsto}\left(a_{0}-p_{0}\left(a_{1}\right), a_{1}-p_{1}\left(a_{2}\right), \ldots\right)
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defined on the full direct product of all the $G_{n}$. That is,

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In Step 2 we have shown that under natural BDF conditions, our extension (an element of $\operatorname{Ext}(\Omega)$ ) always lands up in the kernel of $P$. Hence, in order to conclude its triviality it suffices to know that the first derived functor above collapses (is trivial).

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In our case, it is enough to know that for $n$ sufficiently large the connecting homomorphism

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We have $\left(S p_{n}\right)_{*} \tau(g)=\tau\left(g \circ S p_{n}\right)$ for $g \in C\left(S \Omega_{n}\right)$. Fix any $\lambda \in \operatorname{Ext}_{2}\left(\Omega_{n}\right)$. Our goal is to find a ${ }^{*}$-monomorphism $\tau: C\left(S \Omega_{n+1}\right) \rightarrow \mathcal{Q}(\mathcal{H})$ such that

$$
\begin{equation*}
\tau\left(g \circ S p_{n}\right)=\lambda(g) \quad \text { for every } g \in C\left(S \Omega_{n}\right), \tag{2}
\end{equation*}
$$

where the equality is understood as unitary equivalence between the both sides regarded as *-homomorphisms on $C\left(S \Omega_{n}\right)$.

## Twisting maneuver

Recall that we want: $\tau\left(g \circ S p_{n}\right)=\lambda(g)$ for every $g \in C\left(S \Omega_{n}\right)$. Functions of the form $g \circ S p_{n}$ preserve antipodal points, i.e.

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\left(g \circ S p_{n}\right)([x, t])=\left(g \circ S p_{n}\right)([-x, t]), \quad \text { whenever }[x, t],[-x, t] \in S \Omega_{n+1}
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By using a 'twisting maneuver' we can reduce the requirement of preserving antipodal points to just those pairs which correspond to just one direction, namely, $\alpha / 2$.


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- let $T_{ \pm}$be the 'upper'/'lower' semicircles of the unit circle $\mathbb{T}$ which are determined by the antipodal points $\pm e^{\mathrm{i} \alpha / 2}$, that is,

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- for $x \in \Omega_{n}$, let $\sqrt{x}$ be the set of square roots of $x$, and let $s_{0}(x) \in \sqrt{x}$ be determined by the condition

$$
s_{0}(x) \in \begin{cases}\sqrt{|x|} T_{+} & \text {if } \sqrt{|x|} T_{+} \cap \Omega_{n+1} \neq \varnothing \\ \sqrt{|x|} T_{-} & \text {otherwise }\end{cases}
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- Then, for $j=0,1$ and $f \in \mathcal{A}_{0}$, we set

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\Delta_{j} f([x, t])=f\left(\left[s_{j}(x), t\right]\right) \quad\left([x, t] \in S \Omega_{n}\right)
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For any set $E \subseteq \mathbb{C}$, we denote by $\mathrm{A}(E)$ the set of those $z \in E$ for which $-z \in E$. That is, $\mathrm{A}(E)$ consists of points $z$ which belong to $E$ together with their antipode.

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## Technical lemma

Suppose that for some $n \in \mathbb{N}_{0}$ we have

$$
\begin{equation*}
\overline{\Omega_{n+1} \backslash \mathrm{~A}\left(\Omega_{n+1}\right)} \cap \mathrm{A}\left(\Omega_{n+1}\right)=\varnothing \tag{3}
\end{equation*}
$$

Then, for every $f \in \mathcal{A}_{0}, \Delta_{j} f$ are continuous on $S \Omega_{n}(j=0,1)$.

## Geometric conditions

An empty direction

- Fix Calkin's *-representation $\gamma: \mathcal{Q}(\mathcal{H}) \rightarrow \mathcal{B}(\mathbb{H})$ and a unital ${ }^{*}$-monomorphism $\lambda: C\left(S \Omega_{n}\right) \rightarrow \mathcal{Q}(\mathcal{H})$.


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- Consider $\varrho:=\gamma \circ \lambda$, a ${ }^{*}$-representation of $C\left(S \Omega_{n}\right)$ on $\mathbb{H}$.
- $\varrho=\bigoplus_{i \in I} \varrho_{i}$, where each $\varrho_{i}: C\left(S \Omega_{n}\right) \rightarrow \mathcal{B}\left(\mathbb{H}_{i}\right)$ is a cyclic representation on some $\mathbb{H}_{i} \subseteq \mathbb{H}$.


## Geometric conditions

## An empty direction

- Fix Calkin's *-representation $\gamma: \mathcal{Q}(\mathcal{H}) \rightarrow \mathcal{B}(\mathbb{H})$ and a unital *-monomorphism $\lambda: C\left(S \Omega_{n}\right) \rightarrow \mathcal{Q}(\mathcal{H})$.
- Consider $\varrho:=\gamma \circ \lambda$, a *-representation of $C\left(S \Omega_{n}\right)$ on $\mathbb{H}$.
- $\varrho=\bigoplus_{i \in l} \varrho_{i}$, where each $\varrho_{i}: C\left(S \Omega_{n}\right) \rightarrow \mathcal{B}\left(\mathbb{H}_{i}\right)$ is a cyclic representation on some $\mathbb{H}_{i} \subseteq \mathbb{H}$.
- Each $\varrho_{i}$ is unitarily equivalent to the representation given by multiplication operators on $L^{2}\left(S \Omega_{n}, \mu_{i}\right)$.


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- For any $\alpha \in[0,2 \pi)$ we have defined $\mathcal{S}_{\alpha}=\left\{\left[r e^{\mathrm{i} \alpha}, t\right] \in S \Omega_{n}: r>0,0<t<1\right\}$.


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## An 'empty direction' condition

Assume that condition (3) is satisfied, and there exists $\alpha \in[0,2 \pi)$ such that $\mu_{i}\left(\mathcal{S}_{\alpha}\right)=0$ for all $i \in I$. Then, the homomorphism $\left(S p_{n}\right)_{*}: \operatorname{Ext}\left(S \Omega_{n+1}\right) \rightarrow \operatorname{Ext}\left(S \Omega_{n}\right)$ is surjective.

## Geometric conditions

A cross retract

## Kasparov's Technical Theorem

Let $E$ be a $\sigma$-unital $\mathrm{C}^{*}$-algebra and $\mathscr{C}(E)=\mathscr{M}(E) / E$ be the corona algebra. Suppose that $D$ is a separable subset of $\mathscr{C}(E)$. If $x, y \in \mathscr{C}(E) \cap D^{\prime}$ satisfy $x, y \geqslant 0$ and $x y=0$, then there exists $0 \leqslant z \leqslant 1$, $z \in \mathscr{C}(E) \cap D^{\prime}$ such that $z x=0$ and $z y=y$.

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## A 'cross retract' condition

Assume that condition (3) is satisfied, and there exist $\alpha, \theta \in[0,2 \pi)$, $\frac{\alpha}{2} \notin\{\theta, \theta-\pi\}$ such that each of the sections

$$
\mathrm{S}_{\alpha / 2}=\mathbb{R} e^{\mathrm{i} \alpha / 2} \cap \Omega_{n+1}, \quad \mathrm{~S}_{\theta}=\mathbb{R} e^{\mathrm{i} \theta} \cap \Omega_{n+1}
$$

is a retract of both the corresponding left and the right part of $\Omega_{n+1}$. Then, the homomorphism $\left(S p_{n}\right)_{*}: \operatorname{Ext}\left(S \Omega_{n+1}\right) \rightarrow \operatorname{Ext}\left(S \Omega_{n}\right)$ is surjective.

## Summary of the main results

Let $(Q(t))_{t \geqslant 0}$ be a collection of normal operators in $\mathcal{B}(\mathcal{H})$ satisfying

$$
Q(s+t)-Q(s) Q(t) \in \mathcal{K}(\mathcal{H}) \quad \text { for all } s, t \geqslant 0 .
$$

Assume that $(q(t))_{t \geqslant 0} \subset \mathcal{Q}(\mathcal{H})$, defined by $q(t)=\pi Q(t)$ for $t \geqslant 0$, is a $C_{0}$-semigroup with respect to some faithul ${ }^{*}$-representation $\gamma: \mathcal{Q}(\mathcal{H}) \rightarrow \mathcal{B}(\mathbb{H})$. Let also $A$ be its infinitesimal generator, densely defined on $\mathbb{H}$. Then:

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(A1) The spectrum of the $\mathrm{C}^{*}$-algebra $\mathrm{C}^{*}\left(q\left(2^{-n}\right), 1_{\mathcal{Q}(\mathcal{H})}\right)$ is homeomorphic to the inverse limit $\Delta=\lim \left\{\Omega_{n}, p_{n}\right\}$, where $p_{n}(z)=z^{2}$ and

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(A2) There is an extension $\Gamma \in \operatorname{Ext}(\Delta)$ such that $\Gamma=\Theta$ implies that there exists a semigroup $(Q(t))_{t \in \mathbb{D}} \subset \mathcal{B}(\mathcal{H})$, defined on positive dyadic rationals, such that $\pi Q(t)=q(t)$ for every $t \in \mathbb{D}$.

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(A3) We have the Milnor exact sequence with $\Gamma \in \operatorname{ker} P$.

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(A5) If $\Delta$ is a perfect compact metric space, and $\gamma$ is one of Calkin's representations of $\mathcal{Q}(\mathcal{H})$, then the obtained lifting $(Q(t))_{t \in \mathbb{D}}$ is sOT-continuous and it extends to a $C_{0}$-semigroup $(Q(t))_{t \geqslant 0} \subset \mathcal{B}(\mathcal{H})$.

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T.K., Compact perturbations of operator semigroups, arXiv:2203. 05635

