

42 a tool to obtain individual physiological indices of vigilance and fatigue that could be
43 generalized to other vigilance tasks typically performed in occupational settings.

44

45 KEYWORDS

46 Skin temperature, sustained attention, mental fatigue, attention fluctuations, Independent
47 Component Analysis (ICA), brain dynamics.

48 1. INTRODUCTION

49 Maintaining an optimal level of vigilance is crucial to perform many cognitive
50 and sustained-attention tasks. However, fatigue and lapses of attention typically
51 occur in monotonous tasks like car or locomotive driving, air traffic control or
52 quality control monitoring. Safety should be a main concern in these tasks, and
53 understanding the neural mechanisms associated with fatigue and lapses of
54 attention can avoid catastrophic accidents. Typically, a gradual decline on attention
55 can be observed along the sustained performance of a task (i.e., the time-on-task
56 effect). In a recent review, Williamson et al. (2011) evidence a strong relationship
57 between performance impairments and monotonous tasks which require vigilance,
58 and highlight the need for the development of objective measures of fatigue (e.g.,
59 percentage of eye closure, EEG power frequency). Furthermore, it has been
60 suggested that time-on-task alone is not a key predictor of crash risk in road
61 transportation (Hanowski, Hickman, Olson, & Bocanegra, 2009). Therefore, it is also
62 important to measure the spontaneous fluctuations that can occur throughout the
63 task, resulting in ups and downs of the vigilant state (Huang, Jung, & Makeig, 2009).
64 Thus, a substantial goal of research in vigilance and fatigue is to find sensitive
65 indices of these fluctuations of attention to prevent them. The current research
66 focused on two physiological indices, electroencephalogram (EEG) and body

67 temperature, to test whether they can be used to predict changes in the vigilance
68 state.

69 A line of research interested on measuring vigilance fluctuations has focused on
70 the EEG patterns. For example, an amplitude attenuation of the P3 event-related
71 potential has been linked to declines in vigilance (Käthner, Wriessnegger, Müller-
72 Putz, Kübler, & Halder, 2014; Martel, Dähne, & Blankertz, 2014; Ramautar, Romeijn,
73 Gómez-Herrero, Piantoni, & Van Someren, 2013). Furthermore, changes in
74 amplitude in the main frequency bands have long been associated with low levels of
75 vigilance and performance drops (Makeig & Jung, 1995). Specifically, a negative
76 correlation is often found between low-frequency EEG activity (alpha, theta and
77 delta bands) and performance in vigilance tasks demanding visuo-motor and
78 attentional tracking. That is, as performance decreases (measured as reaction time -
79 RT- or accuracy), the EEG power increases in these frequency bands between 1 and
80 12 Hz (cf. Huang, Jung, & Makeig, 2007). This correlation seems to be inverted in the
81 beta band (12-30 Hz), although other studies found either an increment or no
82 change in the beta band power (see Craig, Tran, Wijesuriya, & Nguyen, 2012 for a
83 review).

84 The relationship between vigilance and EEG has also been studied recently by
85 means of the Psychomotor Vigilance Task (PVT). The PVT (Dinges & Powell, 1985)
86 is a straightforward and reliable tool for measuring fatigue in humans. In the PVT,
87 participants have to respond, as fast as possible, to a simple visual stimulus. The
88 inter-trial interval is randomly distributed between 2 and 10 seconds, and feedback
89 of performance is displayed. The monotonous and unpredictable target
90 presentation in the PVT makes subjects highly prone to lapses of attention.

91 Moreover, the PVT has minimal learning effects, minimizing the variability due to
92 participants' different ability and experience (Basner & Dinges, 2011). These
93 characteristics make the PVT a good candidate as a standard task to relate
94 physiological variables with performance in attention demanding tasks.

95 Research on the relationship between EEG signals and PVT performance showed
96 that an increment in delta power on frontal and occipital areas, and an increment in
97 occipital theta power were associated with slower RTs and more frequent lapses
98 (RTs above 500 ms) on sleep-deprived subjects (Chua et al., 2012; Hoedlmoser et
99 al., 2011). It is interesting to note that frontal and parietal areas have been often
100 related to sustained attention and, despite the scarce extant evidence with this task,
101 one could expect performance in the PVT to rely on these brain areas. For example,
102 Drummond et al. (2005) used functional magnetic resonance imaging (fMRI) to
103 identify regions related to the highest and lowest performance (indexed by the 10%
104 fastest and 10% slowest RTs, respectively) in a 10-min long PVT administered in
105 two sessions, one with and one without sleep deprivation. They found an increased
106 activation in the right middle frontal gyrus and right inferior parietal lobe
107 associated with fast RTs responses for both sessions (normal sleep and total sleep
108 deprivation), and increased activation in structures involved in the default brain
109 network (superior frontal, medial frontal and ventral anterior cingulate gyri) in
110 slow RTs responses. Interestingly, this increment was higher for the total sleep
111 deprivation session than for the normal sleep session.

112 Attentional fluctuations during vigilance performance can be measured on two
113 time scales: phasic and tonic. In terms of EEG frequency activity, fluctuations in the
114 tonic scale refer to slow changes (in the order of minutes) with respect to power

115 baseline. Therefore, sorting trials after a performance index (e.g., RT), allow us to
116 compare periods with distinct attentional states. On the other hand, phasic analysis
117 refers to the event-related brain activity associated to the response to the target,
118 and can be measured in a milliseconds scale (Huang, Jung, Delorme, & Makeig,
119 2008). Thus, extended (tonic) periods of poor performance can present
120 intermittent (phasic) attentional fluctuations and they both can be assessed
121 through the EEG by means of these two different analyses.

122 It is important to note that the PVT was designed to be sensitive to the
123 homeostatic pressure for sleep, and has been mainly used in sleep deprivation and
124 circadian rhythm studies (see Lim & Dinges, 2008, for a review; Correa, Molina, &
125 Sanabria, 2014) because the fluctuations of attention over time are most evident
126 with sleep deprivation. Therefore, when the participants' arousal is relatively
127 within the normal range (i.e., without sleep deprivation), the performance of the
128 PVT might be more stable across time related to subjects with sleep deprivation,
129 and thus, an extended PVT like the one used in the present research (45-min long),
130 could be useful to study slowly-varying (tonic) shifts and fatigue in non-sleep
131 deprived participants' EEG.

132 The current study further measured skin body temperature as an additional
133 physiological index of vigilance performance. Although temperature is an index of
134 metabolism, its relationship with vigilance has been known for longtime (e.g.,
135 Kleitman, Titelbaum, & Feiveson, 1938), and it is currently possible to examine this
136 relationship with greater temporal resolution and using less invasive devices. In
137 fact, recent studies have linked fluctuations of body peripheral (skin) temperature
138 to vigilance. Three skin temperature measures are typically assessed: Distal

139 temperature (measured on distal extremities, like the wrist), proximal temperature
140 (measured near the upper-body, for example under the clavicle) and the difference
141 between distal and proximal temperature values, i.e., the distal to proximal gradient
142 (DPG) measure. Raymann and Van Someren (2007) manipulated skin temperature
143 of young and elderly subjects with and without sleep problems while measuring
144 performance in a 7-min PVT, and found that raising proximal skin temperature in
145 0.6°C resulted in a faster performance decrement. More recently, Romeijn and Van
146 Someren (2011) used a modified PVT demanding fine perceptual detection and
147 found that increments of proximal (chest) and distal (finger) temperatures were
148 related to both decrements in response speed and more lapses, while no effect was
149 found for wrist temperature. Likewise, they found that an increment in the DPG
150 between finger and chest resulted in a decrement of speed and an increment of
151 lapses. Nonetheless, the relationship between temperature and performance in a
152 long PVT has not been evaluated yet, and although the relationship between non-
153 central temperature measures and sleepiness remains unclear, it has been
154 proposed the DPG temperature as the optimum measure to assess this relationship
155 (see Romeijn et al., 2011).

156 The present study aimed to investigate the relationship between vigilance
157 performance and neurophysiological markers by assessing the skin temperature
158 and EEG spectral correlates of changes in RT, using the PVT over 45 minutes in non-
159 sleep deprived subjects. Independent component analysis (ICA) was used to
160 identify maximally independent neural processes and to model the fluctuations of
161 the EEG related to fluctuations on performance. ICA can effectively obtain
162 independent components (ICs) accounting for neural signals and artifacts such as
163 eye movements and muscle noise (Debener et al., 2005; Delorme, Westerfield, &

164 Makeig, 2007; Onton, Delorme, & Makeig, 2005). The use of ICA together with a
165 dipole-fitting approach enabled us to identify the brain regions involved in the
166 vigilance fluctuations during performance of the PVT.

167 We hypothesized that RT increments in the PVT would be related to increment
168 in the power of theta and alpha frequency bands on areas involved in the sustained
169 attention network (i.e., parietal and right frontal areas). We also expected to find a
170 positive correlation between the DPG and the RT (i.e., the higher the gradient
171 temperature, the slower the responses to the PVT).

172

173 2. MATERIALS AND METHODS

174 *2.1. Participants*

175 Seventeen female students from the University of Granada (age range 19-28
176 years old, Mean age = 21.72 years old, Standard deviation = 2.50 years old)
177 participated in the experiment voluntarily in exchange of course credits. All
178 participants had an intermediate-type chronotype according to the Spanish reduced
179 version of the Morningness-Eveningness Questionnaire (rMEQ; Adan & Almirall,
180 1991) and reported at least 7 hours of sleep in the previous night (M = 8.35; SD =
181 0.70). They were all right-handed, with normal or corrected to normal vision. The
182 study was conducted in accordance with the ethical standards laid down in the
183 1964 Declaration of Helsinki. Participants gave informed written consent before the
184 study and they were rewarded with course credits for their participation.

185 *2.2. Apparatus and Stimuli*

186 The PVT was run on an Intel Core 2 Duo PC and a 17" CRT screen with a 60 Hz
187 refresh rate, using E-Prime software (Schneider, Eschman, & Zuccolotto, 2001). The

188 target stimulus was a black circle with a red edge (diameter: 9.15 degrees of visual
189 angle at a viewing distance of 50 cm).

190 An online version of the rMEQ was developed to measure participants'
191 chronotype (available at <http://wdb.ugr.es/~molinae/rmeq/>). Scores in this
192 questionnaire fall into the interval between 4 (extreme eveningness) and 25
193 (extreme morningness).

194 Body temperature was measured using a temperature sensor (iButton-
195 DS1921H; Maxim, Dallas), which has a temperature range from +15°C to +46°C and
196 1°C of accuracy with a resolution of 0.125°C. The sensors were programmed to
197 sample every minute along the experimental session.

198 Electrophysiological activity was recorded from a 128-channel Geodesic Sensor
199 Net of 129 Ag/AgCl electrodes [Electrical Geodesics, Inc. (EGI)], referenced to the
200 vertex. The electrodes located above and beneath the eyes, and to the left and right
201 of the external canthi of the eyes were used to detect blinks and eye movements.
202 The EEG net was connected to an AC-coupled high-input impedance amplifier (200
203 M Ω), and impedances were kept below 50k Ω , as recommended for the Electrical
204 Geodesics high-input impedance amplifiers. While recording, the signals were
205 amplified, filtered (0.1 to 100 Hz band pass) and digitized with a sampling rate of
206 250 Hz using a 16-bit A/D converter.

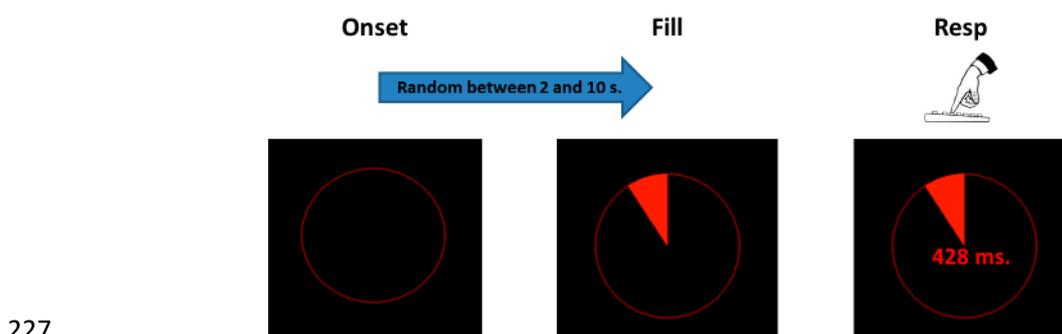
207 *2.3. Procedure*

208 Each subject completed a one-hour length experimental session either at 11 am
209 or 1 pm. Two sensors, one placed in the infraclavicular area of the chest and one in
210 the ventral part of a wristband were used to measure proximal and distal
211 temperature, respectively. Both sensors were placed on the non-dominant side of

212 the subject before the start of the PVT, which was followed by a 10-minute
213 acclimation period. During this time, participants completed the online version of
214 the rMEQ, and were also asked about the amount of sleep during the previous night,
215 the waking time, and whether they have had coffee or any other stimulant during
216 that day.

217 The electrode net was then placed and the PVT was performed for 45 minutes.
218 Participants were instructed to pay full attention to the red empty circle, and press
219 the space bar key with the forefinger of their dominant hand as soon as the circle
220 started to fill up in red, which happened on every trial after a random interval
221 ranging between 2,000 and 10,000 ms in a counter-clock wise manner, and at an
222 angular velocity of approximately 92.3 degrees per second. Participants were
223 instructed to respond as quickly as possible, while avoiding anticipations. Feedback
224 was provided by displaying the RT for 500 ms after the participant's response (see
225 fig. 1).

226



227

228 **Figure 1.** Sequence of event in the Psychomotor Vigilance Task

229

230 *2.4. Data Analysis*

231 The RT from the PVT was used as the behavioral measure. RTs faster than 100
232 ms (less than 1% of the trials) and anticipations were excluded from the analyses
233 (cf. Basner & Dinges, 2011). To assess the relationship between temperature and
234 performance (e.g., RT), generalized linear mixed effects models (GLMM) were used
235 (Jiang, 2007). The GLMMs approach has been suggested to cope with problems
236 related to non-normality of RT while avoiding the problems induced by an inverse
237 transformation of the RTs due to “scale dependent” interactions (Lo & Andrews,
238 2015; Loftus, 1978). Three models were constructed for each temperature measure
239 (i.e., distal, proximal and DPG). Every model included time-on-task (in order to
240 isolate its effect from the attentional fluctuations). Thus, we had temperature and
241 minute as fixed effects factors, and RT as the outcome variable. In order to match
242 the sampling rate of the temperature measuring device, RTs from every minute
243 were averaged. As random effects we had intercept for subject, as well as by-subject
244 random slope for the effects of temperature and minute. Significance of the model
245 was calculated based on likelihood ratio test of the full model against the model
246 without the effect in question. All calculations were performed in Matlab R2015b
247 [MathWorks, Inc.; <http://www.mathworks.com/>], using the generalized linear
248 mixed-effects model class.

249 EEG data analyses were performed using EEGLAB v.12 (Delorme & Makeig,
250 2004) running under Matlab. Continuous EEG data were first re-referenced to the
251 average and high pass filtered at 1 Hz. Powerline fluctuations at 50 Hz were
252 removed using the *cleanline* EEGLAB plugin
253 (<http://www.nitrc.org/projects/cleanline>). Independent Component Analysis (ICA)
254 was used to decompose multi-channel EEG data into spatially fixed and temporally

255 independent components (ICs). This study adopted the extended-infomax option of
256 runica algorithm from the EEGLAB toolbox (Bell & Sejnowski, 1995; Makeig, Jung,
257 Bell, Ghahremani, & Sejnowski, 1997) to separate approximately 122 source
258 components from 122 channels (six EOG channels were excluded from the
259 analysis). ICA assumes that scalp EEG signals are a weighted linear mixture of
260 electrical potentials projected instantaneously from distinct independent brain
261 sources (Makeig, Bell, Jung & Sejnowski, 1996).

262 The spatial origin of every IC (i.e., the equivalent dipole) was localized using the
263 DIPFIT2 routine (Oostenveld & Oostendorp, 2002). ICs with a residual variance of
264 dipole fitting to the scalp map exceeding 15%, and ICs with dipoles located outside
265 the brain were excluded from further analysis (Onton & Makeig, 2009). The
266 estimated dipole locations were co-registered to an average brain model (Montreal
267 Neurological Institute) and to obtain a better alignment to the model, the channels
268 were manually warped (i.e. spatially adjusted) to a 10-20 electrode system.

269 To obtain comparable ICs across subjects, components were semi-automatically
270 grouped into clusters using the EEGLab standard K-means clustering method
271 (Makeig et al., 2002; Onton & Makeig, 2006), based on the ICs scalp maps, dipole
272 locations and the power spectra of component activations. Although the clustering
273 algorithm tries to assign one component from every subject to every cluster, that is
274 not always possible, resulting in a different number of trials per cluster. That is the
275 reason why we obtained slight differences in the RTs in every cluster, as can be
276 seen in some plots in Figure 5 and Figure 6.

277 The EEG data were epoched around the fill event (i.e., the moment at which the
278 circle started to fill up), spanning 2 seconds before and 2 seconds after this event,

279 and power spectra were calculated using a zero-padded FFT with Hanning tapers.
280 Two types of analyses, phasic and tonic EEG dynamics, were performed for every
281 cluster of interest.

282 Phasic EEG analyses

283 First, we computed the event related spectral perturbation (ERSP) between 2
284 and 30 Hz locked to the target (Makeig, 1993), in a 4 s window (2 s pre-target and 2
285 s post-target). The median RT from all the trials was also calculated and plotted for
286 descriptive purposes, in order to depict the spectral perturbations related to both,
287 the target and response events on every cluster (see Figure 5, leftmost column).

288 The three rightmost columns of Figure 5 show the evolution of power in
289 individual frequency bands with respect to the optimal alert state of every subject.
290 To do so, we baselined data from every subject separately using its own pre-target
291 power spectra from the short-RT trials (defined as trials within the 10% fastest
292 reaction times for every subject (see Basner, Mollicone, & Dinges, 2011). The reason
293 to baseline each subject using its own 10% fastest trials, was to assure obtaining
294 frequency power deviations related to the best performance of each one. Then, we
295 combined all trials from all subjects sorted by RT, making a new baseline correction
296 using the overall 10% fastest RTs (just for clear representation purposes), and
297 obtained an erp-image plot of the power for theta (4-8 Hz), alpha (8-12 Hz) and
298 beta (12-20 Hz) frequency bands on a trial-by-trial basis, obtaining thus the EEG
299 dynamics within the epoch and along the task (see Delorme et al., 2007 for a similar
300 analysis). Target onset and RT are also represented on the plots.

301 To test for significant power deviations a non-parametric bootstrap statistical
302 analysis was performed (Grandchamp & Delorme, 2011). For every frequency, an

303 empirical distribution of the pre-target power in the 10% short-RT from all trials
304 was constructed by resampling 10,000 times from the original data. From this
305 distribution, we obtained a 95% confidence interval, whose 2.5 and 97.5 percentiles
306 were used as a threshold for significance. Thus, data with a power value outside the
307 confidence interval was considered a significant power change for that frequency.
308 All non-significant data samples were assigned a power value of 0 in the plot, and
309 therefore, were represented in green. A false discovery rate (FDR) correction was
310 applied to all statistical results.

311 Tonic EEG analysis

312 To assess frequency power changes from high to low levels of vigilance, the
313 power was analyzed only in the pre-target data. For every trial, we obtain the
314 average power value from the 2 s pre-target segment. Then, the same baseline used
315 in the phasic analyses (i.e., the average frequency power from the 10% fastest
316 trials) was applied for every trial on every subject. Finally, all trials were sorted
317 according to the RT for every individual frequency from 2 to 30 Hz (see Figure 5,
318 leftmost column).

319 The three rightmost columns of Figure 6 represent the pre-target data plotted in
320 the left column averaged for every frequency band (i.e., averaged from 4 to 8 Hz for
321 theta, from 8 to 12 for alpha and from 12 to 20 for beta). See also Huang et al.
322 (2009).

323 To assess significance, we applied the same non-parametric approach used in the
324 phasic analyses to data in the 2 s pre-target windows.

325

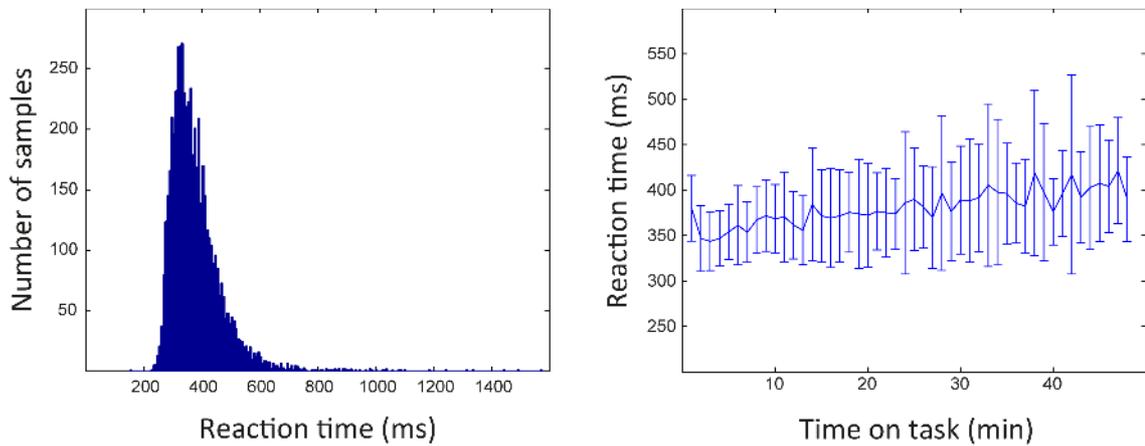
326 3. RESULTS

327 All subjects had an intermediate chronotype (mean rMEQ score: 13, SD: 1), and
328 slept at least for 7.5 h during the night before the experiment. The mean time awake
329 was 1.97 hours (SD: 0.68). None of the subjects reported having had coffee any time
330 before the experiment.

331 *3.1. Behavioral data*

332 Figure 2 (left panel) shows the histogram of all participants' RT in the PVT. The
333 distribution is left skewed with a mean of 380 ms and a standard deviation of 98
334 ms. There were a 91% of the RTs below 500 ms, which is the minimum RT to define
335 a trial as a 'lapse' (Dinges et al., 1997). The 10% fastest trials had a maximum RT of
336 292 ms. In the right panel of Figure 2, the evolution of mean RT along time on task
337 is plotted, showing a positive linear trend between RT and minutes on task.

338



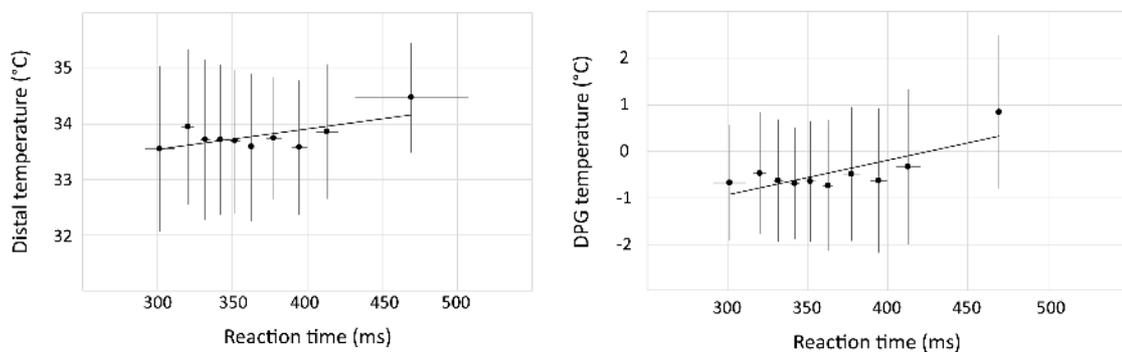
340 **Figure 2.** Distribution of RT (left) and evolution of RT with time on task (right) registered on the
341 PVT. Error bars denote standard deviation.

342

343 *3.2. Temperature and RT analysis*

344 The generalized mixed effect models showed a significant positive relationship
345 between RT and Distal (Effect = 10.21; SE = 2.81; $p = .011$) and DPG (Effect = 7.92;
346 SE = 3.22; $p = .036$) temperature measures, that is, when Distal and DPG were
347 higher, subjects were slower in their responses (see Figure 3). No significant effect
348 was found for Proximal temperature ($p = .106$).

349



350

351 **Figure 3.** For descriptive purposes, RT data has been averaged in 10 percentiles and plot against
352 temperature data (distal and DPG). Error bars represent standard deviation for RT (horizontal) and
353 temperature (vertical). A trend line is also represented. Note that statistical analyses have been
354 conducted on raw data..

355

356 *3.3. EEG dynamics*

357 From the resultant clusters obtained after grouping ICs, six clusters (i.e., left and
358 right frontal, left and right parietal, premotor and central) were selected for further
359 analyses based on previous literature (Chuang, Ko, Jung, & Lin, 2014; Drummond et
360 al., 2005; Lin et al., 2010). Average Talairach coordinates for these clusters are,
361 respectively, (-38, 44, 13), (-4, 17, 54), (28, 47, 18), (-22, -62, 0), (-7, -15, 25), (25, -
362 52, 16), comprising the medial frontal gyrus, cingulate gyrus, left lingual gyrus,
363 supplementary motor area and posterior cingulate cortex, regions related to the
364 default mode network (DMN) and the fronto-parietal attention network (Hinds et

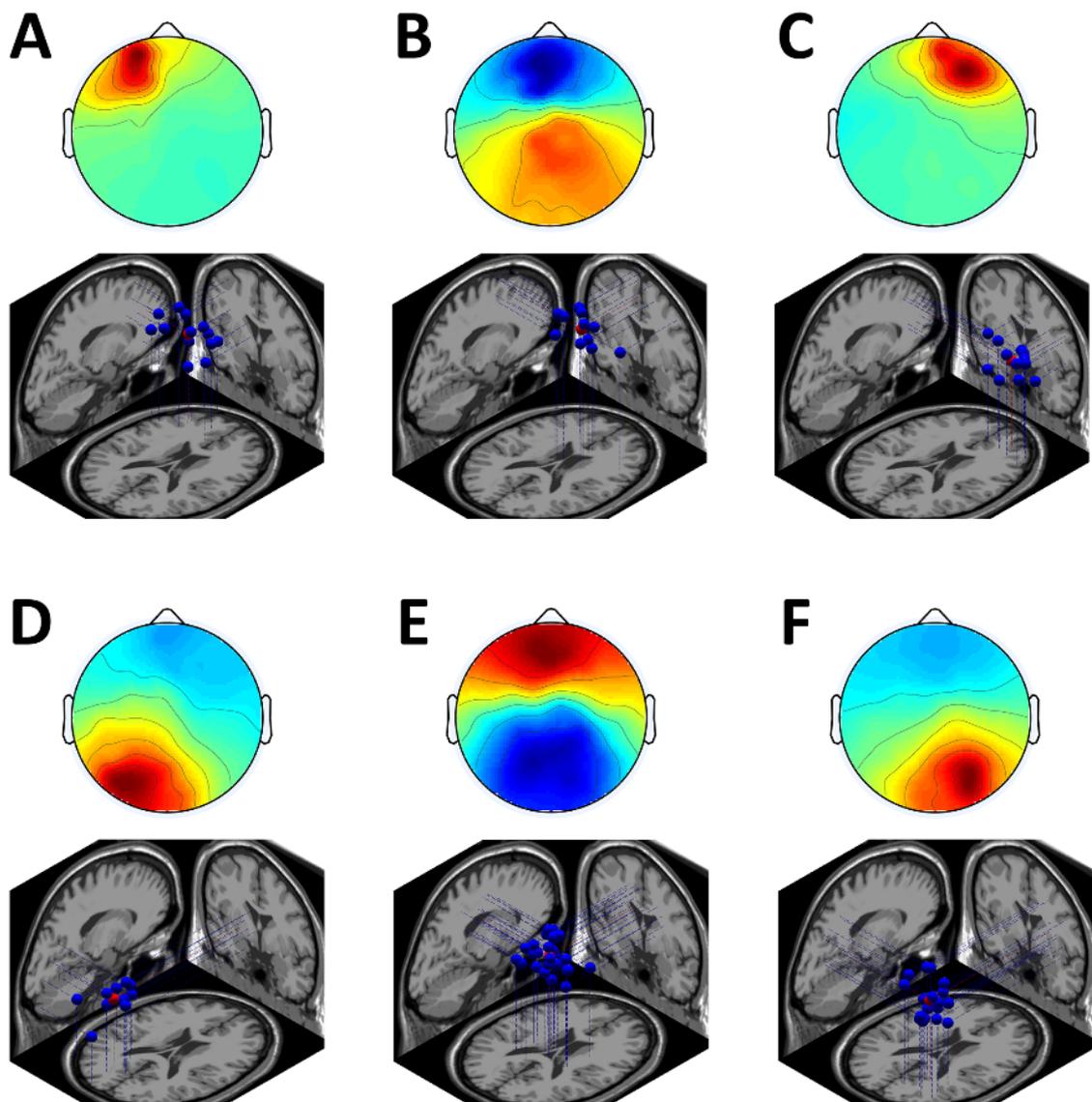
365 al., 2013; Raz & Buhle, 2006; Weissman, Roberts, Visscher, & Woldorff, 2006).

366 Figure 4 shows average scalp maps (top) and dipole localizations (bottom) for

367 these clusters. Note that dipole source location cannot be as accurate as

368 neuroimage techniques, and locations obtained should be interpreted with caution.

369



370

371 **Figure 4.** Average scalp maps and their corresponding dipoles obtained after grouping comparable
372 ICs from all the subjects. Left frontal (A) and right frontal (C), premotor (B), central (E) and left
373 parietal (D) and right parietal (F) IC clusters were analyzed.

374

375 3.3.1. *Phasic EEG dynamics*

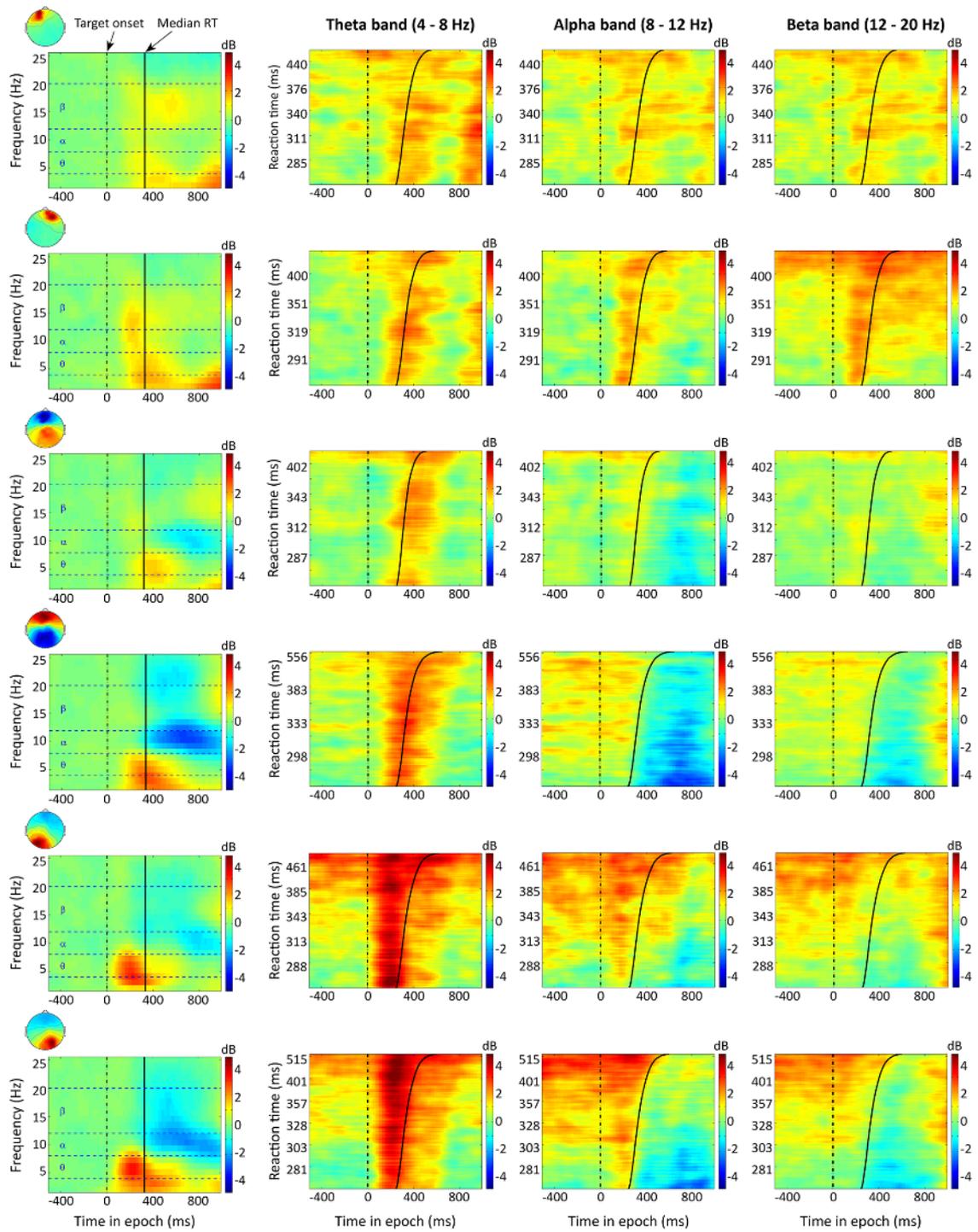
376 Figure 5 shows the phasic power spectra for the six clusters of interest. Left most
377 images are the event related spectral perturbation (ERSP) time-locked to the target
378 onset (dashed black vertical line). The median RT is represented by the solid black
379 vertical line. The three images to the right are the RT-sorted ERSP images for the
380 theta, alpha and beta frequency bands. The solid black curve represents the RTs of
381 all the trials.

382 The left and right parietal, central and right frontal clusters exhibited a theta
383 burst after the target onset. This event-related synchronization (ERS) was time-
384 locked to the target, and it was delayed around 100-150 ms in the central and
385 frontal clusters. In the premotor cluster, theta was exclusively time-locked to the
386 response, and synchronized around 100 ms before it. Finally, around 300-400 ms
387 after the response, the theta synchronization disappeared for all clusters.

388 In the right frontal cluster, alpha showed an ERS around 200 ms after the target
389 onset which vanished after the response. Alpha power also showed an event-
390 related desynchronization (ERD) time-locked to the response in the right parietal,
391 central and premotor clusters. This desynchronization immediately followed the
392 response in the parietal and central clusters, and was delayed and less intensive in
393 the premotor cluster.

394 Beta band showed an ERS time-locked to the target for the right frontal cluster
395 and a similar behavior to alpha power in the parietal, central and frontal clusters,
396 i.e., an ERD time-locked to the response for the parietal and central (although lower
397 in intensity).

398



399

400 **Figure 5.** Phasic EEG dynamics for all clusters of interest. ERSF images (left) show the trial-
 401 averaged EEG changes in the epoch with respect to the baseline for every frequency. The three most
 402 right plots show the frequency power changes in the epoch across trials, which are sorted by RT, for
 403 theta, alpha and beta bands respectively.

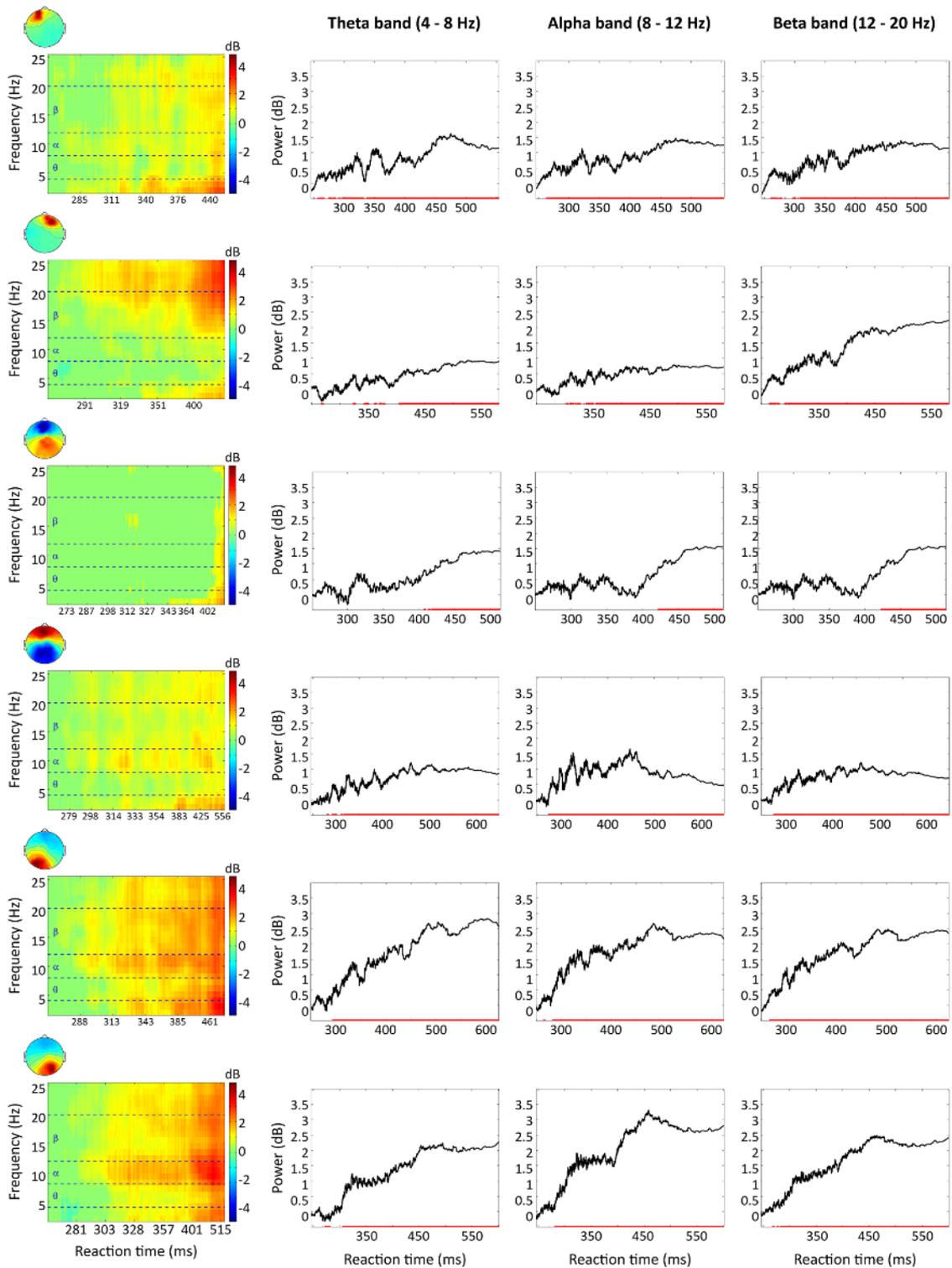
404

405 3.3.2. *Tonic EEG dynamics*

406 Figure 6 shows tonic changes related to the baseline in spectral power for the six
407 clusters of interest. The left image of every cluster shows how the mean power
408 changes with RT for all frequencies. The three plots to the right focus on the
409 averaged mean power for each frequency band (theta, alpha and beta). Statistical
410 significant changes ($p < .05$) from the short-RT trials are represented on the left
411 plots with colors other than green, and with a red horizontal line on the right plots.

412 In general, theta, alpha and beta bands increased with RT for all clusters except
413 the premotor cluster, reaching a plateau for RTs greater than 500 ms. This
414 increment was steeper in both the left and right parietal clusters, and also in the
415 right frontal cluster for the beta band only. In the premotor cluster, the significant
416 power increment for all frequency bands started at RTs greater than 400 ms.

417



418

419 **Figure 6.** Tonic EEG dynamics for cluster of interest. Left image shows shifts in mean frequency
 420 power from the short-RT trials for all frequencies. The three plots to the right shows the mean
 421 power increment for theta, alpha and beta bands. Red horizontal lines represent significant changes
 422 from the power of the short-RT trials.

423

424 4. DISCUSSION

425 This experiment addressed physiological correlates of cognitive-state changes
426 that span from optimal to suboptimal performances in a vigilance task. More
427 specifically, we analyzed the relationship between skin temperature and RT, and
428 EEG power spectra and RT in non-sleep deprived subjects performing a long PVT to
429 predict fatigue and attentional states which are prone to cause safety issues. Slowly-
430 varying (tonic) and event-related (phasic) changes in EEG spectral dynamics were
431 assessed by ICA, time-frequency analysis, and nonparametric permutation-based
432 statistics, methods for modelling fluctuations in spectral dynamics of maximally
433 independent EEG processes during continuous task performance.

434 *4.1. Temperature*

435 The results of the mixed effects models showed a positive relationship between
436 both distal and DPG temperature measures and RT, which is consistent with other
437 studies. For example, Romeijn et al. (2012) showed a positive relationship between
438 DPG and RT on a vigilance task. These results are also consistent with findings that
439 relate a decrement in the core body temperature with a low vigilance state, as
440 indexed by several performance measures, like slow RTs or subjective alertness
441 (Kenneth P Wright, Hull, & Czeisler, 2002). This decrement in core body
442 temperature is further considered as a mechanism to facilitate sleep onset (Kräuchi,
443 2007), which is achieved by means of opening skin capillaries to allow a heat flow
444 to the outside, and results in a temperature increment in areas with a high density
445 of capillaries, like the wrist. Therefore, an increment in distal or DPG temperatures
446 may be related to a low vigilance state, as inferred from slow RTs in our cognitive
447 task (PVT).

448 *4.2. Phasic EEG dynamics*

449 Our results showed a generalized theta burst after the stimulus presentation,
450 which has been linked in several studies to monitoring of the task performance (see
451 for example, Bastiaansen, Posthuma, Groot, & de Geus, 2002; Laukka, Järvillehto,
452 Alexandrov, & Lindqvist, 1995). For parietal and frontal theta activity, two different
453 functional roles have been attributed. Parietal theta activity would be related to the
454 early stages of visual processing (Yordanova et al., 2002) and would contribute to
455 the early components of the ERPs (Gruber, Klimesch, Sauseng, & Doppelmayr,
456 2005), whereas frontal theta activity would involve focused attention, which is
457 increased by stimulus relevance (Deiber et al., 2007). It is also interesting to note
458 the shorter latency of the right frontal theta ERS with respect to the premotor theta
459 ERS, suggesting that these two different sources may also be implicated in different
460 processes (i.e., the attentional network, and premotor processing).

461 Another distinct feature of the theta ERS in this study is that, unlike alpha or
462 beta, it was observed in all clusters related to the attention network (i.e., parietal,
463 central, premotor and right frontal). This would be in consonance with studies
464 proposing theta to mediate in the interaction of areas spatially far from each other
465 (e.g., von Stein & Sarnthein, 2000).

466 This presence of theta all over the attentional network, together with its
467 implication in attentional processes mentioned above, suggest a key role of this
468 frequency as a marker of performance fluctuation of the PVT, presumably
469 mediating the communication between the areas implied in the attentional
470 network.

471 Results of the present study also showed an alpha ERD following the response in
472 the right parietal and central clusters, and around 300 ms after the response in the
473 premotor clusters. In the right frontal cluster, there was a spindle of alpha around
474 100 ms after the target presentation. Alpha desynchronization has been repeatedly
475 reported in literature in relation to attentional processes (e.g., Pfurtscheller &
476 Berghold, 1989; Van Winsum, Sergeant, & Geuze, 1984). Klimesch and colleagues
477 (Klimesch, Doppelmayr, Russegger, Pachinger, & Schwaiger, 1998) showed that
478 different alpha desynchronizations were related to increments in alertness and
479 expectancy, and Pfurtscheller (1992) found that simultaneous alpha ERD and ERS
480 could be found at different scalp locations, which would facilitate information
481 processing in the areas related to the task by means of idling other areas that are
482 not involved in the task. Thus, the alpha decrement in the premotor cluster could be
483 related to a preparation for the next trial and to control the finger movements, as its
484 latency corresponded to the end of the feedback for the previous trial and the
485 appearance of the red circle that marked the start of a new one (Pfurtscheller,
486 Neuper, Andrew, & Edlinger, 1997; Pfurtscheller, Neuper, & Krausz, 2000).

487 More interesting is the response-related alpha desynchronization in the parietal
488 and central clusters, which was probably related to the P300 event-related
489 potential (ERP) component. The P300 has been associated with both attention
490 components and the alpha ERD (Käthner, Wriessnegger, Müller-Putz, Kübler, &
491 Halder, 2014; Sergeant, Geuze, & van Winsum, 1987; Yordanova, Kolev, & Polich,
492 2001). Moreover, Makeig et al. (2004) found that the posterior P300 component
493 was time-locked to the response in a go/no-go task, similarly to our results on EEG
494 frequency dynamics. Therefore, the alpha ERD found in this experiment was
495 probably related to the occurrence of a response-locked P300 component.

496 Therefore, alpha ERD in central and parietal clusters are the most promising indices
497 of short term performance, as they are highly linked to the RT, and alpha is highly
498 related to attentional performance as shown above.

499 Finally, the beta desynchronization observed after the response in the parietal
500 and central clusters could be related to a coherent brain state suppression due to
501 finger movements (Makeig, 1993; Pfurtscheller, 1992).

502

503 *4.3. Tonic EEG dynamics*

504 A power spectrum increment with RT was observed in all frequency bands in all
505 clusters, being more pronounced in the parietal clusters. In the premotor cluster,
506 this increment started with RTs above 400ms. These results replicated an inverse
507 relationship between power spectra and performance that has been consistently
508 referenced in the literature with other vigilance tasks (Chuang et al., 2012; Huang et
509 al., 2008; Huang et al., 2009; Valentino, Arruda, & Gold, 1993).

510 A generalized increment in alpha and theta power as performance declines
511 might be explained by the reduction in synchronization-desynchronization patterns
512 due to fatigue (Craig et al., 2012). This interpretation would be supported by
513 neuroimaging studies that found an increased activity in default mode network
514 related regions during mind wandering or attention lapses (Mason et al., 2007;
515 Weissman et al., 2006). When the brain enters the resting-state default mode, the
516 interactions between different areas will diminish and also will the
517 synchronization-desynchronization patterns, increasing thus the overall frequency
518 power. The longer the brain stays in the resting-state, the higher the power and the
519 RT.

520 In addition, a lower performance in the PVT might also be consequence of a
521 decrement in visual attention, which has often been related to tonic power
522 increases in posterior areas (Worden, Foxe, Wang, & Simpson, 2000). Possibly,
523 these two explanations are not exclusive, both playing a role in boosting the power
524 spectra in the brain as performance drops.

525 On the other hand, increments in the frontal beta band have been explained as an
526 attempt of participants for maintaining a level of performance despite the fatigue,
527 that is, tonic beta increments would be indicative of participants' higher cognitive
528 effort (Craig et al., 2012; Huang et al., 2007). Further research collecting additional
529 measures of this cognitive effort (e.g., by self-report) could test this hypothesis.

530

531 5. LIMITATIONS OF THE STUDY

532 Our sample included only women, but although gender differences have been
533 assessed in vigilance tasks (Waag, Halcomb, & Tyler, 1973), it seems that these
534 differences are not influenced by the drowsy state of the subjects, but rather by the
535 difference in strategy between men and women (i.e., women tend to be more
536 accurate, while men tend to be fast, see Blatter et al., 2006). Thus, it is unlikely that
537 a mixed sex population would have changed the results of this study in a significant
538 way.

539 A more evident concern arises when registering temperature from an only
540 female sample with no control of the menstrual cycle. Nonetheless, Shechter,
541 Boudreau, Varin, & Boivin (2011) found no difference in maximum, minimum,
542 circadian amplitude nor interaction between distal temperature and distal to core
543 gradient temperature with menstrual phase. Other studies have found that

544 circadian phase of both the core body temperature (Baker, Driver, Paiker, Rogers, &
545 Mitchell, 2002; Shibui et al., 2000; Wright & Badia, 1999) and the distal
546 temperature (Shechter et al., 2011) is not altered by menstrual phase. Finally,
547 although severe premenstrual syndrome (PMS) might also affect the EEG and PVT
548 results (Baker & Colrain, 2010), other studies have found no effect of PMS in
549 sustained attention (Jensen, 1982; Keenan, Lindamer, & Jong, 1995; Morgan &
550 Rapkin, 2012).

551 For future research, it would be desirable to increase the power by means of a
552 larger sample size, including also male participants, and controlling for factors like
553 menstrual phase in women.

554 We will also explore the possibility of extrapolating the PVT results to other
555 sustained-attention tasks, in order to use the PVT as a predictor of performance.
556 For this matter, we need to collect and analyze data in multiple sustained-attention
557 tasks from the same subject.

558

559 6. CONCLUSIONS AND FUTURE RESEARCH

560 Nowadays the need for providing services day and night has been increased due
561 to the demands of a 24/7 society, resulting in more than 20% of the population
562 working outside the regular working day hours (Rajaratnam & Arendt, 2001). Shift
563 workers, all night long bus and truck routes, air traffic control, are a few examples
564 of tasks requiring good capabilities for sustaining vigilance and in which fatigue can
565 be a key safety issue.

566 Several studies have addressed the relationship between vigilance and spectral
567 changes in the EEG (Jung, Makeig, Stensmo, & Sejnowski, 1997; Peiris, Jones,

568 Davidson, & Bones, 2006) on one side, and between vigilance and skin temperature
569 on the other (Raymann & Van Someren, 2007; Romeijn & Van Someren, 2011),
570 being both physiological variables helpful to predict the fluctuations in human
571 performance. This study assessed whether the PVT could gather these physiological
572 changes related to vigilance. This task requires high vigilance levels and
573 performance does not seem to be influenced by practice, features that make the
574 PVT a good candidate to predict vigilance decrements in daily life tasks. The use of
575 ICA allowed us to identify maximally independent components related to the task,
576 enhancing thus the signal to noise ratio. Both phasic and tonic EEG results showed
577 that EEG activity during the PVT execution was related to attentional processes. As
578 we hypothesized, we observed a pre-stimulus spectra increment together with
579 increments in RT, especially in the theta and alpha. Also, an ERD locked to the
580 response occurs in the alpha-band, which has been related to attentional
581 fluctuations in vigilance tasks. Furthermore, this EEG activity was located (as IC
582 dipoles showed) in brain areas reported to be activated in the PVT performance,
583 like the medial frontal gyrus or the supplementary motor area (Drummond et al.,
584 2005).

585 Finally, RTs in the PVT were correlated with minute-by-minute skin temperature
586 changes. Therefore, both EEG and temperature can serve as useful indexes to
587 anticipate and prevent performance drops. Note, however, that although the
588 recording of body temperature is easier than the EEG, it has poorer temporal
589 resolution.

590 Health and safety problems associated with fatigue are inherent to our lifestyle,
591 and therefore, obtaining indices to predict and prevent fatigue is an important

592 research topic. The main contributions of the current study to this topic are: (1) it
593 shows that an extended PVT can gather attentional fluctuations in non-sleep
594 deprived subjects; (2) it has identified several physiological markers of attentional
595 processes demanded by the PVT (i.e., the alpha and theta frequency bands power
596 and the distal and DPG temperature measures); and (3) it shows that such markers
597 are common to those found in other studies that use different vigilance tasks.

598

599

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609

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