

Shifted numerical semigroups and their tangent cones

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Based on joint work in progress with

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- The conjecture of Herzog-Srinivasan.
- Homogeneous semigroups and semigroups of homogeneous type.
- Asymptotic behavior under shifting.

The conjecture of Herzog-Srinivasan

- Let $\underline{m} := 0 < m_1 < \dots < m_d$ be a family of positive integers.
- Let $S = \langle m_1, \dots, m_d \rangle \subseteq \mathbb{N}$ be the semigroup the generated by the family \underline{m} .
- Let K be a field and $K[S] = K[t^{m_1}, \dots, t^{m_d}] \subseteq K[t]$ be the semigroup ring defined by \underline{m} .

We may consider the presentation:

$$0 \longrightarrow I(S) \longrightarrow K[t_1, \dots, t_d] \xrightarrow{\varphi} K[S] \longrightarrow 0$$

given by $\varphi(t_i) = t^{m_i}$.

- We call the Betti numbers of $I(S)$ as **the Betti numbers of S** .

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- For any $j \geq 0$ we consider the shifted family

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and the semigroup

$$S + j := \langle m_1 + j, \dots, m_d + j \rangle$$

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Conjecture (by J. Herzog and H. Srinivasan):

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Remark:

- If we start with S a numerical semigroup, it may happen that $S + j$ is not anymore a numerical semigroup.

For instance, let $S = \langle 3, 5 \rangle$: then $S + 1 = \langle 4, 6 \rangle$.

- Also, we may start with a family which is a minimal system of generators of S but the shifted family is not anymore a minimal system of generators of $S + j$.

For instance, $S = \langle 3, 5, 7 \rangle$: then $S + 1 = \langle 4, 6, 8 \rangle = \langle 4, 6 \rangle$.

- But if S is minimally generated by m_1, \dots, m_d then $S + j$ is minimally generated by $m_1 + j, \dots, m_d + j$ for any $j > m_d - 2m_1$.

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The conjecture holds for:

- $d = 3$ (A. V. Jayanthan and H. Srinivasan, 2013).
- Arithmetic sequences (P. Gimenez, I. Senegupta, and H. Srinivasan, 2013).
- In general (Thran Vu, 2014).

Namely, there exists positive value N such that for any $j > N$ the Betti numbers of $S + j$ are periodic with period $m_d - m_1$.

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The bound N depends on the Castelnuovo-Mumford regularity of $J(S)$, the ideal generated by the homogeneous elements in $I(S)$.

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The bound N depends on the **Castelnuovo-Mumford regularity** of $J(S)$, the ideal generated by the homogeneous elements in $I(S)$.

- Let $I^*(S)$ be the **initial ideal of $I(S)$** , that is, the ideal generated by the **initial forms** of the elements of $I(S)$.
- $I^*(S) \subset K[t_1, \dots, t_d]$ is an homogeneous ideal. It is the definition ideal of the **tangent cone of S : $G(S)$** .

As a consequence of the work of Vu, J. Herzog and D. I. Stamate, 2014, have shown that for any $j > N$,

$$\beta_i(I(S + j)) = \beta_i(I^*(S + j)) \text{ for all } i \geq 0$$

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Our purpose is to **reverse** this fact, and also to provide a bound which **only depends on the initial data of the family \underline{m}** .

- We will give a condition on the **Apéry set of S with respect to its multiplicity**, that jointly with the Cohen-Macaulay property of $G(S)$ will imply **the above equality of the Betti numbers**.

- Then, we will show that these two conditions **eventually hold for $S + j$** , with a bound L that we can easily compute in terms m_1, \dots, m_d .

Moreover, this bound will only depend on the **class of the shifted semigroups**.

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Homogeneous semigroups and semigroups of homogeneous type

- Let $\mathbf{a} = (a_1, \dots, a_d)$ a vector of non-negative integers. Then we define the **total order of \mathbf{a}** as $|\mathbf{a}| = \sum_{i=1}^d a_i$. We also set $s(\mathbf{a}) = \sum_{i=1}^d a_i m_i \in S$.

- Given an **expression** of an element $s \in S$: $s = \sum_{i=1}^d a_i m_i$, we call the vector $\mathbf{a} = (a_1, \dots, a_d)$ **a factorization of s** .

Then, we define the **order of s** as the maximum total order among the factorizations of s .

- An expression of s is then said to be **maximal** if the total order of its factorization is the order of s .

A factorization of an element whose total order is maximal is called **a maximal factorization**.

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- A subset $T \subset S$ is said to be **homogeneous** if all the expressions of elements in T are maximal.

Definition

We then say that S is **homogeneous** if the **Apéry set** $AP(S, e)$ is homogeneous, where $e = m_1$ is the multiplicity of S .

- If $d = 2$ then S is homogeneous.
- If $e = d$ (maximal embedding dimension) or $e = d - 1$ (almost maximal embedding dimension) then S is homogeneous.
- If S is generated by a **generalized arithmetic sequence** then S is homogeneous.

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- Remember that the defining ideal $I(S)$ may be generated by **binomials** of the form $x^{\mathbf{a}} - x^{\mathbf{b}}$.

For those binomials we have that $s(\mathbf{a}) = s(\mathbf{b})$ and so both \mathbf{a} and \mathbf{b} provide factorizations of the same element $s \in S$.

- Also that a family of elements of $I(S)$ such that their initial forms generate $I^*(S)$ is called **a standard basis**.

Any standard basis is system of generators of $I(S)$ (but not conversely).

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Any standard basis is system of generators of $I(S)$ (but not conversely).

Proposition (1)

The following are equivalent:

- (1) S is homogeneous and $G(S)$ is Cohen-Macaulay.*
- (2) There exists a minimal set of generators E for $I(S)$ such that for all $x^{\mathbf{a}} - x^{\mathbf{b}} \in E$ with $|\mathbf{a}| > |\mathbf{b}|$, we have $a_1 \neq 0$.*
- (3) There exists a minimal set of generators E for $I(S)$ which is a standard basis and for all $x^{\mathbf{a}} - x^{\mathbf{b}} \in E$ with $|\mathbf{a}| > |\mathbf{b}|$, we have $a_1 \neq 0$.*

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Remark:

Condition (2) in the above proposition plays a fundamental role in Vu's results.

Definition

We say that S is of homogeneous type if $\beta_i(S) = \beta_i(G(S))$ for all $i \geq 0$.

Proposition (2)

Let S be a homogeneous semigroup such that $G(S)$ is Cohen-Macaulay. Then S is of homogenous type.

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Example (3)

Let $S := \langle 15, 21, 28 \rangle$. Then S is of homogeneous type. The defining ideal is generated by a standard basis:

$$I(S) = (x_2^4 - x_3^3, x_1^7 - x_2^5)$$

but it is not homogeneous:

$$3 \times 28 = 4 \times 21 = 84 \in AP(S, 15)$$

Asymptotic behavior under shifting

- Let $n_i := m_d - m_{d-i}$, for all $1 \leq i \leq d - 1$.
- Let $g := \gcd(n_1, \dots, n_{d-1})$ and $T := \langle \frac{n_1}{g}, \dots, \frac{n_{d-1}}{g} \rangle$.

- Let

$$L := n_{d-1}n_{d-2} \left(\frac{gc + dn_{d-1}}{n_1} + d \right) - m_d$$

where c is the conductor of T .

Proposition (4)

Let $j > L$ and $s \in S + j$. If \mathbf{a}, \mathbf{a}' are two factorizations of s with $|\mathbf{a}| > |\mathbf{a}'|$, then there exists a factorization \mathbf{b} of s such that $|\mathbf{a}| = |\mathbf{b}|$ and $b_1 \neq 0$.

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Corollary (5)

For any $j > L$, the j -th shifted numerical semigroup $S + j$ is homogeneous and $G(S + j)$ is Cohen-Macaulay. In particular, $S + j$ is of homogeneous type.

Proof:

Take E any system of binomials generators of $I(S + j)$. By the previous Proposition 4, for any binomial $x^{\mathbf{a}} - x^{\mathbf{a}'} \in E$ such that $|\mathbf{a}| > |\mathbf{a}'|$, there exists a binomial $x^{\mathbf{a}} - x^{\mathbf{b}}$ such that $|\mathbf{a}| = |\mathbf{b}| > |\mathbf{a}'|$ and $b_1 \neq 0$. Then, substituting $x^{\mathbf{a}} - x^{\mathbf{a}'}$ by $x^{\mathbf{a}} - x^{\mathbf{b}}$ and $x^{\mathbf{b}} - x^{\mathbf{a}'}$ and then refining to a minimal system of generators, we get that $S + j$ fulfills condition (2) in Proposition 1 and so we are done.

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Definition

We say that a family of positive integers $0 < n_1 \leq \dots \leq n_{d-1}$ is the **shifting type** of a family of integers $\underline{\mathbf{m}} = 0 < m_1 < \dots < m_d$ if $n_i = m_d - m_{d-i}$ for all $1 \leq \dots \leq i \leq \dots \leq d-1$.

Note that in this case $m_{d-i} = n_{d-1} - n_i + m_1$ for all $i = 1, \dots, d$ (assuming $n_0 = 0$).

- Hence the family of elements $\underline{\mathbf{m}} = 0 < m_1 < \dots < m_d$ is **uniquely determined by its shifting type and m_1** .

Definition

We say that a family of positive integers $0 < n_1 \leq \dots \leq n_{d-1}$ is the **shifting type** of a family of integers $\underline{\mathbf{m}} = 0 < m_1 < \dots < m_d$ if $n_i = m_d - m_{d-i}$ for all $1 \leq \dots \leq i \leq \dots \leq d-1$.

Note that in this case $m_{d-i} = n_{d-1} - n_i + m_1$ for all $i = 1, \dots, d$ (assuming $n_0 = 0$).

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- Hence the family of elements $\underline{\mathbf{m}} = 0 < m_1 < \dots < m_d$ is **uniquely determined by its shifting type and m_1** .

- The shifting type is invariant under shifting.
- Two families of d positive integers are shifted one from the other if and only if they have the same shifting type.

Note that the family

$$1, n_{d-1} - n_{d-2} + 1, \dots, n_{d-1} - n_2 + 1, n_{d-1} - n_1 + 1, n_{d-1} + 1$$

is the one with smallest possible m_1 and with shifting type n_1, \dots, n_{d-1} .

- We then also say that the semigroup $S = \langle m_1, \dots, m_d \rangle$ is of shifting type $0 < n_1 \leq \dots \leq n_{d-1}$.

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Let:

- $g = \gcd(n_1, \dots, n_{d-1})$;
- $T = \langle \frac{n_1}{g}, \dots, \frac{n_{d-1}}{g} \rangle$ and c the conductor of T ;
- $L = n_{d-1}n_{d-2}(\frac{gc+dn_{d-1}}{n_1} + d) - n_{d-1}$.

Proposition

For any $e > L$ all the semigroups S of shifting type $0 < n_1 \leq \dots \leq n_{d-1}$ with $m_1 = e$ are homogeneous and $G(S)$ is Cohen-Macaulay. In particular their Hilbert function is non decreasing.

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MUITO OBRIGADO!

Thank you very much for your attention!