

# Superficies mínimas y problema isoperimétrico

Joaquín Pérez

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# Preliminares: Espacios de Sobolev

$(M, ds^2)$  superficie Riemanniana.

$$L^2(M) = L^2(M, ds^2) = \{u: M \rightarrow \mathbb{R} \text{ medible} : \int_M u^2 dA < \infty\}.$$

- $L^2(M)$  e. Hilbert con  $\langle u, v \rangle_{L^2} = \int_M uv dA$ .

$$\mathcal{L}^2(M) = \mathcal{L}^2(M, ds^2) = \{X: M \rightarrow TM \text{ medible} : |X| \in L^2(M)\}.$$

- $\mathcal{L}^2(M)$  e. Hilbert con  $\langle X, Y \rangle_{\mathcal{L}^2} = \int_M \langle X, Y \rangle dA$ .
- $u \in L^2(M)$ ,  $X \in \mathcal{L}^2(M)$ .  $X$  gradiente débil de  $u$  si  $\int_M (\langle X, Y \rangle + u \operatorname{div} Y) dA = 0$ ,  $\forall Y \in \mathfrak{X}_0(M)$ .
- El gradiente débil, si existe, es único  $\rightsquigarrow \nabla u \in \mathcal{L}^2(M)$ .

## Definición

$$H^1(M) = H^1(M, ds^2) = \{u \in L^2(M) \mid \exists \nabla u \in \mathcal{L}^2(M)\}.$$

- $H^1(M)$  e. Hilbert con  $\langle u, v \rangle_{H^1} = \langle u, v \rangle_{L^2} + \langle \nabla u, \nabla v \rangle_{\mathcal{L}^2}$ .
- $C_0^\infty(M) \subset H^1(M)$  no es denso en  $H^1(M)$  (sí en  $L^2(M)$ ).

$$H_0^1(M) = H_0^1(M, ds^2) = \overline{C_0^\infty(M)}^{H^1}.$$

- $u: M \rightarrow \mathbb{R}$  lipsch, sop( $u$ ) cpt  $\Rightarrow u \in H_0^1(M)$  (ej: f. meseta)

# Preliminares: Operadores de Schrödinger

$(M, ds^2)$ ,  $\Omega \subset\subset M$ .  $L = \Delta + q$ ,  $q \in C^\infty(M)$ .

Forma cuadrática asociada:  $\forall \varphi \in C_0^\infty(\Omega)$  ( $H_0^1(\Omega)$ ),

$$Q(\varphi, \varphi) = - \int_{\Omega} \varphi L \varphi \, dA = - \int_{\Omega} \varphi (\Delta \varphi + q \varphi) \, dA = \int_{\Omega} (|\nabla \varphi|^2 - q \varphi^2) \, dA,$$

## Definición

$\lambda \in \mathbb{R}$  valor propio de  $L$  en  $\Omega$  si  $\exists u \in H_0^1(\Omega) - \{0\}$  (f. propia) t.q.

$$Q(u, \varphi) = \lambda \int_{\Omega} u \varphi \, dA, \forall \varphi \in C_0^\infty(\Omega).$$

- $\text{Spec}(L, \Omega) = \{\text{valores propios}\} \subset \mathbb{R}$ ,  
 $V_\lambda = \{\text{f. propias para } \lambda \in \text{Spec}(L, \Omega)\} \leq H_0^1(\Omega) \cap C^\infty(\Omega)$
- $\text{Spec}(L, \Omega) = \{\lambda_1 < \lambda_2, \dots, \lambda_2 < \lambda_3, \dots, \lambda_3, \lambda_4, \dots\} \nearrow \infty$   
 $\{u_1, u_2, \dots, u_{1+n_2}, u_{2+n_2}, \dots\}$  base ort  $L^2(M)$ .
- $\lambda_{k+1} = \inf \left\{ \frac{Q(\varphi, \varphi)}{\int_M \varphi^2 \, dA} \mid \varphi \in H_0^1(\Omega) \cap \{u_1, \dots, u_k\}^\perp, \varphi \not\equiv 0 \right\}$ .
- $u \in V_\lambda - \{0\}$  con signo constante  $\Leftrightarrow \lambda = \lambda_1$ .
- $\begin{cases} Lu + \lambda u = f & \text{en } \Omega \\ u = 0 & \text{en } \partial\Omega \end{cases}$  tiene sol'n (1)  $\Leftrightarrow f \in V_\lambda^\perp$  ( $\lambda \notin \text{Spec}$ )

# Preliminares: Índice y estabilidad

$(M, ds^2)$ ,  $\Omega \subset\subset M$ .  $L = \Delta + q$ ,  $q \in C^\infty(M)$ .

$\text{Indice}(L, \Omega) = \text{Indice}(Q \text{ en } H_0^1(\Omega)) = \#(\text{Spec}(L, \Omega) \cap \mathbb{R}^-)$ .

- $\Omega \subseteq \Omega' \Rightarrow \text{Indice}(L, \Omega) \leq \text{Indice}(L, \Omega')$  ( $<$  si  $\text{Vol}(\Omega' - \Omega) > 0$ )

Definición (Índice y estabilidad para completas)

$\text{Indice}(L) = \text{Indice}(L, M) = \lim_{n \rightarrow \infty} \text{Indice}(L, \Omega_n)$ ,  $\{\Omega_n\}_n \nearrow M$ .

$\text{Indice}(L) = 0 \Leftrightarrow Q(\varphi, \varphi) \geq 0 \quad \forall \varphi \in H_0^1(M) \Leftrightarrow -L \geq 0 \text{ en } M$ .

- Si  $q_1, q_2 \in C^\infty(M)$ ,  $q_1 \leq q_2$  en  $M \Rightarrow Q_2(\varphi, \varphi) \leq Q_1(\varphi, \varphi)$ .  
Si además  $-(\Delta + q_2) \geq 0$  en  $M \Rightarrow -(\Delta + q_1) \geq 0$  en  $M$ .

Lema (Fischer-Colbrie)

$L = \Delta + q$ ,  $q \in C^\infty(M)$ . Son equivalentes:

- ①  $-L \geq 0$  en  $M$ .
- ②  $\exists u \in C^\infty(M, \mathbb{R}^+) \text{ tal que } Lu = 0 \text{ en } M$ .
- ③  $\exists u \in C^\infty(M, \mathbb{R}^+) \text{ tal que } Lu \leq 0 \text{ en } M$ .



# Preliminares: Índice y estabilidad

## Demostración.

1  $\Rightarrow$  2.  $Q(\varphi, \varphi) \geq 0 \quad \forall \varphi \in C_0^\infty(M)$   $\Rightarrow$  Dado  $\Omega \subset\subset M$ ,

$$\lambda_{1,\text{Dirichlet}}(L, \Omega) = \inf \left\{ \frac{Q(\varphi, \varphi)}{\int_M \varphi^2 dA} \mid \varphi \in C_0^\infty(\Omega), \varphi \not\equiv 0 \right\} \geq 0.$$

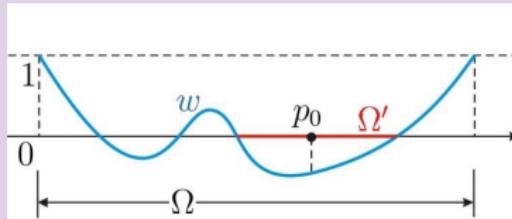
Monotonía de  $\lambda_1 \Rightarrow \lambda_1(L, \Omega) > \lambda_1(L, \Omega') \geq 0 \quad \forall \Omega \subset\subset \Omega'$ .

$\lambda_1(L, \Omega) > 0 \Rightarrow$  existencia, unicidad de solución de

$$\begin{cases} Lv = -q & \text{en } \Omega \\ v = 0 & \text{en } \partial\Omega \end{cases} \quad (\text{ptualmente si } \partial\Omega \text{ } C^1)$$

Defino  $w = v + 1 \Rightarrow \Delta w + qw = 0$  en  $\Omega$ ,  $w|_{\partial\Omega} = 1$ .

- $w \geq 0$  en  $\Omega$ : Si  $w(p_0) < 0$  en  $p_0 \in \Omega \Rightarrow \lambda_1(\Omega') = 0$ , donde  $\Omega' = \text{comp. de } p_0 \text{ en } w^{-1}(\mathbb{R}^-)$  !!



# Preliminares: Índice y estabilidad

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- $w \geq 0$  en  $\Omega$ .
- $w > 0$  en  $\Omega$ : Principio del máximo para  $\Delta + q$ .



# Preliminares: Índice y estabilidad

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Defino  $w = v + 1 \Rightarrow \Delta w + qw = 0$  en  $\Omega$ ,  $w|_{\partial\Omega} = 1$ .

$w > 0$  en  $\Omega$ .

Tomo  $\{\Omega_n \subset\subset M\}_n$  expansiva,  $x_0 \in \Omega_1$ .

Harnack ineq  $\Rightarrow u_n := \frac{1}{w_n(x_0)} w_n$  unif acotada en cpts de  $M$ .

Schauder estimates  $\Rightarrow \{u_n\}_n$  unif acotada en  $C^k$  sobre cpts de  $M$ .

Arzelá-Ascoli  $\Rightarrow \exists u \in C^\infty(M)$  t.q.  $u_n$  (parcial)  $\rightarrow u$  en cpts de  $M$ .

$u(x_0) = 1$ ,  $u \geq 0$ ,  $\Delta u + qu = 0$  en  $M$ , y  $u > 0$  por princ. máximo.



# Preliminares: Índice y estabilidad

## Demostración.

2  $\Rightarrow$  3. Trivial.

3  $\Rightarrow$  1. Por hipótesis,  $\exists u \in C^\infty(M, \mathbb{R}^+)$  t.q.  $\Delta u + qu \leq 0$  in  $M$ .

Sea  $f \in C_0^\infty(M)$ . ¿ $Q(f, f) \geq 0$ ? Defino  $\varphi = f/u \in C_0^\infty(M)$ .

$$\int_M (|\nabla f|^2 - qf^2) dA = \int_M (|\nabla(\varphi u)|^2 - q\varphi^2 u^2) dA$$

$$(\text{partes}) = \int_M (-\varphi u \Delta(\varphi u) - q\varphi^2 u^2) dA$$

$$= \int_M (-\varphi^2 u \Delta u - 2\langle \nabla \varphi, \nabla u \rangle \varphi u - u^2 \varphi \Delta \varphi - q\varphi^2 u^2) dA$$

$$\geq - \int_M \left( \frac{1}{2} \langle \nabla(\varphi^2), \nabla(u^2) \rangle + u^2 \varphi \Delta \varphi \right) dA$$

$$(\text{partes, } u^2 \varphi \nabla \varphi) = \int_M |\nabla \varphi|^2 u^2 dA \geq 0.$$



## Lema (Principio del máximo)

$q \in C^\infty(M)$ ,  $\Omega \subset\subset M$ ,  $v \in C^\infty(\Omega)$  t.q.  $\Delta v + qv = 0$  en  $\Omega$ .  
Si  $v \geq 0$  en  $\Omega$   $\Rightarrow v > 0$  ó  $v \equiv 0$  en  $\Omega$ .

## Demostración.

Supongo  $v(x_0) = 0$  en  $x_0 \in \Omega$ . Defino

$c := \min\{\inf_\Omega q, 0\} \in (-\infty, 0]$  y  $\phi := -v \in C^\infty(\Omega)$ .

$\Delta\phi + c\phi = -\Delta v - cv \geq -\Delta v - qv = 0$  en  $\Omega$ .

$c \leq 0$ ,  $\phi$  máximo  $\geq 0$  en  $x_0$   $\xrightarrow[\text{Thm 3.5 G-T}]{}$   $\phi$  cte  $\Rightarrow v$  cte.

□

## Nota

$\pi: \tilde{M} \rightarrow M$  recubridor Riemanniano.

Si  $-(\Delta + q) \geq 0$  en  $M \Rightarrow -[\tilde{\Delta} + (q \circ \pi)] \geq 0$  en  $\tilde{M}$ .

El recíproco no es cierto:

$(\Sigma, g)$  sup cpt orient, género  $\geq 2$ ,  $g$  curvatura constante  $-1$ .

$f \in C^\infty(\mathbb{R}, (0, 1])$ ,  $f(0) = 1$ ,  $-\frac{1}{8} < f''(0) < 0$ .

$L := \Delta - 2f''(0)$ .  $\lambda_1(L, \Sigma) = 2f''(0) < 0 \Rightarrow -L$  no es  $\geq 0$  en  $\Sigma$ .

Recubridor universal de  $\Sigma = \mathbb{D}$  (plano hiperbólico).

$$\begin{aligned} \lim_{\Omega_n \nearrow \mathbb{D}} \lambda_{1, \text{Dirichlet}}(\tilde{L}, \Omega_n) &= \lim_{\Omega_n \nearrow \mathbb{D}} \lambda_{1, \text{Dirichlet}}(\tilde{\Delta}, \Omega_n) + 2f''(0) \\ &= \frac{1}{4} + 2f''(0) > 0 \Rightarrow -\tilde{L} \geq 0 \text{ en } \mathbb{D}. \end{aligned}$$

## Nota (Meeks,—,Ros)

Si  $\forall \Omega \subset\subset M$ ,  $\pi^{-1}(\Omega)$  subexp area growth  $\Rightarrow$  recíproco cierto.

# $\Delta - aK$ : La técnica de Pogorelov

Observación (Fischer-Colbrie, Schoen, Castillon)

$(M, ds^2)$  cpl no cpt.  $A(ds^2) := \{a \in \mathbb{R} \mid -(\Delta - aK) \geq 0\}$ .  
Entonces,  $A = [a_0, b_0]$ ,  $-\infty \leq a_0 \leq 0 \leq b_0 \leq +\infty$ .

Demostración.

1.  $0 \in A$ .

$$Q(f, f) = \int_M |\nabla f|^2 \text{ si } a = 0.$$



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Entonces,  $A = [a_0, b_0]$ ,  $-\infty \leq a_0 \leq 0 \leq b_0 \leq +\infty$ .

Demostración.

1.  $0 \in A$ .
2. Si  $c > 0$  ( $c < 0$ ) está en  $A \Rightarrow [0, c] \subset A$  ( $[c, 0] \subset A$ ):

$c' \neq 0$  t.q.  $0 < \frac{c'}{c} < 1$ ,  $f \in C_0^\infty(M)$ .

$$0 \leq \int_M (|\nabla f|^2 + cKf^2) = \frac{c}{c'} \left[ \int_M (|\nabla f|^2 + c'Kf^2) + \int_M \left( \frac{c'}{c} - 1 \right) |\nabla f|^2 \right]$$



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Entonces,  $A = [a_0, b_0]$ ,  $-\infty \leq a_0 \leq 0 \leq b_0 \leq +\infty$ .

Demostración.

1.  $0 \in A$ .
2. Si  $c > 0$  ( $c < 0$ ) está en  $A \Rightarrow [0, c] \subset A$  ( $[c, 0] \subset A$ ).
3. Si  $\{c_n\}_n \subset A$ ,  $c_n \nearrow c_\infty \in \mathbb{R}^+$  ( $c_n \searrow c_\infty \in \mathbb{R}^-$ )  $\Rightarrow c_\infty \in A$ :

Fijo  $f \in C_0^\infty(M)$ .  $-(\Delta - c_n K) \geq 0 \Rightarrow \int_M (|\nabla f|^2 + c_n K f^2) \geq 0$ .

Tomar límites.

Otra forma:

$$-(\Delta - c_n K) \geq 0 \Rightarrow \exists w_n \in C^\infty(M, \mathbb{R}^+) \text{ t.q. } \Delta w_n - c_n K w_n = 0.$$

$u_n := \frac{1}{w_n(x_0)} w_n^{\text{parcial}} \rightarrow u \in C^\infty(M)$ ,  $\Delta u - c_\infty K u = 0$ ,  $u(x_0) = 1$ ,  $u \geq 0$ .

Princ. máximo  $\Rightarrow u > 0$  en  $M \Rightarrow -(\Delta - c_\infty K) \geq 0$ .



# $\Delta - aK$ : La técnica de Pogorelov

Observación (Fischer-Colbrie, Schoen, Castillon)

$(M, ds^2)$  cpl no cpt.  $A(ds^2) := \{a \in \mathbb{R} \mid -(\Delta - aK) \geq 0\}$ .  
Entonces,  $A = [a_0, b_0]$ ,  $-\infty \leq a_0 \leq 0 \leq b_0 \leq +\infty$ .

- $(\mathbb{C}, |dz|^2)$ ,  $(\mathbb{C}^*, \frac{|dz|^2}{|z|^2})$ :  $a_0 = -\infty$ ,  $b_0 = +\infty$ .
- $(\mathbb{D}, ds_{-1}^2)$ :  $a_0 = -\infty$ ,  $b_0 = \frac{1}{4}$ .
- $K \geq 0 \Leftrightarrow b_0 = +\infty$ :

$\Rightarrow 0 \leq -\Delta \leq -(\Delta - cK) \quad \forall c \geq 0$ .

$\Leftarrow$  Supongo  $K(x_0) < 0$  en  $x_0 \in M \Rightarrow K < 0$  en  $\Omega_{x_0} \subset\subset M$ .  
Tomo  $f \in C_0^\infty(\Omega_{x_0}) - \{0\}$ . Dado  $a \in A(ds^2)$ ,

$$\int_{\Omega_{x_0}} |\nabla f|^2 \geq -a \int_{\Omega_{x_0}} Kf^2.$$

Pero  $\int_{\Omega_{x_0}} (-K)f^2 > 0 \Rightarrow A(ds^2)$  acotado superiormente !!

# $\Delta - aK$ : La técnica de Pogorelov

Observación (Fischer-Colbrie, Schoen, Castillon)

$(M, ds^2)$  cpl no cpt.  $A(ds^2) := \{a \in \mathbb{R} \mid -(\Delta - aK) \geq 0\}$ .  
Entonces,  $A = [a_0, b_0]$ ,  $-\infty \leq a_0 \leq 0 \leq b_0 \leq +\infty$ .

- $(\mathbb{C}, |dz|^2)$ ,  $(\mathbb{C}^*, \frac{|dz|^2}{|z|^2})$ :  $a_0 = -\infty$ ,  $b_0 = +\infty$ .
- $(\mathbb{D}, ds_{-1}^2)$ :  $a_0 = -\infty$ ,  $b_0 = \frac{1}{4}$ .
- $K \geq 0 \Leftrightarrow b_0 = +\infty$ :
- $K \leq 0 \Leftrightarrow a_0 = -\infty$  (interés geom:  $M \overset{H=0}{\subset} \mathbb{R}^3$  estable)

Q1: ¿Qué relación hay entre la geometría de  $M$  y  $b_0$ ?

Q2: ¿Estimaciones de  $b_0$  para  $(\mathbb{D}, e^{2u}|dz|^2)$  completa? (FC-S)

- 1977 Do Carmo-Peng Q2 (no explícito):  $b_0 \leq \frac{1}{2}$ .
- 1980 Fischer-Colbrie, Schoen Q2:  $b_0 < 1$ .
- 1981 Pogorelov Q2 (indep, no explícito):  $K \leq 0 \Rightarrow b_0 \leq \frac{1}{2}$ .
- 1986 Gulliver-Lawson Q1: Si  $b_0 > \frac{1}{2} \Rightarrow M$  conform  $\mathbb{C}$  ó  $\mathbb{C}^*$ .
- 1988 Kawai Q1: Si  $K \leq 0$ ,  $K \not\equiv 0 \Rightarrow b_0 \leq \frac{1}{4}$ .
- 2002 Colding-Minicozzi: result similares  $\Delta - aK + q$ ,  $q \geq 0$ .

# $\Delta - aK$ : La técnica de Pogorelov

Teorema (Castillon (2006,  $q = 0$ ), Meeks,—,Ros (2008))

$(M, ds^2)$ ,  $x_0 \in M$ ,  $0 < R' < R < \text{dist}(x_0, \partial M)$ .

$a \in (\frac{1}{4}, \infty)$ ,  $q \in C^\infty(M)$ ,  $q \geq 0$  t.q.  $-(\Delta - aK + q) \geq 0$  en  $M$ .

$$\Rightarrow \frac{8a^2}{4a-1} \frac{\text{Area}(B(x_0, R'))}{R^2} + \left(1 - \frac{R'}{R}\right)^2 \int_{B(x_0, R')} q \leq 2\pi a \left(1 - \frac{R'}{R}\right)^{\frac{2}{1-4a}}$$

Y si  $(M, ds^2)$  cpl no cpt  $\Rightarrow$  QAG,  $q \in L^1(M)$ ,  $M$  conform  $\mathbb{C}$  ó  $\mathbb{C}^*$ .

## Corolario

La cota óptima para Q2 es  $b_0 = \frac{1}{4}$ .

## Nota

$(M, ds^2)$  cpl, no cpt,  $\Omega \subset\subset M$      $-(\Delta - aK + q) \geq 0$  en  $M - \Omega$     }  $\Rightarrow M \stackrel{\text{conf.}}{\simeq} \overline{M}_k - \{p_1, \dots, p_r\}$ .

# $\Delta - aK$ : La técnica de Pogorelov

## Demostración del teorema.

$$r = d(\cdot, x_0) : B(x_0, R) \rightarrow [0, R],$$

$$\phi \in C^\infty([0, R], \mathbb{R}^+) \text{ t.q. } \phi(0) = 1, \phi(R) = 0, \phi' \leq 0 \text{ en } [0, R]$$

$$\rightsquigarrow f(q) = \phi(r), r = r(q), q \in B(x_0, R) \Rightarrow f \in H_0^1(B(x_0, R)).$$

$$-(\Delta - aK + q) \geq 0 \Rightarrow \int_{B(x_0, R)} qf^2 \leq \int_{B(x_0, R)} |\nabla f|^2 + a \int_{B(x_0, R)} Kf^2$$

$$\int_{B(x_0, R)} |\nabla f|^2 = \int_{B(x_0, R)} \phi'(r)^2 \stackrel{\text{coarea}}{=} \int_0^R \phi'(r)^2 I(r) dr,$$

longitud( $\partial B(x_0, r)$ )

$$\int_{B(x_0, R)} Kf^2 = \int_0^R \phi(r)^2 \int_{\partial B(x_0, r)} K ds_r = \int_0^R \phi(r)^2 \tilde{K}'(r) dr \stackrel{\text{partes}}{=} - \int_0^R (\phi^2)'(r) \tilde{K}(r) dr,$$

$$\tilde{K}(r) = \int_{B(x_0, r)} K \quad \phi(R) = \tilde{K}(0) = 0$$

$$I'(r) \stackrel{1 \text{ var long}}{=} \int_{\partial B(x_0, r)} \kappa_g(s) ds \stackrel{\text{Gauss-Bonnet}}{\leq} 2\pi\chi(B(x_0, r)) - \int_{B(x_0, r)} K \leq 2\pi - \tilde{K}(r)$$

$\kappa_g$  = curv geodésica

$$(\phi^2)' = 2\phi\phi' \leq 0$$

$$\Rightarrow \int_{B(x_0, R)} Kf^2 \leq \int_0^R (\phi^2)'(r) [I'(r) - 2\pi] dr = \int_0^R (\phi^2)'(r) I'(r) dr + 2\pi$$

$$\phi(0) = 1, \phi(R) = 0$$

# $\Delta - aK$ : La técnica de Pogorelov

## Demostración del teorema.

$$\left. \begin{array}{l} r = d(\cdot, x_0): B(x_0, R) \rightarrow [0, R], \\ \phi \in C^\infty([0, R], \mathbb{R}^+) \text{ t.q. } \phi(0) = 1, \phi(R) = 0, \phi' \leq 0 \text{ en } [0, R] \end{array} \right\} \rightsquigarrow$$

$\rightsquigarrow f(q) = \phi(r), r = r(q), q \in B(x_0, R) \Rightarrow f \in H_0^1(B(x_0, R)).$

$$\Rightarrow \int_{B(x_0, R)} qf^2 \leq \int_0^R \phi'(r)^2 I(r) dr + a \int_0^R (\phi^2)'(r) I'(r) dr + 2\pi a$$

Elijo  $\phi(r) = (1 - \frac{r}{R})^b$ ,  $b \geq 1$ :

$$\int_{B(x_0, R)} q \left(1 - \frac{r}{R}\right)^{2b} \leq \frac{b^2}{R^2} \int_0^R \left(1 - \frac{r}{R}\right)^{2b-2} I(r) dr$$

$$- \frac{2ab}{R} \int_0^R \left(1 - \frac{r}{R}\right)^{2b-1} I'(r) dr + 2\pi a.$$

$I(r)$  no  $C^0$  pero sí derivable c.p.d. y:  $\forall \psi \in C^\infty([0, R], \mathbb{R})$ ,  $\psi \geq 0$ ,

$$\int_0^R [\psi(r)I'(r) + \psi'(r)I(r)] dr \geq \psi(R)I(R) - \psi(0)I(0) = \psi(R)I(R)$$

Elijo  $\psi(r) = (1 - \frac{r}{R})^{2b-1}$  ( $\psi(R) = 0$ ):

# $\Delta - aK$ : La técnica de Pogorelov

## Demostración del teorema.

$$\left. \begin{array}{l} r = d(\cdot, x_0): B(x_0, R) \rightarrow [0, R], \\ \phi \in C^\infty([0, R], \mathbb{R}^+) \text{ t.q. } \phi(0) = 1, \phi(R) = 0, \phi' \leq 0 \text{ en } [0, R] \end{array} \right\} \rightsquigarrow$$

$\rightsquigarrow f(q) = \phi(r), r = r(q), q \in B(x_0, R) \Rightarrow f \in H_0^1(B(x_0, R)).$

$$\Rightarrow \int_{B(x_0, R)} qf^2 \leq \int_0^R \phi'(r)^2 l(r) dr + a \int_0^R (\phi^2)'(r) l'(r) dr + 2\pi a$$

Elijo  $\phi(r) = (1 - \frac{r}{R})^b$ ,  $b \geq 1$ :

$$\begin{aligned} \int_{B(x_0, R)} q \left(1 - \frac{r}{R}\right)^{2b} &\leq \frac{b^2}{R^2} \int_0^R \left(1 - \frac{r}{R}\right)^{2b-2} l(r) dr \\ &\quad - \frac{2ab}{R} \int_0^R \left(1 - \frac{r}{R}\right)^{2b-1} l'(r) dr + 2\pi a. \end{aligned}$$

$l(r)$  no  $C^0$  pero sí derivable c.p.d. y:  $\forall \psi \in C^\infty([0, R], \mathbb{R})$ ,  $\psi \geq 0$ ,

$$\int_0^R \left(1 - \frac{r}{R}\right)^{2b-1} l'(r) dr \geq \frac{2b-1}{R} \int_0^R \left(1 - \frac{r}{R}\right)^{2b-2} l(r) dr$$

Elijo  $\psi(r) = (1 - \frac{r}{R})^{2b-1}$  ( $\psi(R) = 0$ ):

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## Demostración del teorema.

$$\int_{B(x_0, R)} q \left(1 - \frac{r}{R}\right)^{2b} \leq \frac{b[b(1-4a) + 2a]}{R^2} \int_0^R \left(1 - \frac{r}{R}\right)^{2b-2} I(r) dr + 2\pi a$$

Tomo  $b > \frac{2a}{4a-1}$  ( $\Rightarrow \frac{b[b(1-4a)+2a]}{R^2} < 0$ ), estimo integral por abajo:

$$\int_0^R \left(1 - \frac{r}{R}\right)^{2b-2} I(r) dr \geq \min_{[0, R']} \left(1 - \frac{r}{R}\right)^{2b-2} \int_0^{R'} I(r) dr = \left(1 - \frac{R'}{R}\right)^{2b-2} \text{Area}(B(x_0, R'))$$

Estimo la integral de la forma análoga ( $q \geq 0$ ):

$$\int_{B(x_0, R)} q \left(1 - \frac{r}{R}\right)^{2b} \geq \min_{[0, R']} \left(1 - \frac{r}{R}\right)^{2b} \int_{B(x_0, R')} q = \left(1 - \frac{R'}{R}\right)^{2b} \int_{B(x_0, R')} q$$

Luego

$$\frac{b[b(4a-1) - 2a]}{R^2} \left(1 - \frac{R'}{R}\right)^{2b-2} \text{Area}(B(x_0, R')) + \left(1 - \frac{R'}{R}\right)^{2b} \int_{B(x_0, R')} q \leq 2\pi a$$

$$b = \frac{4a}{4a-1} \Rightarrow \frac{8a^2}{4a-1} \frac{\text{Area}(B(x_0, R'))}{R^2} + \left(1 - \frac{R'}{R}\right)^2 \int_{B(x_0, R')} q \leq 2\pi a \left(1 - \frac{R'}{R}\right)^{\frac{2}{1-4a}}$$

Aplico la fla a  $\tilde{M}$  rec universal de  $M \Rightarrow \tilde{M}$  QAG  $\Rightarrow \tilde{M}$  conform  $\mathbb{C}$ .

# Estabilidad de superficies mínimas y de CMC.

$x: M^2 \hookrightarrow N^3$  inm isométrica con normal unitario  $\eta: M \rightarrow UN$ .

$\Omega \subset\subset M$ ,  $X: (-\varepsilon, \varepsilon) \times M \rightarrow N$ ,  $X(0, \cdot) = x$ ,  $\text{sop}(X_t) \subset \Omega$ .

$\text{Area}(t) = \text{Area}(X_t)$  ( $X_t$  inmersión  $\forall t$ )

$\text{Vol}(t) = \int_{[0,t] \times \Omega} \text{Jac}(X) dV$  (volumen con signo entre  $x$  y  $X_t$ )

$$\frac{d}{dt} \Big|_{t=0} \text{Area}(t) = -2 \int_M H f \, dA, \quad \frac{d}{dt} \Big|_{t=0} \text{Vol}(t) = - \int_M f \, dA$$

$H$  = curvatura media de  $x$  respecto a  $\eta$ ,

$f = \langle \frac{\partial X}{\partial t} \Big|_{t=0}, \eta \rangle$  parte normal del campo variacional.

$c \in \mathbb{R}$ ,  $(\text{Area} - 2c \text{Vol})'(0) = 2 \int_M (c - H)f \, dA$  luego:

$M$  crítica para  $\text{Area} - 2c \text{Vol} \Leftrightarrow \text{CMC } H = c$ .

$M \hookrightarrow N^3$ , CMC  $H$ .

$$(\text{Area} - 2H \text{Vol})''(0) = \int_M [|\nabla f|^2 - (|\sigma|^2 + \text{Ric}(\eta))f^2] \, dA = Q(f, f),$$

$L = \Delta + |\sigma|^2 + \text{Ric}(\eta)$  oper. Jacobi,  $\sigma = 2^a$  ff de  $M$ ,  $\text{Ric} = \text{Ricci } N$

$M$  estable si  $-(\Delta + |\sigma|^2 + \text{Ric}(\eta)) \geq 0$  en  $M$ . (OJO: Isoperim)



# Estabilidad de superficies mínimas y de CMC.

$M \hookrightarrow N^3$ , CMC  $H$ .

$$L = \Delta + |\sigma|^2 + \text{Ric}(\eta) \quad (1)$$

$$= \Delta - 2K + 4H^2 + \text{Ric}(e_1) + \text{Ric}(e_2) \quad (2)$$

$$= \Delta - K + 2H^2 + \frac{1}{2}|\sigma|^2 + \frac{1}{2}S \quad (3)$$

$$= \Delta - K + 3H^2 + \frac{1}{2}S + (H^2 - \det(A)), \quad (4)$$

$A$  : endomorfismo de Weingarten,

$e_1, e_2$  : base ortonormal  $TM$ ,

$S$  : curvatura escalar de  $N^3$  ( $S = 6$  en  $\mathbb{S}^3(1)$ ).

# Estabilidad de superficies mínimas y de CMC.

## Teorema (Mazet)

$M \subset \mathbb{R}^3$  CMC  $H > 0$ , estable,  $p \in M - \partial M$ .

Entonces,  $d_M(p, \partial M) \leq \frac{\pi}{2H}$  ("="  $\Leftrightarrow M = (\mathbb{S}^2)^+$ )

**Demostración.** Supongo  $D(p, R_0) \subset M - \partial M$ ,  $\frac{\pi}{2H} < R_0 < \frac{\pi}{H}$ .

Fijo  $R \in (\frac{\pi}{2H}, R_0]$ .

$$\Delta - aK + q, \quad a = 2, \quad q = 4H^2.$$

Técnica de Pogorelov con  $\phi(r) = \cos \frac{\pi r}{2R}$

$$\int_{B(x_0, R)} qf^2 \leq$$

$$\int_0^R (\phi')^2 l dr + a \int_0^R (\phi^2)' l' dr + 2\pi a$$

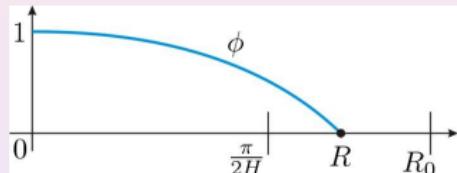
$$\Rightarrow \int_0^R [4H^2 \phi^2 - (\phi')^2 + 2(\phi^2)''] l(r) dr \leq 4\pi$$

$$4H^2 \phi^2 - (\phi')^2 + 2(\phi^2)'' = (4H^2 - \frac{\pi^2}{R^2}) \cos^2 \frac{\pi r}{2R} + \frac{3\pi^2}{4R^2} \sin^2 \frac{\pi r}{2R} (> 0)$$

$$K = H^2 - \frac{1}{4}(k_1 - k_2)^2 \leq H^2 \Rightarrow \begin{cases} \text{valores conjugados} \geq \frac{\pi}{H} \\ l(r) \geq \frac{2\pi}{H} \sin(Hr) \end{cases} \quad (\text{expl})$$

$$4\pi \geq \int_0^R \left[ (4H^2 - \frac{\pi^2}{R^2}) \cos^2 \frac{\pi r}{2R} + \frac{3\pi^2}{4R^2} \sin^2 \frac{\pi r}{2R} \right] \frac{2\pi}{H} \sin(Hr) dr := F(R, H)$$

$$F(\frac{\pi}{2H}, H) = 4\pi, \quad \frac{\partial F}{\partial R}(\frac{\pi}{2H}, H) = 2\pi H > 0 !!$$



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## Teorema (versión para operadores)

$(M, ds^2)$ ,  $L = \Delta - 2K + q$ ,  $q \in C^\infty(M)$ .

Si  $\exists H > 0$  t.q.  $K \leq H^2 \leq \frac{1}{4}q$  en  $M \Rightarrow d_M(p, \partial M) \leq \frac{\pi}{2H}$ .

# Estabilidad de superficies mínimas y de CMC.

Teorema (Espacios de c.s.c.  $k \in \mathbb{R}$ )

$M \hookrightarrow \mathbb{M}^3(k)$ , CMC  $H \in \mathbb{R}$ , cpl estable.

- $(k = 0) \Rightarrow M$  plano.
- $(k = 1) \Rightarrow$  no existe.
- $(k = -1)$  Si  $|H| \geq 1 \Rightarrow M$  horosfera ( $|H| = 1, K = 0$ ).

**Demostración.** Supongo  $H^2 + k \geq 0$ .

$$L = \Delta - 2K + \overbrace{4(H^2 + k)}^{q \geq 0} \stackrel{\text{Thm}}{\Rightarrow} M \text{ conform } \mathbb{C} \text{ ó } \mathbb{C}^*.$$

$M$  estable  $\Rightarrow \exists u \in C^\infty(M, \mathbb{R}^+)$  t.q.  $\Delta u = [2K - 4(H^2 + k)]u$ .

$$\begin{aligned} 2K - 4(H^2 + k) &\stackrel{K(TM)=K-\det A}{=} 2(\det A - H^2) - 2(H^2 + k) \\ &= -\frac{1}{2}(k_1 - k_2)^2 - 2(H^2 + k) \leq 0 \end{aligned}$$

$\Rightarrow \Delta u \leq 0$  en  $M \Rightarrow \Delta_0 u \leq 0$  en  $\mathbb{C}$  ó  $\mathbb{C}^* \Rightarrow u$  cte

$\Rightarrow \Delta u = 0 \Rightarrow 2K - 4(H^2 + k) = 0 \Rightarrow M$  umbilical,  $H^2 = -k$ .

# Estabilidad de superficies mínimas y de CMC.

## Teorema

$M \hookrightarrow N^3$ , CMC  $H \in \mathbb{R}$ , cpl estable.

- ①  $\left. \begin{array}{l} \text{Si } \text{Ric} \geq -2c \\ \text{y } H^2 \geq c \ (c \in \mathbb{R}) \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} M \text{ totalmente umbilical,} \\ H^2 = c, \ \text{Ric}(\eta) = -2c. \end{array} \right.$
- ② Si  $\text{Ric} \geq 0 \Rightarrow M$  totalmente geodésica.

## Demostración.

1.  $|\sigma|^2 + \text{Ric}(\eta) \geq 0$ :  $|\sigma|^2 \geq 2H^2 \geq 2c, \quad \text{Ric}(\eta) \geq -2c$ .

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## Demostración.

1.  $|\sigma|^2 + \text{Ric}(\eta) \geq 0$ .

2.  $M$  QAG,  $4H^2 + \text{Ric}(e_1) + \text{Ric}(e_2) \in L^1(M)$ :

$$q \geq 4H^2 - 4c \geq 0$$

$$L = \Delta - 2K + \overbrace{4H^2 + \text{Ric}(e_1) + \text{Ric}(e_2)}^{q \geq 0}, \text{ y aplicamos el thm.}$$

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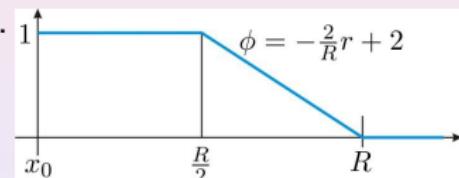
3.  $|\sigma|^2 + \text{Ric}(\eta) \in L^1(M)$ :

$$r = d(\cdot, x_0), f(q) = \phi(r) \in H_0^1(M).$$

$$\int_{B(x_0, \frac{R}{2})} (|\sigma|^2 + \text{Ric}(\eta)) \stackrel{(1)}{\leq} \int_M (|\sigma|^2 + \text{Ric}(\eta)) f^2$$

$$\stackrel{-L \geq 0}{\leq} \int_M |\nabla f|^2 = \int_{R/2}^R \phi'(r)^2 I(r) dr = \frac{4}{R^2} \int_{R/2}^R I(r) dr \stackrel{(2)}{\leq} \frac{4}{R^2} CR^2 = 4C,$$

$$I(r) = \text{length}(\partial B(x_0, r)).$$



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  3.  $|\sigma|^2 + \text{Ric}(\eta) \in L^1(M)$ .
  4.  $K \in L^1(M)$ :
- $-2K + 4H^2 + \text{Ric}(e_1) + \text{Ric}(e_2) = |\sigma|^2 + \text{Ric}(\eta)$ , y usamos 2, 3.

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## Demostración.

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2.  $M$  QAG.
3.  $|\sigma|^2 + \text{Ric}(\eta) \in L^1(M)$ .
4.  $K \in L^1(M)$ .
5.  $I(r) \leq Cr$ :

$$I'(r) \stackrel{\text{1 var long}}{=} \int_{\partial B(x_0, r)} \kappa_g(s) \, ds \stackrel{\text{Gauss-Bonnet}}{\leq} 2\pi\chi(B(x_0, r)) - \int_{B(x_0, r)} K,$$

y usamos 4.

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## Teorema

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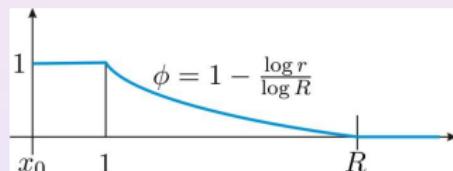
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5.  $I(r) \leq Cr$ .
6.  $|\sigma|^2 + \text{Ric}(\eta) \equiv 0$  en  $M$ :

$$\int_M (|\sigma|^2 + \text{Ric}(\eta)) f^2 \stackrel{-L \geq 0}{\leq} \int_M |\nabla f|^2 = \int_1^R \phi'(r)^2 I(r) dr$$
$$= \frac{1}{(\log R)^2} \int_1^R \frac{I(r)}{r^2} dr \stackrel{(5)}{\leq} \frac{C}{(\log R)^2} \int_1^R \frac{dr}{r} = \frac{C}{\log R} \xrightarrow{(R \rightarrow \infty)} 0,$$

y usamos 1.



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5.  $I(r) \leq Cr$ .
6.  $|\sigma|^2 + \text{Ric}(\eta) \equiv 0$  en  $M$ .
7.  $0 \stackrel{(6)}{=} |\sigma|^2 + \text{Ric}(\eta) = 2H^2 + \frac{1}{2}(k_1 - k_2)^2 + \text{Ric}(\eta) \geq 2H^2 + \text{Ric}(\eta) \geq 0$   
 $\Rightarrow \left\{ \begin{array}{l} |\sigma|^2 = 2H^2 \Rightarrow M \text{ totalmente umbilical,} \\ H^2 = c, \ \text{Ric}(\eta) = -2c. \end{array} \right.$

# Estabilidad de superficies mínimas y de CMC.

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Geometrías de Thurston con  $\dim \text{Iso}(N^3) = 4$ :  $\mathbb{E}^3(\kappa, \tau)$

	$\kappa > 0$	$\kappa = 0$	$\kappa < 0$	$\mathbb{E}^3(\kappa, \tau) \xrightarrow{\pi} \mathbb{M}^2(\kappa),$
$\tau = 0$	$\mathbb{S}^2 \times \mathbb{R}$	$\emptyset$	$\mathbb{H}^2 \times \mathbb{R}$	$E_3 = \ker(d\pi), \langle E_1, E_2 \rangle = \langle E_3 \rangle^\perp$
$\tau \neq 0$	$\mathbb{S}^3_{\text{Berger}}$	$\text{Nil}_3$	$\widetilde{Sl}_2(\mathbb{R})$	$\text{Ric}(E_1) = \text{Ric}(E_2) = \kappa - 2\tau^2,$ $\text{Ric}(E_3) = 2\tau^2 \Rightarrow S = 2(\kappa - \tau^2)$

$M \hookrightarrow \mathbb{E}^3(\kappa, \tau)$ , CMC  $H \in \mathbb{R}$ , cpl estable.

- ①  $\mathbb{S}^2 \times \mathbb{R}: M = \mathbb{S}^2 \times \{c\}$ .
- ②  $\text{Nil}_3(\tau = \frac{1}{2}): \nexists M$  si  $|H| \geq \frac{1}{2}$ .
- ③  $\widetilde{Sl}_2(\mathbb{R}): \nexists M$  si  $H^2 \geq \tau^2 - \frac{1}{2}\kappa (> 0)$ .
- ④  $\mathbb{H}^2 \times \mathbb{R}: \exists \varepsilon > 0$  s.t.  $\nexists M$  si  $|H| > \frac{1}{\sqrt{3}} - \varepsilon$  (mejora  $|H| > \frac{1}{\sqrt{3}}$ )
- ⑤  $\mathbb{S}^3_{\text{Berger}}: \nexists M$  si  $3H^2 \geq \tau^2 - \kappa$  (mejora escalar( $\mathbb{S}^3_B$ )  $\geq 0$ )