

# Isometries on extremely non-complex Banach spaces

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Introduction  
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Bounded case  
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Unbounded case  
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Extremely non-complex (1)  
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Extremely non-complex (2)  
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*Introduction:  
notation, objectives and motivation*

## Basic notation and main objectives

### Notation

$X$  real or complex Banach space.

- $S_X$  unit sphere,  $B_X$  closed unit ball.
- $X^*$  dual space.
- $L(X)$  bounded linear operators.
- $W(X)$  weakly compact linear operators.
- $\text{Iso}(X)$  surjective isometries group.

### Objective

- Construct spaces  $X$  with small  $\text{Iso}(X)$  and big  $\text{Iso}(X^*)$ .
- To cases:
  - $\text{Iso}(X)$  does not have uniformly continuous one-parameter semigroups but  $\text{Iso}(X^*) \supset \text{Iso}(\ell_2)$ .
  - $\text{Iso}(X) = \{\pm \text{Id}\}$  but  $\text{Iso}(X^*) \supset \text{Iso}(\ell_2)$ .

# Motivation

$X$  Banach space.

## Autonomous dynamic system

$$(\diamond) \quad \begin{cases} x'(t) = Ax(t) \\ x(0) = x_0 \end{cases} \quad x_0 \in X, A \text{ linear closed densely defined.}$$

## One-parameter semigroup of operators

$\Phi : \mathbb{R}_0^+ \longrightarrow L(X)$  such that  $\Phi(t+s) = \Phi(t)\Phi(s) \forall t, s \in \mathbb{R}_0^+, \Phi(0) = \text{Id}$ .

- *Uniformly continuous*:  $\Phi : \mathbb{R}_0^+ \longrightarrow (L(X), \|\cdot\|)$  continuous.
- *Strongly continuous*:  $\Phi : \mathbb{R}_0^+ \longrightarrow (L(X), \text{SOT})$  continuous.

## Relationship (Hille-Yoshida, 1950's)

- *Bounded case*:
  - If  $A \in L(X) \implies \Phi(t) = \exp(tA)$  solution of  $(\diamond)$  uniformly continuous.
  - $\Phi$  uniformly continuous  $\implies A = \Phi'(0) \in L(X)$  and  $\Phi$  solution of  $(\diamond)$ .
- *Unbounded case*:
  - $\Phi$  strongly continuous  $\implies A = \Phi'(0)$  closed and  $\Phi$  solution of  $(\diamond)$ .
  - If  $(\diamond)$  has solution  $\Phi$  strongly continuous  $\implies A = \Phi'(0)$  and  $\Phi(t) = \text{"exp}(tA)\text{"}$ .

# Sketch of the talk

- 1 Introduction
- 2 Bounded or uniformly continuous case
- 3 Problems with the numerical range for unbounded operators
- 4 Extremely non-complex Banach spaces: motivation and first examples
- 5 Extremely non-complex Banach spaces: surjective isometries

# *Bounded or uniformly continuous case*



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The group of isometries of a Banach space and duality.  
*J. Funct. Anal.* (2008).

- 1 Introduction
- 2 Bounded or uniformly continuous case**
- 3 Problems with the numerical range for unbounded operators
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# Hilbert spaces

## Hilbert space Numerical range (Toeplitz, 1918)

- $A$   $n \times n$  real or complex matrix

$$W(A) = \{(Ax \mid x) : x \in \mathbb{K}^n, (x \mid x) = 1\}.$$

- $H$  real or complex Hilbert space,  $T \in L(H)$ ,

$$W(T) = \{(Tx \mid x) : x \in H, \|x\| = 1\}.$$

## Some properties

$H$  Hilbert space,  $T \in L(H)$ :

- $W(T)$  is convex.
- In the complex case,  $\overline{W(T)}$  contains the spectrum of  $T$ .
- If, moreover,  $T$  is normal,  $\overline{W(T)} = \overline{\text{co}} Sp(T)$ .

# Banach spaces

## Banach space numerical range (Bauer 1962; Lumer, 1961)

$X$  Banach space,  $T \in L(X)$ ,

$$V(T) = \{x^*(Tx) : x^* \in S_{X^*}, x \in S_X, x^*(x) = 1\}$$

## Some properties

$X$  Banach space,  $T \in L(X)$ :

- $V(T)$  is connected (not necessarily convex).
- In the complex case,  $\overline{V(T)}$  contains the spectrum of  $T$ .
- Actually,

$$\overline{\text{co}} Sp(T) = \bigcap \overline{\text{co}} V(T),$$

the intersection taken over all numerical ranges  $V(T)$  corresponding to equivalent norms on  $X$ .



## Numerical radius

$X$  real or complex Banach space,  $T \in L(X)$ ,

$$v(T) = \sup \{ |\lambda| : \lambda \in V(T) \}.$$

- $v$  is a seminorm with  $v(T) \leq \|T\|$ .
- $v(T) = v(T^*)$  for every  $T \in L(X)$ .

## Numerical index (Lumer, 1968)

$X$  real or complex Banach space,

$$\begin{aligned} n(X) &= \inf \{ v(T) : T \in L(X), \|T\| = 1 \} \\ &= \max \{ k \geq 0 : k\|T\| \leq v(T) \forall T \in L(X) \}. \end{aligned}$$

## Remarks

- $n(X) = 1$  iff  $v(T) = \|T\|$  for every  $T \in L(X)$ .
- If there is  $T \neq 0$  with  $v(T) = 0$ , then  $n(X) = 0$ .
- If  $X$  is complex, then  $n(X) \geq 1/e$ .

## Relationship with semigroups of operators

### A motivating example

A real or complex  $n \times n$  matrix. TFAE:

- $A$  is skew-adjoint (i.e.  $A^* = -A$ ).
- $\operatorname{Re}(Ax | x) = 0$  for every  $x \in H$ .
- $B = \exp(\rho A)$  is unitary for every  $\rho \in \mathbb{R}$  (i.e.  $B^* B = \operatorname{Id}$ ).

### In term of Hilbert spaces

$H$  ( $n$ -dimensional) Hilbert space,  $T \in L(H)$ . TFAE:

- $\operatorname{Re} W(T) = \{0\}$ .
- $\exp(\rho T) \in \operatorname{Iso}(H)$  for every  $\rho \in \mathbb{R}$ .

### For general Banach spaces

$X$  Banach space,  $T \in L(X)$ . TFAE:

- $\operatorname{Re} V(T) = \{0\}$ .
- $\exp(\rho T) \in \operatorname{Iso}(X)$  for every  $\rho \in \mathbb{R}$ .

## Characterizing uniformly continuous semigroups of operators

## Theorem

$X$  real or complex Banach space,  $T \in L(X)$ . TFAE:

- $\operatorname{Re} V(T) = \{0\}$ .
- $\|\exp(\rho T)\| \leq 1$  for every  $\rho \in \mathbb{R}$ .
- $\{\exp(\rho T) : \rho \in \mathbb{R}_0^+\} \subset \operatorname{Iso}(X)$ .
- $T$  belongs to the tangent space of  $\operatorname{Iso}(X)$  at  $\operatorname{Id}$ , i.e. exists a function  $f : [-1, 1] \rightarrow \operatorname{Iso}(X)$  with  $f(0) = \operatorname{Id}$  and  $f'(0) = T$ .
- $\lim_{\rho \rightarrow 0} \frac{\|\operatorname{Id} + \rho T\| - 1}{\rho} = 0$ , i.e. the derivative or the norm of  $L(X)$  at  $\operatorname{Id}$  in the direction of  $T$  is null.

## Consequences

- For every  $T \in L(X)$

$$\|\exp(\rho T)\| \leq e^{v(T)\rho} \quad (\rho \in \mathbb{R})$$

and  $v(T)$  is the smaller possibility.

- Then,  $n(X) = 1$  is the worst possibility to find uniformly continuous one-parameter semigroups of isometries.

## The main example

Spaces  $C_E(K||L)$ 

$K$  compact,  $L \subset K$  closed nowhere dense,  $E \subset C(L)$ .

$$C_E(K||L) = \{f \in C(K) : f|_L \in E\}.$$

## Theorem

$$C_E(K||L)^* \cong E^* \oplus_1 C_0(K||L)^* \quad \& \quad n(C_E(K||L)) = 1.$$

## Consequence: the example

Take  $K = [0, 1]$ ,  $L = \Delta$ ,  $E = \ell_2 \subset C(\Delta)$ .

- $\text{Iso}(C_{\ell_2}([0, 1]||\Delta))$  has no uniformly continuous one-parameter semigroups.
- $C_{\ell_2}([0, 1]||\Delta)^* \cong \ell_2 \oplus_1 C_0([0, 1]||\Delta)^*$ , so taken  $S \in \text{Iso}(\ell_2)$

$$\implies T = \begin{pmatrix} S & 0 \\ 0 & \text{Id} \end{pmatrix} \in \text{Iso}(C_{\ell_2}([0, 1]||\Delta)^*)$$

Then,  $\text{Iso}(C_{\ell_2}([0, 1]||\Delta)^*)$  contains infinitely many uniformly continuous one-parameter semigroups.

## Isometries in finite-dimensional spaces

### Theorem

$X$  finite-dimensional **real** space. TFAE:

- $\text{Iso}(X)$  is infinite.
- $n(X) = 0$ .
- There is  $T \in L(X)$ ,  $T \neq 0$ , with  $v(T) = 0$ .

### Examples of spaces of this kind

- 1 Hilbert spaces.
- 2  $X_{\mathbb{R}}$ , the real space subjacent to any complex space  $X$ .
- 3 An absolute sum of any real space and one of the above.
- 4 Moreover, if  $X = X_0 \oplus X_1$  where  $X_1$  is complex and

$$\left\| x_0 + e^{i\theta} x_1 \right\| = \|x_0 + x_1\| \quad (x_0 \in X_0, x_1 \in X_1, \theta \in \mathbb{R}).$$

(Note that the other 3 cases are included here)

### Question

Can every Banach space  $X$  with  $n(X) = 0$  be decomposed as in 4 ?

## Negative answer I

### Infinite-dimensional case

There is an infinite-dimensional real Banach space  $X$  with  $n(X) = 0$  but  $X$  is polyhedral. In particular,  $X$  does not contain  $\mathbb{C}$  isometrically.

### An easy example is

$$X = \left[ \bigoplus_{n \geq 2} X_n \right]_{c_0}$$

$X_n$  is the two-dimensional space whose unit ball is the regular polygon of  $2n$  vertices.

### Note

Such an example is not possible in the finite-dimensional case.

## (Quasi affirmative) negative answer II

## Finite-dimensional case

$X$  finite-dimensional real space. TFAE:

- $n(X) = 0$ .
- $X = X_0 \oplus X_1 \oplus \cdots \oplus X_n$  such that
  - $X_0$  is a (possible null) real space,
  - $X_1, \dots, X_n$  are non-null complex spaces,

there are  $\rho_1, \dots, \rho_n$  **rational** numbers, such that

$$\left\| x_0 + e^{i\rho_1\theta} x_1 + \cdots + e^{i\rho_n\theta} x_n \right\| = \left\| x_0 + x_1 + \cdots + x_n \right\|$$

for every  $x_i \in X_i$  and every  $\theta \in \mathbb{R}$ .

## Remark

- The theorem is due to Rosenthal, but with real  $\rho$ 's.
- The fact that the  $\rho$ 's may be chosen as rational numbers is due to M.–Merí–Rodríguez–Palacios.

## Consequences

## Corollary

$X$  real space with  $n(X) = 0$ .

- If  $\dim(X) = 2$ , then  $X \equiv \mathbb{C}$ .
- If  $\dim(X) = 3$ , then  $X \equiv \mathbb{R} \oplus \mathbb{C}$  (absolute sum).

## Natural question

Are all finite-dimensional  $X$ 's with  $n(X) = 0$  of the form  $X = X_0 \oplus X_1$  ?

## Answer

No.

## Example

$X = (\mathbb{R}^4, \|\cdot\|)$ ,  $\|(a, b, c, d)\| = \frac{1}{4} \int_0^{2\pi} \left| \operatorname{Re} \left( e^{2it}(a+ib) + e^{it}(c+id) \right) \right| dt$ .

Then  $n(X) = 0$  but the unique possible decomposition is  $X = \mathbb{C} \oplus \mathbb{C}$  with

$$\left\| e^{it}x_1 + e^{2it}x_2 \right\| = \|x_1 + x_2\|.$$



# The Lie-algebra of a Banach space

## Lie-algebra

$X$  real Banach space,  $\mathcal{Z}(X) = \{T \in L(X) : v(T) = 0\}$ .

- When  $X$  is finite-dimensional,  $\text{Iso}(X)$  is a Lie-group and  $\mathcal{Z}(X)$  is the tangent space (i.e. its Lie-algebra).

## Remark

If  $\dim(X) = n$ , then

$$0 \leq \dim(\mathcal{Z}(X)) \leq \frac{n(n-1)}{2}.$$

## An open problem

Given  $n \geq 3$ , which are the possible  $\dim(\mathcal{Z}(X))$  over all  $n$ -dimensional  $X$ 's?

## Observation (Javier Merí, PhD)

When  $\dim(X) = 3$ ,  $\dim(\mathcal{Z}(X))$  cannot be 2.

## Numerical index of Banach spaces

### Numerical index (Lumer, 1968)

$X$  real or complex Banach space,

$$n(X) = \max\{k \geq 0 : k\|T\| \leq v(T) \forall T \in L(X)\}.$$

### Some examples

- ①  $C(K)$ ,  $L_1(\mu)$  have numerical index 1.
- ②  $H$  Hilbert space,  $\dim(H) > 1$ , then

$$n(H) = 0 \quad \text{real case} \qquad n(H) = \frac{1}{2} \quad \text{complex case.}$$

- ③  $n(L_p[0,1]) = n(\ell_p)$  but both are unknown.
- ④ If  $X_n$  is the two-dimensional space such that  $B_{X_n}$  is a  $2n$ -polygon, then

$$n(X_n) = \tan\left(\frac{\pi}{2n}\right) \quad \text{if } n \text{ is even} \qquad n(X_n) = \sin\left(\frac{\pi}{2n}\right) \quad \text{if } n \text{ is odd.}$$

- ⑤ If  $X$  is a  $C^*$ -algebra or the predual of a von Neumann algebra, then  $n(X) = 1$  if the algebra is commutative and  $n(X) = 1/2$  otherwise.

## Numerical index and duality

### Proposition

$X$  Banach space.

- $v(T^*) = v(T)$  for every  $T \in L(X)$ .
- Therefore,  $n(X^*) \leq n(X)$ .

### Question (1970)

Is it always  $n(X) = n(X^*)$  ?

### Some positive partial answers

- When  $X$  is reflexive (evident).
- When  $X$  is a  $C^*$ -algebra or a von Neumann predual (1970's – 2000's).
- When  $X$  is  $L$ -embedded in  $X^{**}$  (2000's).
- If  $X$  has RNP and  $n(X) = 1$ , then  $n(X^*) = 1$  (2000's).

## Numerical index and duality. II

## Answer

The answer is **NO**:

## Example (Boyko-Kadets-M.-Werner, 2007)

$$X = \{(x, y, z) \in c \oplus_{\infty} c \oplus_{\infty} c : \lim x + \lim y + \lim z = 0\}.$$

With the previous construction it is easy to give examples:

## Another example

- It is known: if  $X$  or  $X^*$  is a  $C^*$ -algebra, then  $n(X) = n(X^*)$ .
- Consider  $Y = C_{K(\ell_2)}([0, 1] \parallel \Delta)$ . Then

$$n(Y) = 1 \quad \text{and} \quad Y^* \cong K(\ell_2)^* \oplus_1 C_0([0, 1] \parallel \Delta)^*.$$

So,  $Y^{**} \cong L(\ell_2) \oplus_{\infty} C_0([0, 1] \parallel \Delta)^{**}$  is a  $C^*$ -algebra but  
 $n(Y^*) \leq n(K(\ell_2)) = 1/2$ .

## Numerical index and duality. III

## Remark

In all the examples there are another predual for which the numerical index coincides with the numerical index of its dual.

## Open problems

We look for sufficient conditions assuring the equality between the numerical index of a Banach space and the one of its dual.

- ① Asplundness is not such a property.
- ② What's about RNP ?
- ③ What's about if  $X^*$  has a unique predual ? (it's true for  $L$ -embedded).
- ④ What's about if  $X$  does not contains a copy of  $c_0$  ?

## Theorem

$X$  separable Banach space containing (an isomorphic copy of)  $c_0$ , then there is an equivalent norm  $|\cdot|$  on  $X$  such that

$$n((X, |\cdot|)^*) = 0, 1/e \quad \text{and} \quad n((X, |\cdot|)) = 1.$$

# Bibliography for the bounded case



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*Problems with the unbounded or strongly  
continuous case*

## Numerical range of unbounded operators

### Numerical range of unbounded operators (1960's)

$X$  Banach space,  $T : D(T) \rightarrow X$  linear,

$$V(T) = \{x^*(Tx) : x^* \in X^*, x \in D(T), x^*(x) = \|x^*\| = \|x\| = 1\}.$$

### Teorema (Stone, 1932)

$H$  Hilbert space,  $A$  densely defined operator. TFAE:

- $A$  generates an strongly continuous one-parameter semigroup of unitary operators (onto isometries).
- $A^* = -A$ .
- $\operatorname{Re}(Ax | x) = 0$  for every  $x \in D(A)$ .



## Numerical range of unbounded operators. II

### Difficulty

Which Banach spaces have unbounded operators with numerical range zero?

### Examples

- In  $C_0(\mathbb{R})$ ,  $\Phi(t)(f)(s) = f(t+s)$  is a strongly continuous one-parameter semigroup of isometries (generated by the derivative).
- In  $C_E([0,1]|\Delta)$  there are also strongly continuous one-parameter semigroups of isometries.

### Consequence

We have to completely change our approach to the problem.

# Extremely non-complex Banach spaces: motivation and first examples



P. Koszmider, M. Martín, and J. Merí.  
Extremely non-complex  $C(K)$  spaces.  
*J. Math. Anal. Appl.* (2009).

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# Complex structures

## Definition

$X$  has **complex structure** if there is  $T \in L(X)$  such that  $T^2 = -\text{Id}$ .

## Some remarks

- This gives a structure of vector space over  $\mathbb{C}$ :

$$(\alpha + i\beta)x = \alpha x + \beta T(x) \quad (\alpha + i\beta \in \mathbb{C}, x \in X)$$

- Defining

$$\|x\| = \max\{\|e^{i\theta}x\| : \theta \in [0, 2\pi]\} \quad (x \in X)$$

one gets that  $(X, \|\cdot\|)$  is a complex Banach space.

- If  $T$  is an isometry, then actually the given norm of  $X$  is complex.
- Conversely, if  $X$  is a complex Banach space, then

$$T(x) = ix \quad (x \in X)$$

satisfies  $T^2 = -\text{Id}$  and  $T$  is an isometry.

## Complex structures II

## Some examples

- ① If  $\dim(X) < \infty$ ,  $X$  has complex structure iff  $\dim(X)$  is even.
- ② If  $X \simeq Z \oplus Z$  (in particular,  $X \simeq X^2$ ), then  $X$  has complex structure.
- ③ There are infinite-dimensional Banach spaces without complex structure:
  - **Dieudonné, 1952:** the James' space  $\mathcal{J}$  (since  $\mathcal{J}^{**} \equiv \mathcal{J} \oplus \mathbb{R}$ ).
  - **Szarek, 1986:** uniformly convex examples.
  - **Gowers-Maurey, 1993:** their H.I. space.
  - **Ferenczi-Medina Galego, 2007:** there are **odd** and **even** infinite-dimensional spaces  $X$ .
    - $X$  is even if admits a complex structure but its hyperplanes does not.
    - $X$  is odd if its hyperplanes are even (and so  $X$  does not admit a complex structure).

## Definition

$X$  is **extremely non-complex** if  $\text{dist}(T^2, -\text{Id})$  is the maximum possible, i.e.

$$\|\text{Id} + T^2\| = 1 + \|T^2\| \quad (T \in L(X))$$

# The Daugavet equation

## What Daugavet did in 1963

The norm equality

$$\|\text{Id} + T\| = 1 + \|T\|$$

holds for every compact  $T \in L(C[0,1])$ .

## The Daugavet equation

$X$  Banach space,  $T \in L(X)$ ,  $\|\text{Id} + T\| = 1 + \|T\|$  (DE).

## Classical examples

1 **Daugavet, 1963:**

Every compact operator on  $C[0,1]$  satisfies (DE).

2 **Lozanoskii, 1966:**

Every compact operator on  $L_1[0,1]$  satisfies (DE).

3 **Abramovich, Holub, and more, 80's:**

$X = C(K)$ ,  $K$  perfect compact space

or  $X = L_1(\mu)$ ,  $\mu$  atomless measure

$\implies$  every weakly compact  $T \in L(X)$  satisfies (DE).

## The Daugavet property

### The Daugavet property (Kadets–Shvidkoy–Sirotkin–Werner, 1997)

A Banach space  $X$  is said to have the **Daugavet property** iff every rank-one operator on  $X$  satisfies (DE).

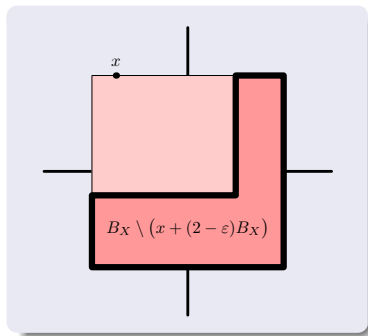
### Some results

Let  $X$  be a Banach space with the Daugavet property. Then

- Every weakly compact operator on  $X$  satisfies (DE).
- $X$  contains  $\ell_1$ .
- $X$  does not embed into a Banach space with unconditional basis.
- **Geometric characterization:**  $X$  has the Daugavet property iff for each  $x \in S_X$

$$\overline{\text{co}} \left( B_X \setminus (x + (2 - \varepsilon)B_X) \right) = B_X.$$

(Kadets–Shvidkoy–Sirotkin–Werner, 1997 & 2000)



# The Daugavet property II

## More examples

The following spaces have the Daugavet property.

- **Wojtaszczyk, 1992:**  
The disk algebra and  $H^\infty$ .
- **Werner, 1997:**  
“Nonatomic” function algebras.
- **Oikhberg, 2005:**  
Non-atomic  $C^*$ -algebras and preduals of non-atomic von Neumann algebras.
- **Becerra–M., 2005:**  
Non-atomic  $JB^*$ -triples and their preduals.
- **Becerra–M., 2006:**  
Preduals of  $L_1(\mu)$  without Fréchet-smooth points.
- **Ivankhno, Kadets, Werner, 2007:**  
 $\text{Lip}(K)$  when  $K \subseteq \mathbb{R}^n$  is compact and convex.

## Daugavet-type inequalities

## Some examples

● **Benyamini–Lin, 1985:**

For every  $1 < p < \infty$ ,  $p \neq 2$ , there exists  $\psi_p : (0, \infty) \rightarrow (0, \infty)$  such that

$$\|\text{Id} + T\| \geq 1 + \psi_p(\|T\|)$$

for every compact operator  $T$  on  $L_p[0, 1]$ .

- If  $p = 2$ , then there is a non-null compact  $T$  on  $L_2[0, 1]$  such that

$$\|\text{Id} + T\| = 1.$$

● **Boyko–Kadets, 2004:**

If  $\psi_p$  is the best possible function above, then

$$\lim_{p \rightarrow 1^+} \psi_p(t) = t \quad (t > 0).$$

● **Oikhberg, 2005:**

If  $K(\ell_2) \subseteq X \subseteq L(\ell_2)$ , then

$$\|\text{Id} + T\| \geq 1 + \frac{1}{8\sqrt{2}} \|T\|$$

for every compact  $T$  on  $X$ .



## Norm equalities for operators

### Motivating question

Are there other norm equalities which could define interesting properties of Banach spaces ?

### Concretely

We looked for non-trivial norm equalities of the forms

$$\|g(T)\| = f(\|T\|) \quad \text{or} \quad \|\text{Id} + g(T)\| = f(\|g(T)\|)$$

( $g$  analytic,  $f$  arbitrary) satisfied by all rank-one operators on a Banach space.

### Solution

We proved that there are few possibilities. . .

## Norm equalities for operators: Occlusive results

## Theorem

$X$  real or complex with  $\dim(X) \geq 2$ .  
Suppose that the norm equality

$$\|g(T)\| = f(\|T\|)$$

holds for every rank-one operator  $T \in L(X)$ , where

- $g : \mathbb{K} \rightarrow \mathbb{K}$  is analytic,
- $f : \mathbb{R}_0^+ \rightarrow \mathbb{R}$  is arbitrary.

Then, there are  $a, b \in \mathbb{K}$  such that

$$g(\zeta) = a + b\zeta \quad (\zeta \in \mathbb{K}).$$

## Corollary

Only three norm equalities of the form

$$\|g(T)\| = f(\|T\|)$$

are possible:

- $b = 0$ :  $\|a \text{Id}\| = |a|$ ,
  - $a = 0$ :  $\|bT\| = |b| \|T\|$ ,
- (trivial cases)

- $a \neq 0, b \neq 0$ :  
 $\|a \text{Id} + bT\| = |a| + |b| \|T\|$ ,
- (Daugavet property)

## Norm equalities for operators: Occlusive results II

## Theorem

$X$  complex with  $\dim(X) \geq 2$ . Suppose that the norm equality

$$\|\text{Id} + g(T)\| = f(\|g(T)\|)$$

holds for every rank-one operator  $T \in L(X)$ , where

- $g : \mathbb{C} \rightarrow \mathbb{C}$  is analytic, non constant and with  $g(0) = 0$ ,
- $f : \mathbb{R}_0^+ \rightarrow \mathbb{R}$  is continuous.

Then,  $X$  has the Daugavet property

## Remarks

- We do not know if the result is true in the real case.
- It is true if  $g$  is onto.
- Even the simplest case,  $g(t) = t^2$ , is not solved. The only known thing is that, in this case,  $f(t) = 1 + t$ , leading to the equation

$$\|\text{Id} + T^2\| = 1 + \|T^2\|$$

## The question

Godefroy, private communication

Is there any real Banach space  $X$  (with  $\dim(X) > 1$ ) such that

$$\|\text{Id} + T^2\| = 1 + \|T^2\|$$

for every operator  $T \in L(X)$  ?

In other words, are there extremely non-complex Banach spaces other than  $\mathbb{R}$  ?

## The first attempts

### The first idea

We may try to check whether the known spaces without complex structure are actually extremely non-complex.

### Some examples

- 1 If  $\dim(X) < \infty$ ,  $X$  has complex structure iff  $\dim(X)$  is even.
- 2 **Dieudonné, 1952:** the James' space  $\mathcal{J}$  (since  $\mathcal{J}^{**} \equiv \mathcal{J} \oplus \mathbb{R}$ ).
- 3 **Szarek, 1986:** uniformly convex examples.
- 4 **Gowers-Maurey, 1993:** their H.I. space.
- 5 **Ferenczi-Medina Galego, 2007:** there are **odd** and **even** infinite-dimensional spaces  $X$ .
  - $X$  is even if admits a complex structure but its hyperplanes does not.
  - $X$  is odd if its hyperplanes are even (and so  $X$  does not admit a complex structure).

(Un)fortunately...

This did not work and we moved to  $C(K)$  spaces.

## The first example: weak multiplications

### Weak multiplication

Let  $K$  be a compact space.  $T \in L(C(K))$  is a **weak multiplication** if

$$T = g\text{Id} + S$$

where  $g \in C(K)$  and  $S$  is weakly compact.

### Theorem

$K$  perfect,  $T = g\text{Id} + S \in L(C(K))$  weak multiplication

$$\implies \|\text{Id} + T^2\| = 1 + \|T^2\|$$

## Proof of the theorem

We have  $X = C(K)$ ,  $K$  perfect,  $T = g\text{Id} + S$

- $\max \|\text{Id} \pm T\| = 1 + \|T\|$  (true for every  $K$  and every  $T$ )
- $\|\text{Id} + S\| = 1 + \|S\|$  (if  $S \in W(X)$ ,  $K$  perfect)

We need

$$\|\text{Id} + T^2\| = 1 + \|T^2\|$$

- If  $T = g\text{Id} + S$ , then  $T^2 = g^2\text{Id} + S'$  with  $S'$  weakly compact.
- We will prove that  $\|\text{Id} + g^2\text{Id} + S\| = 1 + \|g^2\text{Id} + S\|$  for  $g \in C(K)$  and  $S$  weakly compact.
- **Step 1:** We assume  $\|g^2\| \leq 1$  and  $\min g^2(K) > 0$ .
- **Step 2:** We can avoid the assumption that  $\min g^2(K) > 0$ .
- **Step 3:** Finally, for every  $g$  the above gives

$$\left\| \text{Id} + \frac{1}{\|g^2\|} (g^2\text{Id} + S) \right\| = 1 + \frac{1}{\|g^2\|} \|g^2\text{Id} + S\|$$

which gives us the result.

## The first example: weak multiplications. II

### Weak multiplication

Let  $K$  be a compact space.  $T \in L(C(K))$  is a **weak multiplication** if

$$T = g\text{Id} + S$$

where  $g \in C(K)$  and  $S$  is weakly compact.

### Theorem

$K$  perfect,  $T = g\text{Id} + S \in L(C(K))$  weak multiplication

$$\implies \|\text{Id} + T^2\| = 1 + \|T^2\|$$

### Example (Koszmider, 2004; Plebanek, 2004)

There are perfect compact spaces  $K$  such that all operators on  $C(K)$  are weak multiplications.

### Consequence

Therefore, there are extremely non-complex  $C(K)$  spaces.



## More examples: weak multipliers

### Weak multiplier

Let  $K$  be a compact space.  $T \in L(C(K))$  is a **weak multiplier** if

$$T^* = g\text{Id} + S$$

where  $g$  is a Borel function and  $S$  is weakly compact.

### Theorem

If  $K$  is perfect and all operators on  $C(K)$  are weak multipliers, then  $C(K)$  is extremely non-complex.

### Example (Koszmider, 2004)

There are infinitely many different perfect compact spaces  $K$  such that all operators on  $C(K)$  are weak multipliers.

### Corollary

There are infinitely many non-isomorphic extremely non-complex Banach spaces.

## Further examples

### Proposition

There is a compact infinite totally disconnected and perfect space  $K$  such that all operators on  $C(K)$  are weak multipliers.

### Consequence

There is a family  $(K_i)_{i \in I}$  of pairwise disjoint perfect and totally disconnected compact spaces such that

- every operator on  $C(K_i)$  is a weak multiplier,
- for  $i \neq j$ , every  $T \in L(C(K_i), C(K_j))$  is weakly compact.

### Theorem

There are some compactifications  $\tilde{K}$  of the above family  $(K_i)_{i \in I}$  such that the corresponding  $C(\tilde{K})$ 's are extremely non-complex.

## Further examples II

### Main consequence

There are perfect compact spaces  $K_1, K_2$  such that:

- $C(K_1)$  and  $C(K_2)$  are extremely non-complex,
- $C(K_1)$  contains a complemented copy of  $C(\Delta)$ .
- $C(K_2)$  contains a 1-complemented isometric copy of  $\ell_\infty$ .

### Observation

- $C(K_1)$  and  $C(K_2)$  have operators which are not weak multipliers.
- They are not indecomposable spaces.

## Related open questions

## Question 1

Find topological characterization of the compact Hausdorff spaces  $K$  such that the spaces  $C(K)$  are extremely non-complex.

## Question 2

Find topological consequences on  $K$  when  $C(K)$  is extremely non-complex.  
For instance:

If  $C(K)$  is extremely non-complex and  $\psi : K \rightarrow K$  is continuous, are there an open subset  $U$  of  $K$  such that  $\psi|_U = \text{id}$  and  $\psi(K \setminus U)$  is finite ?

- We will show latter than  $\varphi : K \rightarrow K$  homeomorphism  $\implies \varphi = \text{id}$ .

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# Extremely non-complex Banach spaces: surjective isometries



P. Koszmider, M. Martín, and J. Merí.  
Isometries on extremely non-complex Banach spaces.  
*Preprint* (2008).

- 1 Introduction
- 2 Bounded or uniformly continuous case
- 3 Problems with the numerical range for unbounded operators
- 4 Extremely non-complex Banach spaces: motivation and first examples
- 5 Extremely non-complex Banach spaces: surjective isometries

# Extremely non-complex Banach spaces

## Definition

$X$  is **extremely non-complex** if  $\text{dist}(T^2, -\text{Id})$  is the maximum possible, i.e.

$$\|\text{Id} + T^2\| = 1 + \|T^2\| \quad (T \in L(X))$$

## Examples

There are several extremely non-complex  $C(K)$  spaces:

- If  $T = g\text{Id} + S$  for every  $T \in L(C(K))$  ( $K$  Koszmider).
- If  $T^* = g\text{Id} + S$  for every  $T \in L(C(K))$  ( $K$  weak Koszmider).
- One  $C(K)$  containing a complemented copy of  $C(\Delta)$ .
- One  $C(K)$  containing an isometric (1-complemented) copy of  $\ell_\infty$ .

## Isometries on extremely non-complex spaces. I

## Theorem

$X$  extremely non-complex.

- $T \in \text{Iso}(X) \implies T^2 = \text{Id}$ .
- $T_1, T_2 \in \text{Iso}(X) \implies T_1 T_2 = T_2 T_1$ .
- $T_1, T_2 \in \text{Iso}(X) \implies \|T_1 - T_2\| \in \{0, 2\}$ .
- $\Phi : \mathbb{R}_0^+ \longrightarrow \text{Iso}(X)$  one-parameter semigroup  $\implies \Phi(\mathbb{R}_0^+) = \{\text{Id}\}$ .

## Consequences

- $\text{Iso}(X)$  is a Boolean group for the composition operation.
- $\text{Iso}(X)$  identifies with the set  $\text{Unc}(X)$  of unconditional projections on  $X$ :

$$P \in \text{Unc}(X) \iff P^2 = P, 2P - \text{Id} \in \text{Iso}(X)$$

$$\iff P = \frac{1}{2}(\text{Id} - T), T \in \text{Iso}(X), T^2 = \text{Id}.$$

- $\text{Iso}(X) \equiv \text{Unc}(X)$  is a Boolean algebra
  - $\iff P_1 P_2 \in \text{Unc}(X)$  when  $P_1, P_2 \in \text{Unc}(X)$
  - $\iff \left\| \frac{1}{2}(\text{Id} + T_1 + T_2 - T_1 T_2) \right\| = 1$  for every  $T_1, T_2 \in \text{Iso}(X)$ .



Extremely non-complex  $C_E(K||L)$  spaces.

## Theorem

$K$  perfect weak Koszmider,  $L$  closed nowhere dense,  $E \subset C(L)$   
 $\implies C_E(K||L)$  is extremely non-complex.

## Proposition

$K$  perfect  $\implies \exists L \subset K$  closed nowhere dense with  $C[0,1] \subset C(L)$ .

## Example

Take  $K$  perfect weak Koszmider,  $L \subset K$  closed nowhere dense with  
 $E = \ell_2 \subset C[0,1] \subset C(L)$ :

- $C_{\ell_2}(K||L)$  has no non-trivial one-parameter semigroup of isometries.
- $C_{\ell_2}(K||L)^* = \ell_2 \oplus_1 C_0(K||L)^*$ , so  $\text{Iso}(C_{\ell_2}(K||L)^*) \supset \text{Iso}(\ell_2)$ .

## Observation

$C_{\ell_2}(K||L)$  is not isomorphic to a  $C(K')$  space since  $\ell_2 \xrightarrow{\text{comp}} C_{\ell_2}(K||L)^*$ .

But we are able to give a better result...

Isometries on extremely non-complex  $C_E(K||L)$  spaces

## Theorem

$C_E(K||L)$  extremely non-complex,  $T \in \text{Iso}(C_E(K||L))$   
 $\implies$  exists  $\theta : K \setminus L \rightarrow \{-1, 1\}$  continuous such that

$$[T(f)](x) = \theta(x)f(x) \quad (x \in K \setminus L, f \in C_E(K||L))$$

## Consequence: connected case

If  $K$  and  $K \setminus L$  are connected, then

$$\text{Iso}(C_E(K||L)) = \{-\text{Id}, +\text{Id}\}$$

## The main example

### Koszmider, 2004

$\exists \mathcal{K}$  connected weak Koszmider space such that  $\mathcal{K} \setminus F$  is connected if  $|F| < \infty$ .

### Observation on the above construction

There is  $\mathcal{L} \subset \mathcal{K}$  closed nowhere dense with

- $\mathcal{K} \setminus \mathcal{L}$  connected
- $C[0, 1] \subseteq C(\mathcal{L})$

### The best example

Consider  $X = C_{\ell_2}(\mathcal{K} \parallel \mathcal{L})$ . Then:

$$\text{Iso}(X) = \{-\text{Id}, +\text{Id}\} \quad \text{and} \quad \text{Iso}(X^*) \supset \text{Iso}(\ell_2)$$

#### Proof.

- $\mathcal{K}$  weak Koszmider,  $\mathcal{L}$  nowhere dense,  $\ell_2 \subset C(\mathcal{L})$   
 $\implies X$  well-defined and extremely non-complex.
- $\mathcal{K} \setminus \mathcal{L}$  connected  $\implies \text{Iso}(X) = \{-\text{Id}, +\text{Id}\}$ .
- $X^* = \ell_2 \oplus_1 C_0(\mathcal{K} \parallel \mathcal{L})^*$ , so  $\text{Iso}(\ell_2) \subset \text{Iso}(X^*)$ .

## Open questions on extremely non-complex Banach spaces

## Questions

$X$  extremely non complex

- Does  $X$  have the Daugavet property ?
- Stronger: Does  $Y$  have the Daugavet property if

$$\|\text{Id} + T^2\| = 1 + \|T^2\| \quad \text{for every rank-one } T \in L(Y) ?$$

- Is it true that  $n(X) = 1$  ?
  - We actually know that  $n(X) \geq C > 0$ .
- Is  $\text{Iso}(X) \equiv \text{Unc}(X)$  a Boolean algebra ?
- If  $Y \leq X$  is 1-codimensional, is  $Y$  extremely non complex ?
- Is it possible that  $X \simeq Z \oplus Z \oplus Z$  ?

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## Questions conducting to the results presented here

Rafael Payá, Granada 1996; Ángel Rodríguez, Granada 1999

Are  $n(X)$  and  $n(X^*)$  always equal?

Gilles Godefroy, París 2005

Is there any  $X$  different from  $\mathbb{R}$  such that  $\|\text{Id} + T^2\| = 1 + \|T^2\|$  for every  $T \in L(X)$ ?

Rafael Payá, ICM Madrid 2006

Is there  $X$  with  $n(X) > 0$  such that there is a non-null  $S \in L(X^*)$  with  $v(S) = 0$ ? Equivalently, is there  $X$  such that  $\text{Iso}(X)$  has no uniformly continuous one-parameter semigroups of isometries but  $\text{Iso}(X^*)$  have?

Armando Villena, Granada 2007

Is it possible that  $\text{Iso}(X) = \{\pm \text{Id}\}$  but  $\text{Iso}(X^*) \supset \text{Iso}(\ell_2)$ ?