Lorentzian manifolds isometrically embeddable in Lorentz-Minkowski space \mathbb{L}^N

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M. Sánchez Lorentzian manifolds embeddable in L^N

Theorem

(Nash, Ann. Math.'56.) Any smooth Riemannian manifold (M, g) can be isometrically embedded in [an arbitrarily small open subset U of] \mathbb{R}^N , for some N.

Notes:

- Smooth: C^{∞} —but C^3 is enough
- Value of N: if $m = \dim(M)$, Nash's bound was N = (m+1)(3m(m+1)/2 + 4m)
- Günther's '89 bound: max {2m + m(m + 1)/2, m + 5 + m(m + 1)/2} (optimal?, it can be lowered in many particular cases)

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We do not worry about these bounds but for the following:

Is a Lorentzian version available?

Independent simple arguments by Greene (Memoirs AMS'70) and Clarke (Proc. London'70) show:

Theorem

Any smooth manifold M endowed with a pseudo-metric (or, equivalently a possibly degenerate quadratic form) can be isometrically embedded in semi-Euclidean space \mathbb{R}^N_{ν} for sufficiently large dimension N and index ν .

(just by reducing the problem to the Riemannian one).

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(just by reducing the problem to the Riemannian one). However, the question is not so trivial if the index ν is not allowed to be arbitrary. That is, we focus in:

Which Lorentzian manifolds can be isometrically embedded in some \mathbb{L}^N ?

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- 4 However, his proof was affected by the so-called *folk problems of smoothability* of causally-constructed functions.

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by using techniques which are not affected by the folk problems of smoothability. Moreover, τ will be *Cauchy*, recall:

Folk problems and structure of globally hyperbolic (M, g):

 Cornerstone: ∃ a Cauchy time function (R. Geroch, JMP'70)
 ⇒ Topological splitting M ≅_{top} ℝ × S (with levels acausal Cauchy hypersurfaces)

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- 3 \exists a Cauchy temporal function (AN Bernal, M.S, CMP'05) \longrightarrow Orthogonal calitting (M, g) \equiv ($\mathbb{R} \times S$ g $= -\beta dt^2 + g$
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 \longrightarrow Isometric embedding in \mathbb{L}^N by means of a reduction to Nash' theorem, and t with interest in its own right!

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Contents:

1 Reduction to Nash Riemannian theorem

- (a) Greene's result for arbitrary metrics
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- (c) Characterization of embeddability in \mathbb{L}^N
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 - (a) Future/past volume functions
 - (b) Relation with the causal ladder of spacetimes
 - (c) Geroch's topological construction
 - (d) Folk problems related to smoothability
- **3** Steep temporal functions on globally hyperbolic spacetimes

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(Greene '70). Assume that any Riemannian metric on $M = M^m$ admits an isometric embedding in \mathbb{R}^N . Then, any pseudo-metric g on M admits an isometric embedding ψ_g in $\mathbb{R}_{2m+1}^{N+2m+1}$.

(For M compact as well as isometric immersions, the dimension and index can be reduced in 1.)

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Proof. By Whitney theorem there exists a closed (proper) embedding $\psi: M \to \mathbb{R}^{2m+1}$ and by the claim below we can assume that [notation: g_0 natural metric in Euclidean space]

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is Riemannian. By assumption there exists an isometric embedding $\psi_R : (M, g_R) \to \mathbb{R}^N$ and the required one is

$$\psi_{g}(x) = (\psi(x), \psi_{R}(x)) \in \mathbb{R}^{2m+1}_{2m+1} \times \mathbb{R}^{N}.$$

Claim

Let $\phi: M \to \mathbb{R}^{N'}$ be a (smooth) proper embedding, and g be a pseudo-metric on M. Then, there exists a positive function $f: \mathbb{R}^{N'} \to \mathbb{R}$ such that the embedding $\phi_f := (f \circ \phi) \cdot \phi$ satisfies:

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is a Riemannian metric.

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is a Riemannian metric.

Proof. Obvious if M is compact: choose f as a (big enough) constant c.

Otherwise, for each compact $K_r = \phi^{-1}(\overline{B_0(r)}), r = 1, 2, ...$ take the constant c_r corresponding to K_r , and choose f radial and monotone with $f|_{K_r \setminus K_{r-1}} \ge c_r$. \Box

(b) Lorentzian preliminary conventions

- Causal character of a tangent vector v ∈ T_pM in a Lorentzian manifold (-, +..., +) (analogously for curves, hypersurfaces):
 - v is causal when timelike g(v, v) < 0, or lightlike $g(v, v) = 0, v \neq 0$
 - Otherwise, spacelike: g(v, v) > 0, or v = 0.
- (M, g) spacetime: time-orientable (and time-oriented, when necessary) connected smooth Lorentzian n-manifold.
 (No restrictive: any Lorentzian manifold isometrically embeddable in L^N must be time-orientable).
 One can speak on future and past directed causal vectors.

1. Reduction to Nash: (b) Lorentzian conventions

- The (piecewise) smooth timelike (resp. causal) curves define the chronological ≪ (resp. causal ≤) relation.
- Future and past of points (analogously subsets)
 - Chronological fut. *I*⁺(*p*) = {*q* ∈ *M* : *p* ≪ *q*} (future-directed timelike curve from *p* to *q*)
 - Causal future J⁺(p) = {q ∈ M : p ≤ q} (fut.-dir. causal curve from p to q, or p = q)
 - Analogously $I^{-}(p), J^{-}(p)$.
 - For an open subset $U \subset M$ regarded as spacetime: $I^+(p, U), J^-(p, U)...$
 - $J(p,q) = J^+(p) \cap J^-(q) (J(p,S) = J^+(p) \cap J^-(S))$

1. Reduction to Nash: (b) Lorentzian conventions

- Time-separation (or Lorentzian distance):
 - $d: M \times M \to [0, +\infty]$

$$d(p,q) = \begin{cases} 0, \text{ if } \mathcal{C}_{p,q} = \emptyset \\ \sup \left\{ L(\alpha), \alpha \in \mathcal{C}_{p,q} \right\}, \text{ if } \mathcal{C}_{p,q} \neq \emptyset \end{cases}$$

 $C_{p,q}$ space of future-directed causal curves from p to q }

Temporal function: smooth function τ with $\nabla\tau$ timelike and past-directed

—in particular, it is a time-function: continuous function which increases on any future-directed causal curve

(c) Characterization of embeddability in \mathbb{L}^{N}

Theorem

For a Lorentzian manifold (M,g), it is equivalent:

(i) (M,g) admits a isometric embedding in L^N for some N ∈ N.
(ii) (M,g) (is a stably causal spacetime which) admits a steep temporal function τ (g(∇τ, ∇τ) ≤ -1).

In this case, d is finite.

If $i : M \to \mathbb{L}^N$ is an isometric embedding, then: (a) the natural time coordinate $t = x^0$ of \mathbb{L}^N induces a steep temporal function on M, and (b) the time-separation d of (M,g) is finite-valued.

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Proof. (a) $x^0 \circ i$ is clearly temporal, and it is steep because $1 \equiv |\nabla^0 x^0|(i(M)) \leq |\nabla(x^0 \circ i)|$ the latter as $\nabla(x^0 \circ i)_p$ is the projection of $\nabla^0 x^0_{i(p)}$ onto the tangent space $di(T_pM)$ (and its orthogonal $di(T_pM)^{\perp}$ in $T_{i(p)}\mathbb{L}^N$ is spacelike).

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Theorem

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In this case, d is finite.

Proof. From the lemma , only (ii) \Rightarrow (i) needs to be proved.

If (M, g) admits a temporal function τ then the metric g admits a decomposition

$$g=-\beta d\tau^2+g_{\tau},$$

(g_{τ_0} : Riemannian metric on the slice $S_{\tau_0} = \tau^{-1}(\tau_0)$ varying locally smoothly with τ_0 –globally the topology of S_{τ_0} may change), where $\beta = |\nabla \tau|^{-2}$. In particular, if τ is steep then $\beta \leq 1$.

Proof. Decomposition: restrict g. Value of β : $d\tau(\nabla \tau) = g(\nabla \tau, \nabla \tau) = -\beta (d\tau(\nabla \tau))^2$. \Box *Proof of Th.* (steep temporal function \Rightarrow embeddability in \mathbb{L}^N). Using the decomposition of previous lemma, the auxiliary Riemannian metric

$$g_{\mathsf{R}} := (4-eta) d au^2 + g_{ au}$$

admits a Nash isometric embedding

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The required isometric embedding $i: (M,g) \hookrightarrow \mathbb{L}^{N_0+1}$ is just:

$$i(\tau, x) = (2\tau, i_{\mathsf{nash}}(\tau, x)).$$

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(d) Consequences for conformal embeddings

(1) A Lorentzian manifold is a stably causal spacetime if and only if it admits a conformal embedding in some \mathbb{L}^N .

Proof. (1) Let τ be any temporal function. Then τ is temporal for any conformal metric, and steep for $g^* = \sqrt{|\nabla t|}g$ ($|\nabla^* \tau|^* \equiv 1$).

(d) Consequences for conformal embeddings

- (1) A Lorentzian manifold is a stably causal spacetime if and only if it admits a conformal embedding in some \mathbb{L}^N .
- (2) In this case, there is a representative of its conformal class whose time-separation (Lorentzian distance) function is finite-valued.

Proof. (2) For $g^* = \sqrt{|\nabla t|}g$ as above, (M, g^*) is isometrically embeddable, and, then, its time-separation d^* is finite.

1. Reduction to Nash: (d) conformal embeddings

(d) Consequences for conformal embeddings

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- (2) In this case, there is a representative of its conformal class whose time-separation (Lorentzian distance) function is finite-valued.
- (3) A stably causal spacetime is conformal to a spacetime non-isometrically embeddable in \mathbb{L}^N if [and only if] it is not globally hyperbolic.

Proof. (3) Such spacetimes are conformal to a spacetime with infinite-valued d and, thus, non-isometrically embeddable. \Box

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- (2) All the elements in the conformal class of a Lorentzian manifold admit an isometric embedding in some \mathbb{L}^N iff it is a globally hyperbolic spacetime

Remark. As a difference with the Riemannian case, there is a (very neat) obstruction to the existence of isometric and conformal embeddings.

2. Background: (a) volume functions

(a) Future/past volume functions

Definition

Admissible Borel measure on M for Geroch-type construction:

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$$m(M) < \infty$$

2 m(U) > 0 if $U \neq \emptyset$ is open

$$3 m(\partial I^{\pm}(z)) = 0, \forall z \in M.$$

The one associated to a Riemannian metric with finite volume suffices

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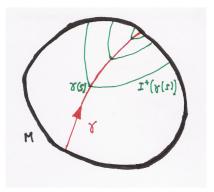
Associated past/future volume functions on M:

$$t^-: M \to \mathbb{R}, \ t^-(p) = m(I^-(p))$$

•
$$t^+: M \to \mathbb{R}, \ t^+(p) = -m(I^+(p))$$

2. Background: (a) volume functions

Let $\gamma : (a, b) \to M$ fut.-pointing causal: $s \to t^{\pm}(\gamma(s))$ is non-decreasing.



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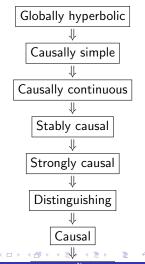
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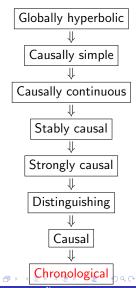
Remark: in general, t^{\pm} are not generalized time functions (for ex.: when there exist closed causal curves). To understand this well...

(b) Relation with the causal ladder of spacetimes

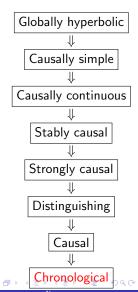
- To obtain spacetimes both, physically realistic and mathematical interesting, it is useful to impose conditions on the global causality of the spacetime.
- Such conditions are always conformally invariant
- This yields a causal ladder or hierarchy of spacetimes.
- The steps directly related to volume functions are:



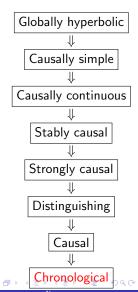
A spacetime is chronological if it does not contain closed timelike curves



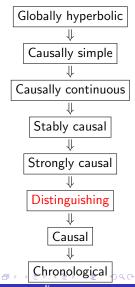
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- Characterization: (M, g) is chronological ⇔ t⁻ (resp. t⁺) is strictly increasing on any future-directed timelike curve.



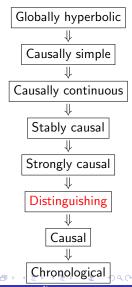
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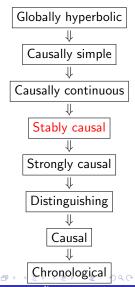
• (M,g) is distinguishing if $p \neq q \Rightarrow l^{\pm}(p) \neq l^{\pm}(q)$



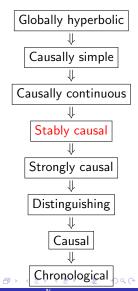
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 i.e. t[±] generalized time functions (non-necessarily continuous).



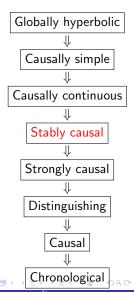
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- Characterization: it admits a time (or a temporal) function (a continuous function which is strictly increasing on any future-directed causal curve).
- The existence of a time/temporal function seems a big gap with previous conditions...



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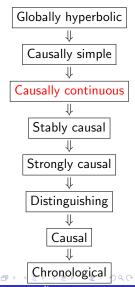
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 - (2) \Rightarrow (3) One of the "folk questions" (Bernal, MS'05).

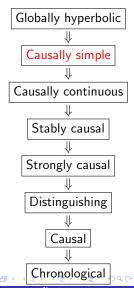
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- (3) To admit a temporal function T (smooth with timelike gradient everywhere)
 - (1) ⇒ (2) (Hawking) Even though volume functions are only generalized time functions, a true (continuous) time function can be obtained by integrating the t⁻ of close metrics g_λ, λ ∈ [0, 1] with wider lightcones: t(p) = ∫₀¹ t_λ⁻(p)dλ.
 - (2) ⇒ (3) One of the "folk questions" (Bernal, MS'05).
 (3) ⇒ (1) Not difficult

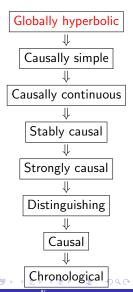
(M,g) is causally continuous when (M,g) is distinguishing and I[±](p) vary continuously with p
 Equivalently, if the volume functions t[±]
 are time functions



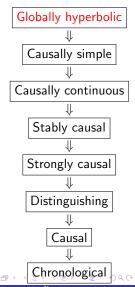
- (M,g) is causally continuous when (M,g) is distinguishing and I[±](p) vary continuously with p
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- (M,g) is causally simple if it is causal and J[±](p) is the closure of I[±](p)



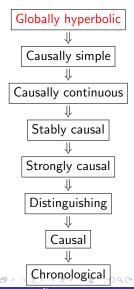
• (M,g) is globally hyperbolic if it is causal and it does not contain naked singularities: $J(p,q) := J^+(p) \cap J^-(p)$ compact for all p,q.



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- ... but implies spectacular properties for the spacetime!



Theorem

(Characterization of global hyperbolicity). For a spacetime (M, g), the following conditions are equivalent (Geroch'70):

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(Characterization of global hyperbolicity). For a spacetime (M, g), the following conditions are equivalent (Geroch'70): (i) (M, g) is globally hyperbolic. (ii) (M, g) admits a Cauchy hypersurface, that is, a subset S which is crossed exactly once by any inextendible timelike curve (iii) (M, g) admits a Cauchy time function, i.e., an onto time function $t : M \to \mathbb{R}$ such that all its levels $S_{t_0} = t^{-1}(t_0), t_0 \in \mathbb{R}$, are (acausal) Cauchy hypersurfaces.

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(c) Geroch's topological construction (JMP'70)

(Existence of a Cauchy time function in any glob hyp spacetime in terms of volume functions)

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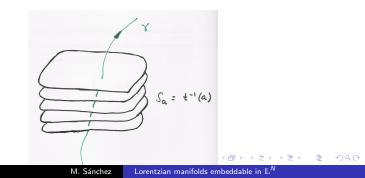
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- Necessarily, S is then an embedded topological hypersurface
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- We will focus on one of the implications by Geroch:

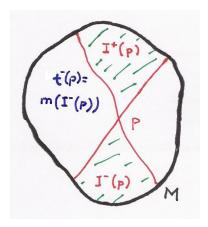
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Theorem

If *M* is glob. hyp., there exists a ("Cauchy time function") $t: M \to \mathbb{R}$ continuous and onto such that: (1) t is strictly increasing on any future-directed causal curve (and then a time function). (2) $S_a := t^{-1}(a)$ Cauchy hyp. $\forall a \in \mathbb{R}$. (As a consequence, *M* is homeomorphic to $\mathbb{R} \times S$).

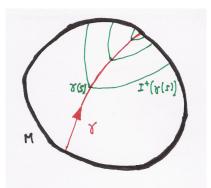


Idea of the proof. Consider the volume functions t^{\pm}



If $\gamma: (a, b) \rightarrow M$ is causal, fut.-directed and inextensible:

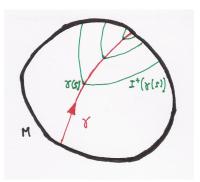
- **1** $s \to t^+(\gamma(s))$ (resp. $t^-(\gamma(s))$) is strictly increasing $[t^-, t^+$ were time functions]
- 2 $\lim_{s\to b} t^+(\gamma(s)) = 0 = \lim_{s\to a} t^-(\gamma(s))$
- 3 $\lim_{s\to a}(-t^+(\gamma(s))), \lim_{s\to b}t^-(\gamma(s)) > 0$



Required "Cauchy time" function:

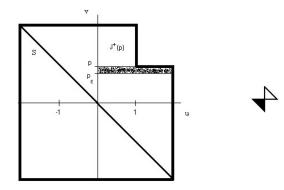
$$t(z) = \log\left(-t^{-}(z)/t^{+}(z)\right)$$

$$\lim_{s \to b} t(\gamma(s)) = \infty \\ \lim_{s \to a} t(\gamma(s)) = -\infty \end{cases} \} \Longrightarrow t = \text{const. is Cauchy}$$



(d) Folk problems related to smoothability

Remark. From the constructive proof, t, t^{\pm} is not always smooth:



 $M \subset \mathbb{L}^2$, (null coord. u, v) Diagonal S Cauchy hyp, $t^+_{\scriptscriptstyle <\, >\, >\, >}$

M. Sánchez Lorentzian manifolds embeddable in L^N

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■ Even more (Brunetti/Ruzzi): can any smooth spacelike compact submanifold with boundary be extended to a spacelike Cauchy hyp.?

(2) Find a Cauchy temporal function (i.e. additionally t smooth with past-pointing timelike gradient and Cauchy hyp, as levels)

 \leadsto such a function would yield the structural orthogonal splitting

 $(M,g) \equiv (\mathbb{R} \times S, g = -\beta dt^2 + g_t),$

Even more (Bär/Ginoux/Pfäffle): given a spacelike Cauchy hyp. S, find a Cauchy temporal function with one of the levels equal to S

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(3) Prove that functions such as

$$f(x) = \int_{H^+(\tau(x),\sigma(x))} \mu$$

where μ is some (admissible) measure. Here, τ is a Cauchy temporal function which splits the spacetime, σ a sort of spacelike radial coordinate and

$$H^+(t,s) = J^+(\tau^{-1}(0)) \cap J^-(\tau^{-1}(t) \cap \sigma^{-1}([0,s])).$$

■ A proof of the smoothness of such functions would complete Clarke's proof on embeddability and would have interest in its own right.

Difficulties to solve them with the strictly involved tools:

- Try to approximate Geroch's time function by smooth ones (Seifert '77): BUT even a smooth one may have degenerate Cauchy hypersurfaces.
- 2 Try to use a different admissible measure for the job (Dieckmann '88):
 BUT for the related problem of smoothability of time functions, no admissible measure can make t[±] be a time function (this happened iff the spacetime was causally continuous).

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- The procedure developed in AN Bernal, MS. '03, '05 '06 yields a Cauchy temporal function (and a temporal function in the stably causal case, as well as solve the other refined problems)
- Next, we will construct a steep Cauchy temporal function by a modification of this procedure (Müller & MS, '11) This re-proves and simplifies widely (even though only in the globally hyperbolic case) the proof of the existence of a Cauchy temporal function.

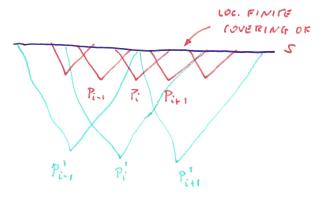
(a) Technical tools

- (1) We assume the existence of a Cauchy time function t as in Geroch's, each $S_a = t^{-1}(a)$ Cauchy.
- (2) Function

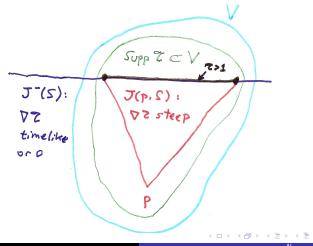
$$j_p: M \to \mathbb{R}, \quad j_p(q) = \exp(-1/d(p,q)^2).$$

Restricted to a convex neighborhood of p, this is a smoothed version of the Lorentzian distance to p (smooth even at 0).

(3) For any Cauchy S, a fat cone covering: sequence of pairs of points p'_i ≪ p_i, i ∈ N such that both,
 C' = {I⁺(p'_i) : i ∈ N} and C = {I⁺(p_i) : i ∈ N} yield a locally finite covering of S.



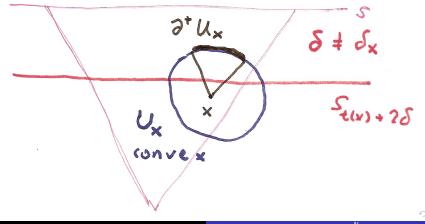
(4) For any Cauchy S = S_a, p ∈ J⁻(S) and V ⊃ J(p, S), a smooth function τ steep temporal on J(p, S) with support in V ("τ steep on the forward cone J(p, S)").



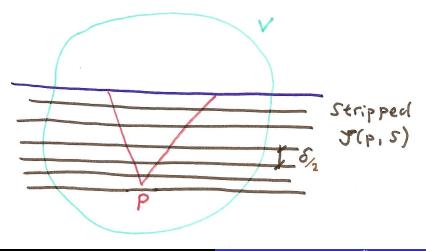
Proposition

Let S be a Cauchy hypersurface, $p \in J^{-}(S)$. For all neighborhood V of J(p, S) there exists a smooth function $\tau \ge 0$ such that: (i) $\operatorname{supp} \tau \subset V$ (ii) $\tau > 1$ on $S \cap J^{+}(p)$. (iii) $\nabla \tau$ is timelike and past-directed in $\operatorname{Int}(\operatorname{Supp}(\tau) \cap J^{-}(S))$. (iv) $g(\nabla \tau, \nabla \tau) < -1$ on J(p, S).

Sketch of proof. Choose K compact, $J(p, S) \subset Int(K)$, $K \subset V$ and $\delta > 0$ s.t.: $\forall x \in K$, $\exists U_x \subset V$ convex with $\partial^+ U_x \subset J^+(S_{t(x)+2\delta})$ (where $\partial^+ U_x := \partial U_x \cap J^+(x)$).

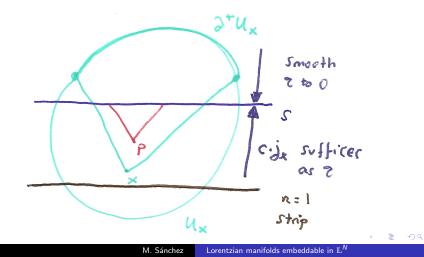


Slice J(p, S) in *n* strips, $a_0 < t(p) < a_1 < \cdots < a_n = a$ with $a_{i+1} - a_i < \delta/2$



If n = 1 strip suffices (otherwise, careful induction!):

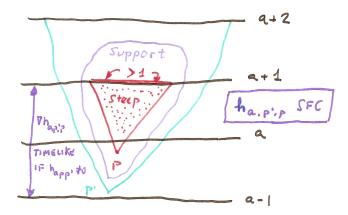
- $\tau = cj_x$ on $J^-(S)$ for c large and some close $x \ll p$
- τ is smoothed to 0 on $V \cap J^+(S)$.



(b) Steps of the proof

Step 1: for any a ∈ ℝ and p' ≪ p, p, p' ∈ J(S_{a-1}, S_a), construct a steep forward cone function (SFC) h⁺_{a,p',p} : M → [0,∞) which satisfies:

4
$$g(\nabla h^+_{a,p',p}, \nabla h^+_{a,p',p}) < -1 \text{ on } J(p, S_{a+1}).$$



(this is straightforward from the above constructed steep functions on J(p, S)).

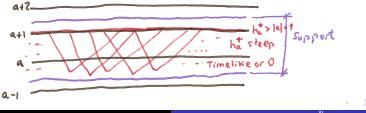
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Step 2: by using a fat cone covering {p'_i ≪ p_i | i ∈ N} for S = S_a, adjust a locally finite sum of SFC functions to obtain some h⁺_a > 0 which satisfies:

1
$$supp(h_{a}^{+}) \subset J(S_{a-1}, S_{a+2}),$$

- 2 $h_a^+ > |a| + 1 S_{a+1}$, [this will ensure that the finally obtained temporal function is Cauchy]
- 3 If $x \in J^{-}(S_{a+1})$ and $h^{+}_{a}(x) \neq 0$ then $\nabla h^{+}_{a}(x)$ is timelike and past-directed, and

4
$$g(\nabla h_a^+, \nabla h_a^+) < -1$$
 on $J(S_a, S_{a+1})$.

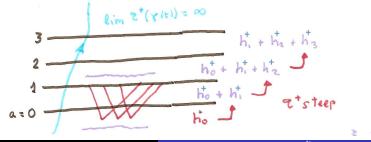


Step 3:

I given $h_a^+ \ge 0$ ensure that h_{a+1}^+ can be chosen such that $g(\nabla(h_a^+ + h_{a+1}^+), \nabla(h_a^+ + h_{a+1}^+)) < -1$ on $J(S_{a+1}, S_{a+2})$

So, $h_a^+ + h_{a+1}^+$ is steep on all $J(S_a, S_{a+2})$).

- 2 Inductively, construct a Cauchy steep function $\mathcal{T}^+ \ge 0$ on $J^+(S_0)$ with $\mathcal{T}^+(S_a) \ge a$ for a = 1, 2, ...
- 3 By reversing the time orientation and working on $J^-(S_0)$, obtain the Cauchy steep function $\mathcal{T} = \mathcal{T}^+ \mathcal{T}^-$ on all M.



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(1)

By construction this function not only is smooth, temporal and steep, but also satisfies the abstract properties in Geroch's proof which ensure that the levels are Cauchy.