

# The alternative Dunford-Pettis Property in the predual of a von Neumann algebra \*

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## Abstract

Let  $A$  be a type II von Neumann algebra with predual  $A_*$ . We prove that  $A_*$  does not satisfy the alternative Dunford-Pettis property introduced by W. Freedman [7], i.e., there is a sequence  $(\varphi_n)$  converging weakly to  $\varphi$  in  $A_*$  with  $\|\varphi_n\| = \|\varphi\| = 1$  for all  $n \in \mathbb{N}$  and a weakly null sequence  $(x_n)$  in  $A$  such that  $\varphi_n(x_n) \not\rightarrow 0$ . This answers a question posed in [7].

## 1 Introduction

A Banach space  $X$  is said to have the *Dunford-Pettis property (DP)* if for any weakly null sequences  $(x_n)$  in  $X$  and  $(f_n)$  in  $X^*$ , it holds  $f_n(x_n) \rightarrow 0$ . It is a classical result [6, 8] that the spaces  $C(K)$  and  $L_1(\mu)$  have DP. We refer to [5] as a good survey on DP.

In the 90's, the Dunford-Pettis property has been studied in the setting of some algebraic structures. The von Neumann algebras having DP were characterized by C-H. Chu and B. Iochum [3] as the finite direct sums of type  $I_n$  von Neumann algebras. In [2], L. Bunce shows that the predual  $A_*$  of a von Neumann algebra  $A$  has DP if, and only if,  $A$  is of type I finite.

Another property which has been studied in the setting of preduals of von Neumann algebras, is the so-called *Kadec-Klee property (KKP)* (in the sequel). Recall that a Banach space has KKP if any sequence in the unit

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sphere whose weak limit is also in the unit sphere, is norm convergent. It is known [7, Theorem 3.4] that a C\*-algebra has KKP if, and only if, it is finite dimensional. In the case of the predual of a semifinite von Neumann algebra  $A$ , G. Dell'Antonio showed that  $A_*$  satisfies KKP if, and only if,  $A$  is of type I with atomic center [4] (see also [7, Remark 2.3]).

Recently, W. Freedman [7] has introduced a new property weaker than DP and KKP, the DP1. A Banach space  $X$  has the DP1 if for any weakly convergent sequences  $x_n \rightarrow x$  in  $X$ , and  $f_n \rightarrow 0$  in  $X^*$ , such that  $\|x_n\| = \|x\| = 1$ , it holds  $f_n(x_n) \rightarrow 0$ . Of course, the condition  $\|x_n\| = \|x\| = 1$  can be replaced by  $\|x_n\| \rightarrow \|x\|$ . This property has been also studied under some algebraic assumption. For instance, W. Freedman also proves that the DP1 is equivalent to the DP for von Neumann algebras [7, Theorem 3.5], but is strictly weaker than DP and KKP for preduals of von Neumann algebras [7, Example 2.4]. In a more general setting, it is shown in [1, Corollary 2] that a JBW\*-triple satisfies DP1 if, and only if, it satisfies KKP or DP.

In the already quoted paper [7], it is asked if every predual of a von Neumann algebra satisfies DP1. The aim of this paper is to show that this is not the case. Indeed, we prove that the predual of every type II von Neumann algebra fails to have DP1 (Theorem 3).

## 2 The results

Let  $A$  be a von Neumann algebra. In the sequel  $A_{sa}$  will denote the set of all hermitian elements in  $A$ . We recall that a *spin system* in  $A$  is a set  $S$  of at least two symmetries not equal to  $\pm 1$  verifying  $st + ts = 0$  whenever  $s \neq t$  in  $S$ . By a *normal state* on  $A$  we mean a weak\*-continuous, norm-one, positive, linear functional on  $A$ . The next proposition gives a sufficient condition for the predual of a von Neumann algebra to fail DP1.

**Proposition 1.** *Let  $A$  be a von Neumann algebra. Suppose that there exist a countable spin system  $\{s_n\}_{n \in \mathbb{N}}$  in  $A_{sa}$  and a normal state  $\rho$  on  $A$ , such that  $\rho(s_n x) = \rho(x s_n)$  for every  $x \in A$  and  $n \in \mathbb{N}$ . Then  $A_*$  does not satisfy DP1.*

*Proof.* For  $n \in \mathbb{N}$ , let  $\rho_n$  be the element of  $A_*$  defined by

$$\rho_n(x) = \rho((1 + s_n)x) \quad (x \in A).$$

Since for every positive element  $x \in A$ , the inequality

$$0 \leq \rho((1 + s_n)x(1 + s_n)) = \rho((1 + s_n)^2 x) = 2\rho((1 + s_n)x)$$

holds, we deduce that each  $\rho_n$  is a positive linear functional in  $A_*$ . Therefore,

$$(1) \quad \|\rho_n\| = \rho_n(1) = 1 + \rho(s_n)$$

for every  $n \in \mathbb{N}$ . It is well known (see [9, page 135]) that the real Banach subspace  $V$  of  $A_{sa}$  generated by  $\{s_n\}$  (the so-called spin factor) is isomorphic to a real Hilbert space containing  $\{s_n\}$  as an orthonormal system. Then, the sequence  $(s_n)$  is weakly null in  $V$  and hence in  $A$ . In particular, it follows from (1) that

$$\|\rho_n\| \rightarrow 1 = \|\rho\|.$$

On the other hand, since  $\rho$  is positive, the mapping

$$(a, b) \mapsto (a|b)_\rho := \rho(ab^*) \quad (a, b \in A)$$

is a positive sesquilinear form on  $A$ . If we write  $N_\rho = \{a \in A : \rho(aa^*) = 0\}$ , then the quotient  $A/N_\rho$  can be completed to a Hilbert space denoted by  $H_\rho$ . The natural quotient map from  $A$  to  $H_\rho$  will be denoted by  $J_\rho$ . It is easy to check that  $(s_n|s_m)_\rho = \delta_{nm}$  for  $n, m \in \mathbb{N}$ , and therefore  $\{J_\rho(s_n)\}$  is an orthonormal sequence in  $H_\rho$ . Now, for every  $x \in A$ , we have

$$\|x\|^2 \geq \|J_\rho x\|_\rho^2 = (x|x)_\rho \geq \sum_{n \in \mathbb{N}} |(x|s_n)_\rho|^2 = \sum_{n \in \mathbb{N}} |\rho(xs_n)|^2.$$

Then  $\rho(s_n x) \rightarrow 0$ , and hence  $(\rho_n)$  converges weakly to  $\rho$  in  $A_*$ . Finally, since  $(\|\rho_n\|)$  goes to  $\|\rho\|$ ,  $(s_n)$  is weakly null, and

$$\rho_n(s_n) = 1 + \rho(s_n) \not\rightarrow 0,$$

we conclude that  $A_*$  does not satisfy DP1. □

In [4, Lemma 4], G. Dell'Antonio established that the predual of a von Neumann algebra  $A$  does not satisfy KKP if there exists a projection  $p$  in  $A$  such that the predual of  $pAp$  does not satisfy KKP. The result still true for DP1.

**Remark 2.** It is easy to check that the property DP1 is inherited by complemented subspaces. Now, let  $p$  be a projection in a von Neumann algebra  $A$ . Since the product on  $A$  is separately weak\*-continuous, it follows that the map  $x \mapsto pxp$  is a weak\*-continuous projection from  $A$  onto  $pAp$ . This implies that  $(pAp)_*$  is complemented in  $A_*$ . Hence,  $A_*$  fails DP1 whenever  $(pAp)_*$  does.

Now, we can assure the existence of von Neumann algebras whose preduals do not satisfy DP1.

**Theorem 3.** *Let  $A$  be a type II von Neumann algebra. Then  $A_*$  does not satisfy DP1.*

*Proof.* It is well known (see [10, Theorem V.1.19]) that there exists a projection  $p$  on  $A$  such that  $pAp$  is of type  $\text{II}_1$ . Therefore, using the above remark, we can assume that  $A$  is a type  $\text{II}_1$  von Neumann algebra.

Now, by [11, §3] (see also [9, §6]),  $A$  contains a countable infinite spin system  $\{s_n\}$ , consisting of nontrivial symmetries  $s_n$  in  $A_{sa}$  satisfying  $s_n s_m + s_m s_n = 0$  whenever  $n \neq m$ . On the other hand, the (unique) normal trace  $\rho$  on  $A$  is a normal state on  $A$  which satisfy  $\rho(s_n x) = \rho(x s_n)$  for every  $x \in A$  and every  $n \in \mathbb{N}$  (see [10, §V.2]). To finish the proof, just apply Proposition 1.  $\square$

**Remark 4.** We do not know if the predual of every type I von Neumann algebra has DP1.

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