

# IMNS- 2014

## Syzygies of GS monomial curves and smoothability.

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# Introduction.

TOPIC . Some aspects of the study of

$$R = k[x_0, \dots, x_n]/I = k[S]$$

$S$  semigroup generated by a generalized arithmetic sequence  
( $GS$  semigroup),  $k$  field of characteristic 0:

bideterminantal shape of the ideal  $I$   
and  
minimal free resolution of  $I$



determinantal description of the first syzygy module of  $I$   
and  
smoothability of certain  $GS$  curve  $X$  of  $\mathbb{A}_k^{n+1}$  defined by  $I$ .

# 1. Setting, syzygies, Betti numbers.

Let  $S$  be a numerical semigroup generated by a generalized arithmetic sequence,  $S = \sum_{0 \leq i \leq n} \mathbb{N}m_i$ , with

$$m_i = \eta m_0 + i d \quad (\eta \geq 1, \quad 1 \leq i \leq n), \text{ and } \text{GCD}(m_0, d) = 1.$$

Let  $a, b, \mu \in \mathbb{N}$  be such that

$$m_0 = an + b, \quad a \geq 1, \quad 1 \leq b \leq n, \quad \mu := a\eta + d.$$

Let  $P := k[x_0, \dots, x_n]$  ( $k$  field), with  $\text{weight}(x_i) := m_i$ , let

$$k[S] = k[t^s, s \in S]$$

and consider the monomial curve (shortly  $GS$  curve)

$$X = \text{Spec}(k[S]) \subseteq \mathbb{A}_k^{n+1}$$

associated to  $S$ . When  $\eta = 1$ ,  $S$  is generated by an arithmetic sequence ( $AS$  semigroup, resp  $AS$  curve).

The defining ideal  $I \subseteq P$  of  $X$  is generated by the  $2 \times 2$  minors of the following two matrices:

$$A := \begin{pmatrix} x_0^\eta & x_1 & \dots & x_{n-2} & x_{n-1} \\ x_1 & x_2 & \dots & x_{n-1} & x_n \end{pmatrix}, \quad A' := \begin{pmatrix} x_n^a & x_0^\eta & \dots & x_{n-b} \\ x_0^\mu & x_b & \dots & x_n \end{pmatrix}$$

and a minimal set of generators for  $I$  is union of

- the  $\binom{n}{2}$  maximal minors  $\{f_1, \dots, f_{\binom{n}{2}}\}$  of the matrix  $A$

call  $\mathfrak{C}$  the ideal generated by these elements (if  $\eta = 1$  it defines the cone over the rational normal curve in  $\mathbb{P}_k^n$ )

- the  $n - b + 1$  minors of the matrix  $A'$  containing the first column :

$$\left[ \begin{array}{ll} g_j = x_n^a x_{n-j} - x_0^\mu x_{n-b-j} & (j = 0, \dots, n - b - 1) \\ g_{n-b} = x_n^a x_b - x_0^{\mu+\eta} \\ \text{with weights} & \delta_j = a m_n + m_{n-j} \end{array} \right.$$

Starting from

- the Eagon-Northcott free resolution for the ideal

$$\mathfrak{e} = \left( f_1, \dots, f_{\binom{n}{2}} \right):$$

$$\mathbb{E}: 0 \longrightarrow E_{n-1} \longrightarrow \dots \longrightarrow E_1 \longrightarrow E_0 \longrightarrow P/\mathfrak{e} \longrightarrow 0$$

where  $E_0 \simeq P$ , and for  $1 \leq s \leq n-1$ ,

$$E_s = \wedge^{s+1} P^n \otimes (\operatorname{Sym}_{s-1}(P^2))^* \simeq P^{\beta_s}(-s-1),$$

$$\text{with basis } e_{i_1} \wedge \dots \wedge e_{i_{s+1}} \otimes \lambda_0^{v_0} \lambda_1^{v_1},$$

$$(1 \leq i_1 < i_2 < \dots < i_{s+1} \leq n, \quad v_0 + v_1 = s-1)$$

- the Koszul complex  $\mathbb{K}$ , minimal free resolution for

$$P/(x_1, \dots, x_n):$$

$$\mathbb{K}: 0 \longrightarrow K_n \longrightarrow \dots \longrightarrow K_1 \longrightarrow K_0 \longrightarrow P/(x_1, \dots, x_n) \longrightarrow 0$$

$$(\text{ with } K_s = \wedge^s P^n, \quad s \geq 1).$$

A minimal free homogeneous resolution of the ideal  $I$  can be obtained via iterated mapping cone by adapting to  $GS$  the technique used by Gimenez, Sengupta, Srinivasan in the case  $AS$  ( $\eta = 1$ ) [4], Theorem 3.8: it is the complex

$$\mathcal{R}: \quad 0 \longrightarrow R_n \longrightarrow \dots \longrightarrow R_2 \longrightarrow R_1 \longrightarrow P$$

where (respectively if  $b = n$ , or  $1 \leq b < n$ ),

$$R_s =$$

- $E_{s-1}(-\delta_0) \oplus E_s, \quad (b = n)$
  - $\left( K_{s-1}(-\delta_{n-b}) \oplus \dots \oplus K_{s-1}(-\delta_1) \oplus E_{s-1}(-\delta_0) \oplus E_s \right) / D_s$
- $$D_s \subseteq K_{s-1}(-\delta_{n-b}) \oplus \dots \oplus K_{s-1}(-\delta_1) \oplus E_{s-1}(-\delta_0), \quad (b < n).$$

In particular:

$$R_1 = K_0(-\delta_{n-b}) \oplus \dots \oplus K_0(-\delta_1) \oplus K_0(-\delta_0) \oplus E_1$$

and if

$$b < n : \left[ \begin{array}{l} R_2 = K_1(-\delta_{n-b}) \oplus \dots \oplus K_1(-\delta_1) \oplus E_2 \\ \dim R_2 = (n-b)n + 2\binom{n}{3} \end{array} \right.$$

$$b = n : \left[ \begin{array}{l} R_2 = E_1(-\delta_0) \oplus E_2 \\ \dim R_2 = \binom{n}{2} + 2\binom{n}{3} . \end{array} \right.$$

From this resolution one deduces the **Betti numbers** of  $R$ :

$$\beta_s = \begin{cases} (n - b + 2 - s) \binom{n}{s-1} + s \binom{n}{s+1}, & \text{if } 1 \leq s < n - b + 2 \\ (s - 1 - n + b) \binom{n}{s} + s \binom{n}{s+1}, & \text{if } n - b + 2 \leq s \leq n. \end{cases}$$

## Corollary

*Let  $R = P/I$  be the coordinate ring of a GS curve. Then:*

- (1) The Betti numbers of  $R$  depend only on the values of  $n$  and  $b$ .*
- (2) The Betti numbers of  $R$  are maximal: in fact they are equal to the Betti numbers of the associated graded ring  $G$  of  $R$  with respect to the maximal ideal  $(x_0, \dots, x_n)$  (as computed by Sharifan and Zaare-Nahandi).*

By the knowledge of the above resolution we obtain also a

**“determinantal ” description of the first syzygies module of  $R$ :**



## Corollary

*The first syzygies of the generating ideal  $I$  of a GS curve can be described as follows:*

- (1) The  $2\binom{n}{3}$  syzygies concerning the ideal  $\mathfrak{C}$  are given as determinants of the  $3 \times 3$  minors obtained by doubling a row in the matrix  $A$ . [Kurano, 1989]*
- (2) If  $b = n$  the remaining  $\binom{n}{2}$  syzygies are trivial:  
 $f_i g_0 - f_0 g_i = 0$ .*
- (3) If  $1 \leq b \leq n - 1$  the remaining  $(n - b)n$  syzygies can be written by expanding the determinants of the following matrices along the first column and the third row:*

$$\left\{ \begin{array}{l} 1 \leq h < n - b \\ 2 \leq i \leq n \end{array} \right. : \left( \begin{array}{ccc} x_n^a & x_{n-b-h} & x_{n-b-h+1} \\ x_0^\mu & x_{n-h} & x_{n-h+1} \\ 0 & x_{i-1} & x_i \end{array} \right) ;$$

$$\left\{ \begin{array}{l} h = n - b \\ 2 \leq i \leq n \end{array} \right. : \left( \begin{array}{ccc} x_n^a & x_0^\eta & x_1 \\ x_0^\mu & x_b & x_{b+1} \\ 0 & x_{i-1} & x_i \end{array} \right) ;$$

$$\left\{ \begin{array}{l} 1 \leq h < n - b \\ (i = 1) \end{array} \right. : \left( \begin{array}{ccc} x_n^a & x_{n-b-h} & x_{n-b-h+1} \\ x_0^\mu & x_{n-h} & x_{n-h+1} \\ 0 & x_0^\eta & x_1 \end{array} \right) ;$$

$$\left\{ \begin{array}{l} h = n - b \\ i = 1 \end{array} \right. : \left( \begin{array}{ccc} x_n^a & x_0^\eta & x_1 \\ x_0^\mu & x_b & x_{b+1} \\ 0 & x_0^\eta & x_1 \end{array} \right)$$

This "determinantal property" can be seen directly from the definition of the map  $d_2 : R_2 \longrightarrow R_1$  of the complex  $\mathcal{R}$ . It will be very useful in the following, as we shall see.

## 2. Weierstrass semigroups and smoothability.

A numerical semigroup  $S$ , is **Weierstrass** if  $S$  is not ordinary and there exist a smooth projective curve  $C$  and a closed point  $Q$  on  $C$  such that

$S = \{h \in \mathbb{N} \mid \text{there exists } f \in k(C), f \text{ regular outside } Q \text{ with at most one pole of order } h \text{ at } Q\}.$

An important property of Weierstrass semigroups is their connection with the theory of **algebraic-geometric codes** (AG codes).

The problem of classifying Weierstrass semigroups is still open and difficult. It is known that there are non-Weierstrass semigroups ( examples of Buchweitz (1980) , Kim, Komeda, Torres and others ).

On the other hand, it is known that several semigroups  $S$  are Weierstrass, in particular

- (1)  $S$  minimally 3-generated [Shaps]
- (2)  $S$  with multiplicity  $\leq 5$  [MacLachlan, Komeda]
- (3)  $S$  with genus  $g \leq 8$ , or  $g = 9$ , in particular cases [Komeda]
- (4) if the curve  $X = \text{Spec}(k[S])$  is a complete intersection,
- (5) if  $X \subseteq \mathbb{A}^q$  is defined by the  $l \times l$  minors of a  $m \times n$  matrix,  $\text{codim } X = (m - l + 1)(n - l + 1)$  and or  $m = n = l$ , or  $l = 1$ , or  $q < (m - l + 2)(n - l + 2)$ . [Shaps]

A fundamental result is the following

### Theorem (Pinkham)

Let  $k$  be an algebraically closed field,  $\text{char}(k) = 0$  :

*A semigroup  $S$  is Weierstrass if and only if the curve  $X = \text{Spec}(k[S])$  is smoothable.*

“Smoothability” for a scheme  $X$  means the existence of flat deformations

$$\begin{array}{ccc} \pi^{-1}(0) \simeq X & \hookrightarrow & Y \\ \downarrow & & \downarrow \pi \text{ (flat)} \\ \{0\} & \hookrightarrow & \Sigma \text{ (base space)} \end{array}$$

with  $\Sigma$  integral scheme of finite type, such that  $\pi$  admits non-singular fibres.

### 3. Smoothability of Arf-GS curves

The notion of Arf semigroup comes from the classical one given by Lipman [11] for a semi-local ring  $R$ . We recall one of the equivalent definitions of such semigroups. See [Barucci, Dobbs, Fontana].

Given a numerical semigroup  $S$  minimally generated by  $m_0 < m_1 < \dots < m_n$ , the *blowing-up* (or *Lipman semigroup*)  $L(S)$  of  $S$  along the maximal ideal  $M = S \setminus \{0\}$  is defined as  $L(S) := \cup_{h \geq 1} (hM - hM)$  and it is well-known that

- (a)  $L(S) = \langle m_0, m_1 - m_0, \dots, m_n - m_0 \rangle$ .
- (b) There exists a finite sequence of blowing-ups :  
 $S \subseteq S_1 = L(S) \subseteq \dots \subseteq S_m = L(S_{m-1}) = \mathbb{N}$ .

## Definition

A numerical semigroup  $S$  is called an *Arf semigroup* if in the sequence of its blowing-up

$$S_0 = S \subseteq S_1 \subseteq \dots \subseteq S_m = \mathbb{N}$$

the  $S_i$  have maximal embedding dimension  $\forall i = 0, \dots, m$ .

By the above Theorem of Shaps on the smoothability of determinantal schemes we get:

## Proposition

Let  $S = \langle m_0, \dots, m_n \rangle$  ( $m_0 = an + b$ ,  $m_i = \eta m_0 + i d$ ) be a GS semigroup. If  $S$  is Arf, then the associated monomial curve  $X = \text{Spec } k[S]$  is smoothable.

Proof. Assume  $S$  is a GS and Arf semigroup: then  $n + 1 = \text{embdim}(S) = e(S) = m_0$ . Therefore  $a = b = 1$ , and so the defining ideal  $I$  is determinantal generated by the  $2 \times 2$  minors of the matrix  $A'$ . Then the curve  $X$  is smoothable since the assumptions of Shaps are satisfied.

We recall some characterizations of *Arf*-GS semigroups given by [Matthews and T.].

## Proposition

- (1) *A numerical GS semigroup  $S \neq \mathbb{N}$  is Arf if and only if either  $S$  has multiplicity  $e(S) = 2$ , or  $d = 1$ , or  $d = 2$ .*
- (2) *Given a semigroup of maximal embedding dimension minimally generated by  $m_0 < m_1 < \dots < m_n$ , if  $m_1 \equiv 1 \pmod{m_0}$ , then  $S$  is Arf if and only if it is GS (with  $d = 1$ ).*



## 4. Smoothability of certain GS curves

When  $X = \operatorname{Spec}(k[S])$  is a GS monomial curve,

$$S = \langle m_0, \dots, m_n \rangle, \quad m_i = \eta m_0 + id, \quad m_0 = an + b,$$

we already know that the curve  $X$  is smoothable:

- if  $b = 1$ , by [Shaps], since the ideal  $I$  is determinantal.
- if  $\eta = 1$  and  $(b = n, \text{ or } n \leq 4)$ , by recent papers [Oneto, T.].

If  $\eta = 1$ , i.e. for AS curves, we are able to prove the smoothability in several new subcases.

In the following we shall assume  $b < n$ .

In order to construct deformations of an  $AS$  curve  $X$ , by the particular bideterminantal shape of the defining ideal  $I$  it is quite natural to approach the problem finding suitable

compatible deformations of the matrices  $A, A'$

$$A := \begin{pmatrix} x_0 & x_1 & \dots & x_{n-2} & x_{n-1} \\ x_1 & x_2 & \dots & x_{n-1} & x_n \end{pmatrix}, \quad A' := \begin{pmatrix} x_n^a & x_0 & \dots & x_{n-b} \\ x_0^\mu & x_b & \dots & x_n \end{pmatrix}$$

By this way the needed flatness of the induced morphism is immediately obtained thanks to the determinantal description of the first syzygies ( which naturally lift to the set of deformed generators ).

Successively, to prove the smoothability of  $X$ , we apply the following version of the classical Bertini's theorem

### Theorem (Bertini - Kleiman 1997)

*Let  $Z$  be an integral scheme over an algebraically closed field  $k$  of characteristic 0. Let  $D$  be a finite dimensional linear system.*

*Then:*

*Almost every element of  $D$ , considered as a closed subscheme of  $Z$ , is non singular outside the base points of  $D$  and the singular points of  $Z$ .*

## Theorem (1)

Assume  $n \leq 2b$ . Deform the matrices  $A$  and  $A'$  respectively as

$$A_{def} = \begin{pmatrix} x_0 & \dots & x_{n-b-1} & x_{n-b} & \dots & x_{n-1} \\ x_1 & \dots & x_{n-b} - V & x_{n-b-1} & \dots & x_n \end{pmatrix}$$

$$A'_{def} = \begin{pmatrix} x_n^a & x_0 & \dots & x_{n-b} - V \\ x_0^\mu - U & x_b & \dots & x_n \end{pmatrix}.$$

Let  $Y \subseteq \mathbb{A}^{n+3}$  be the variety defined by the union of the  $2 \times 2$  minors of  $A_{def}$  and  $A'_{def}$ . Then

- (1) The ideal  $I_Y \subseteq k[x_0, \dots, x_n, U, V]$  is minimally generated by the  $2 \times 2$  minors  $\{F_1, \dots, F_{\binom{n}{2}}\}$  of  $A_{def}$  and by the minors  $\{G_0, \dots, G_{n-b}\}$  of  $A'_{def}$  containing the first column.
- (2) The induced morphism  $\pi : Y \longrightarrow \operatorname{Spec} k[U, V]$  is a deformation, with smooth fibres, of the monomial curve  $X$ .

**Proof.** (1) One can verify that the  $2 \times 2$  minors of the matrix  $A'_{def}$  not containing the first column belong to the ideal generated by the  $2 \times 2$  minors of the matrix  $A_{def}$  (this means "compatibility" among the minors of the matrices  $A_{def}, A'_{def}$ ).

(2) According to this "compatibility" and the determinantal shape of the syzygies of generators of  $I$ , we obtain that these relations lift naturally to those among generators of  $I_Y$ .

Therefore there exists a flat morphism:

$$\pi : Y \longrightarrow \operatorname{Spec} k[U, V]$$

with the curve  $X$  as special fibre. It remains to verify that the deformation has smooth fibres, equivalently that the rank of the jacobian matrix of the generic fibre is  $n$  at every point.

For this : we fix  $V = V_0 \neq 0$  and we first obtain that the *two-dimensional* variety  $Z$  defined by the minors of  $A_{def}$ , (with  $V = V_0$ ), is non singular ( $Z$  is a deformation of the cone on the rational normal curve).

Now apply Bertini's theorem to  $Z$  and to the divisor  $D$  on  $Z$  defined by the element  $G_0 = x_n^{a+1} - (x_0^\mu - U)(x_{n-b} - V_0)$ : a fortiori the generic fibre  $X'$  of  $\pi$  is smooth outside the fixed points of  $D$ .

Finally, by choosing other suitable generators of  $X'$ , we shall deduce the regularity of  $X'$  at the above fixed points.  $\diamond$

When  $2b < n \leq 3b$ , to obtain compatible deformations of the matrices defining  $X$ , we need some more technical trick.

First we consider the following the matrix  $A''$  :

$$\left( \begin{array}{cccc|ccc} x_n^{a-1} & x_0 & x_1 & \dots & x_{n-2b} & x_{n-2b+1} & \dots & x_{n-b} \\ x_0^\mu & x_{n-b}x_{2b} & x_{n-b}x_{2b+1} & \dots & x_{n-b}x_n & x_{n-b+1}x_n & \dots & x_n^2 \end{array} \right)$$

and prove that the  $2 \times 2$  minors of  $A, A''$  are another system of generators for the ideal  $I$ .

By deforming  $A, A''$  we can prove the smoothability of  $X$ :

## Theorem (2)

Assume  $2b < n \leq 3b$ .

Consider the deformed matrices  $A_{def}, A''_{def}$

$$\begin{pmatrix} x_0 & \dots & x_{n-b-2} & x_{n-b-1} & x_{n-b} & x_{n-b+1} & \dots & x_{n-1} \\ x_1 & \dots & x_{n-b-1} & x_{n-b} - V & x_{n-b+1} & x_{n-b+2} & \dots & x_n \end{pmatrix}$$

$$\left( \begin{array}{cccc|cc} x_n^{a-1} & x_0 & \dots & x_{n-2b} & x_{n-2b+1} & \dots & x_{n-b} - V \\ x_0^\mu - U & x_{n-b}x_{2b} & \dots & x_{n-b}x_n & x_{n-b+1}x_n & \dots & x_n^2 \end{array} \right)$$

Then the  $2 \times 2$  minors of these matrices define a deformation of  $X$  with smooth fibres.



By recalling the above cited known results, we deduce the following

### Corollary

- (1) *The AS semigroups with  $n \leq 3b$  are Weierstrass.*
- (2) *In particular every AS semigroup with embedding dimension less or equal to seven and every semigroup with  $b \neq 2$  and embedding dimension  $\leq 10$  have this property.*

## 5. Example

We show the procedure in a particular example.

Let  $S = \langle 13, 17, 21, 25, 29, 33 \rangle$  ( $m_0 = 13, n = 5, d = 4$ ).  
 $m_0 = 2n + 3$ , and so,  $a = 2, b = 3, \mu = 6, n - b = 2$ .

The defining ideal  $I$  of  $X$  is generated by the binomials:

$$x_i x_j - x_{i+1} x_{j-1} \quad (0 \leq i < j \leq 5),$$

$$x_5^2 x_{3+i} - x_0^6 x_i \quad (0 \leq i \leq 2).$$

Consider the deformed matrices :

$$A_{def} = \begin{pmatrix} x_0 & x_1 & x_2 & x_3 & x_4 \\ x_1 & x_2 - V & x_3 & x_4 & x_5 \end{pmatrix}$$

$$A'_{def} = \begin{pmatrix} x_5^2 & x_0 & x_1 & x_2 - V \\ x_0^6 - U & x_3 & x_4 & x_5 \end{pmatrix}.$$

Let  $Z$  be the variety defined by the minors  $F_1, \dots, F_{10}$  of  $A_{def}$ , (lexicographically ordered), with  $V = V_0 \neq 0$  and let  $Y$  be the variety defined by the union of the  $2 \times 2$  minors of  $A_{def}$  and  $A'_{def}$ . Consider the Jacobian matrix  $J$ :

$$\begin{pmatrix} x_2 - V_0 & -2x_1 & x_0 & 0 & 0 & 0 \\ x_3 & -x_2 & -x_1 & x_0 & 0 & 0 \\ x_4 & -x_3 & 0 & -x_1 & x_0 & 0 \\ x_5 & -x_4 & 0 & 0 & -x_1 & x_0 \\ 0 & x_3 & -2x_2 + V_0 & x_1 & 0 & 0 \\ 0 & x_4 & -x_3 & -x_2 + V_0 & x_1 & 0 \\ 0 & x_5 & -x_4 & 0 & -x_2 + V_0 & x_1 \\ \dots & \dots & \dots & \dots & \dots & \dots \\ -7x_0^6 + U & 0 & 0 & x_5^2 & 0 & 2x_3x_5 \\ \dots & \dots & \dots & \dots & \dots & \dots \end{pmatrix}$$

The jacobian submatrix  $J_Z$  of  $J$  has rank  $4(=n-1)$ : if  $x_0 \neq 0$  a non vanishing minor is formed by the rows corresponding to the elements  $F_1, \dots, F_4$  and columns  $C_3, \dots, C_6$ .

The points belonging to  $Z$  with  $x_0 = 0$  are

$$P(0, 0, 0, 0, 0, 0, x_5), \quad Q(0, 0, V_0, x_3, x_4, x_5).$$

In both cases there exists a non vanishing minor with size 4 in  $J_Z$  (e.g. at the points  $P$  the red entries are the diagonal of the minor). Now apply Bertini's theorem to  $Z$  and to the linear system  $D$  defined by  $G_0 = x_5^3 - (x_0^6 - U)(x_2 - V_0)$ .

It remains to verify that the generic fibre  $X'$  is smooth at the fixed points of  $D$ , which are  $R(x_0, 0, V_0, 0, 0, 0)$ .

Again, one can exhibit a non vanishing minor with size 5 of  $J$ .

## Question

- For AS curves with  $n > 3b$  we cannot find compatible deformations of the defining matrices (do they exist?).
- Moreover for GS non-AS curves with  $b \geq 2$  the above algorithm doesn't work, because the so obtained deformations haven't smooth fibres.

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