## PROPORTIONALLY MODULAR DIOPHANTINE INEQUALITIES WHICH ARE MODULAR

#### AURELIANO M. ROBLES-PÉREZ AND JOSÉ CARLOS ROSALES

ABSTRACT. Let S(a, b, c) be the set of integer solutions of an expression of the form  $ax \mod b \leq cx$ . We want to determine the conditions for which there exists another expression of the form  $a^*x \mod b^* \leq x$  such that  $S(a^*, b^*, 1) = S(a, b, c)$ , and, in the affirmative case, compute algorithmically  $a^*$  and  $b^*$ .

#### INTRODUCTION

Let m, n be integers such that  $n \neq 0$ . We denote by  $m \mod n$  the remainder of the division of m by n. Following the notation of [7], we say that a *proportionally modular Diophantine inequality* is an expression of the form  $ax \mod b \leq cx$ , where a, b, c are positive integers.

Let S(a, b, c) be the set of integer solutions of  $ax \mod b \leq cx$ . It is easy to see that  $S(a, b, c) = S(a \mod b, b, c)$ . Moreover, if  $a \leq c$ , then  $S(a, b, c) = \mathbb{N}$ . Therefore, we can focus our attention to the case 0 < c < a < b.

A modular Diophantine inequality (see [8]) is an expression of the form  $ax \mod b \leq x$ , where a, b are positive integers such that 1 < a < b. Obviously, a modular Diophantine inequality is a proportionally modular Diophantine inequality. A natural question is on the truth of the reciprocal assertion in the following sense: if a, b, c are given, do there exist  $a^*, b^*$  such that  $S(a, b, c) = S(a^*, b^*, 1)$ ? We will answer in a particular case (see [4]).

#### 1. NUMERICAL SEMIGROUPS AND DIOPHANTINE INEQUALITIES

A numerical semigroup is a subset S of  $\mathbb{N}$  (set of nonnegative integers) such that it is closed under addition,  $0 \in S$  and  $\mathbb{N} \setminus S$  is finite. It is well known (see [6]) that every numerical semigroup admits a unique set  $X = \{n_1, n_2, \ldots, n_e\} \subseteq S$  such that  $S = \langle X \rangle =$  $\{\lambda_1 n_1 + \lambda_2 n_2 + \ldots + \lambda_e n_e \mid \lambda_1, \ldots, \lambda_n \in \mathbb{N}\}$ . We say that X is the minimal system of generators of S. If  $n_1 < n_2 < \ldots < n_e$ , then  $n_1, n_2$  are known as the multiplicity and the ratio of S, and e = e(S) is the embedding dimension of S.

In [7] it is proved that S(a, b, c) is a numerical semigroup. Such type of numerical semigroups are called *proportionally modular numerical semigroups* (PM-semigroups). If c = 1, then we have the *modular numerical semigroups* (M-semigroups). Thus, every M-semigroup is a PM-semigroup, but the reciprocal statement is not true (see [7, Example 26]).

It is interesting to remark that proportionally modular numerical semigroups are tightly related to problems in classification theory of  $C^*$ -algebras via  $K_0$ -groups (see [10]). In particular, in [5] it is shown that a semigroup having a Toms' decomposition is a finite

Both authors were supported by MTM2007-62346, MEC (Spain), and FEDER funds.

intersection of PM-semigroups. More in general, numerical semigroups have applications to Algebraic Geometry (see [1]).

As a consequence of [9, Theorem 31] (see its proof and [9, Corollary 18]) we have a characterization for PM-semigroups in terms of the minimal system of generators: a numerical semigroup S is a PM-semigroup if and only if there exists a convex arrangement  $n_1, n_2, \ldots, n_e$  of its set of minimal generators that satisfies the following conditions,

- (1)  $gcd\{n_i, n_{i+1}\} = 1$  for all  $i \in \{1, \dots, e-1\}$ ; (2)  $(n_{i-1} + n_{i+1}) \equiv 0 \mod n_i$  for all  $i \in \{2, \dots, e-1\}$ .

Let us observe that it is easy to determine whether or not a numerical semigroup is a PMsemigroup via the previous characterization. However, this question is more complicated for M-semigroups. In [8] there is an algorithm to give the answer to this problem, but we have not got a good characterization for M-semigroups.

As a first step to give such a characterization, and therefore an answer to the original question of this work, our aim will be to show the explicit descriptions of all the M-semigroups with embedding dimension equal to three. In order to do it, we consider two ideas: the relation between PM-semigroups and numerical semigroups associated with an interval (see [7]) on the one side, and the description of a PM-semigroup with embedding dimension equal to three when we fix the multiplicity and the ratio on the other side.

## 2. Preliminaries

Let  $\alpha, \beta$  be two positive rational numbers with  $\alpha < \beta$  and let T be the submonoid of  $(\mathbb{Q}_0^+, +)$  generated by the interval  $[\alpha, \beta]$ . In [7] it is shown that  $T \cap \mathbb{N}$  is a PM-semigroup and that every PM-semigroup is of this form. We will be denote  $T \cap \mathbb{N} = S([\alpha, \beta])$ .

## Lemma 2.1. [7, Corollary 9]

# (1) Let a, b, c be positive integers such that c < a < b. Then $S(a, b, c) = S\left(\left[\frac{b}{a}, \frac{b}{a-c}\right]\right)$ . (2) Let $a_1, a_2, b_1, b_2$ be positive integers such that $1 < \frac{b_1}{a_1} < \frac{b_2}{a_2}$ . Then $S\left(\left[\frac{b_1}{a_1}, \frac{b_2}{a_2}\right]\right) =$ $S(a_1b_2, b_1b_2, a_1b_2 - a_2b_1).$

If  $a_1, a_2, \ldots, a_p, b_1, b_2, \ldots, b_p$  are positive integers such that  $a_i b_{i+1} - a_{i+1} b_i = 1$  for all  $i \in \{1, 2, \dots, p-1\}$ , then the sequence of rational numbers  $\frac{b_1}{a_1} < \frac{b_2}{a_2} < \dots < \frac{b_p}{a_p}$  is a *Bézout* sequence. The fractions  $\frac{b_1}{a_1}$  and  $\frac{b_p}{a_p}$  are the ends of the sequence. We say that a Bézout sequence is proper if  $a_i b_{i+h} - a_{i+h} b_i \ge 2$  for all  $h \ge 2$  such that  $i, i+h \in \{1, 2, \ldots, p\}$ . On the other hand, we will say that two fractions  $\frac{b_1}{a_1} < \frac{b_2}{a_2}$  are adjacent if

$$\frac{b_2}{a_2+1} < \frac{b_1}{a_1}$$
, and either  $a_1 = 1$  or  $\frac{b_2}{a_2} < \frac{b_1}{a_1-1}$ 

We note that an useful tool for computing Bézout sequences is the Stern-Brocot tree (see [2, 3]).

Lemma 2.2. [9, Theorem 20, Theorem 23]

(1) Let  $\frac{b_1}{a_1} < \frac{b_2}{a_2} < \ldots < \frac{b_p}{a_p}$  be a proper Bézout sequence with adjacent ends. Then  $\{b_1, b_2, \ldots, b_p\}$  is the minimal system of generators of  $S\left(\left\lceil \frac{b_1}{a_1}, \frac{b_p}{a_p}\right\rceil\right)$ .

- (2) Let S be a PM-semigroup with  $e(S) = p \ge 2$ . Then there exist an arrangement of the set of minimal generators of S,  $n_1, \ldots, n_p$ , and positive integers  $a_1, \ldots, a_p$  such that  $\frac{n_1}{a_1} < \frac{n_2}{a_2} < \ldots < \frac{n_p}{a_n}$  is a proper Bézout sequence with adjacent ends.
  - 3. All M-semigroups with embedding dimension equal to three

Firstly, we give two families of M-semigroups with embedding dimension equal to three.

**Proposition 3.1.** (1) Let  $\lambda, d, d'$  be integers greater than one such that  $gcd\{d, d'\} = gcd\{\lambda, d+d'\} = 1$ . Then  $S = \langle \lambda d, d+d', \lambda d' \rangle$  is an M-semigroup with e(S) = 3.

(2) Let  $m_1, m_2$  be positive integers such that  $gcd\{m_1, m_2\} = 1$ . Let q be a divisor of  $gcd\{m_2-1, m_1+m_2\}$  such that  $2 \le q < \min\{m_1, m_2\}$ . Then  $S = \langle m_1, \frac{m_1+m_2}{q}, m_2 \rangle$  is an M-semigroup with e(S) = 3.

Secondly, we show that every M-semigroup with embedding dimension equal to three belongs to one of the families described in the previous proposition.

**Proposition 3.2.** Let  $S = S\left(\left[\frac{b}{a}, \frac{b}{a-1}\right]\right)$  be a numerical semigroup such that e(S) = 3. Let us have  $gcd\{a, b\} = d$  and  $gcd\{a - 1, b\} = d'$ .

- (1) If  $d \neq 1$  and  $d' \neq 1$ , then there exists an integer  $\lambda$  greater than one such that  $gcd\{d, d'\} = gcd\{\lambda, d+d'\} = 1$  and  $S = \langle \lambda d, d+d', \lambda d' \rangle$ .
- (2) If d = 1 and/or d' = 1, then there exist three positive integers  $m_1, m_2, q$  such that  $gcd\{m_1, m_2\} = 1$ , q is a divisor of  $gcd\{m_2 1, m_1 + m_2\}$ ,  $2 \le q < \min\{m_1, m_2\}$ , and  $S = \langle m_1, \frac{m_1 + m_2}{q}, m_2 \rangle$ .

Finally, we summarize both results in the next theorem.

**Theorem 3.3.** S is an M-semigroup with e(S) = 3 if and only if

- (T1)  $S = \langle \lambda d, d+d', \lambda d' \rangle$ , where  $\lambda, d, d'$  are integers greater than one such that  $gcd\{d, d'\} = gcd\{\lambda, d+d'\} = 1$ ,
- (T2)  $or S = \langle m_1, \frac{m_1 + m_2}{q}, m_2 \rangle$ , where  $m_1, m_2, q$  are positive integers such that  $gcd\{m_1, m_2\} = 1$ , q is a divisor of  $gcd\{m_2 1, m_1 + m_2\}$ , and  $2 \le q < \min\{m_1, m_2\}$ .

# 4. Multiplicity and ratio fixed

Let  $\{n_1, n_2, n_3\}$  be the minimal system of generators of a numerical semigroup S. Let us suppose that  $n_1 < n_2 < n_3$ . The aim of this section is to describe all M-semigroups with embedding dimension equal to three when we fix the multiplicity and the ratio of S, this is, when  $n_1$  and  $n_2$  are fixed. We use the following sets,

\*  $A(n_1) = \{2, \dots, n_1 - 1\};$ \*  $A(n_1, n_2) = \left\{ \left\lceil \frac{2n_2}{n_1} \right\rceil, \dots, n_2 - 1 \right\}, \text{ where } \left\lceil \frac{2n_2}{n_1} \right\rceil = \min\{z \in \mathbb{Z} \mid q \le z\};$ \*  $D(n) = \{k \in \mathbb{N} \text{ such that } k \mid n\}.$ 

**Theorem 4.1.** Let  $n_1, n_2, n_3$  be integers such that  $3 \le n_1 < n_2 < n_3$ ,  $gcd\{n_1, n_2\} = 1$ , and  $n_3 \notin \langle n_1, n_2 \rangle$ . Then  $S = \langle n_1, n_2, n_3 \rangle$  is an M-semigroup if and only if  $n_3$  belongs to

- (1)  $B_1 = \{kn_2 n_1 \mid k \in A(n_1) \cap [D(n_1 1) \cup D(n_1) \cup D(n_1 + 1)]\}$
- (2) or  $B_2 = \{tn_1 n_2 \mid t \in A(n_1, n_2) \cap [D(n_2 1) \cup D(n_2) \cup D(n_2 + 1)]\}.$

Moreover,

(1) S is (T1) if and only if  $k \in D(n_1)$  or  $t \in D(n_2)$ .

(2) S is (T2) if and only if  $k \in D(n_1 - 1) \cup D(n_1 + 1)$  or  $t \in D(n_2 - 1) \cup D(n_2 + 1)$ .

#### 5. Conclusion

Let S = S(a, b, c) be the set of solutions of  $ax \mod b \leq cx$ , where a, b, c are positive integers such that c < a < b. By Lemma 2.1,  $S = S\left(\left[\frac{b}{a}, \frac{b}{a-c}\right]\right)$ . If e(S) = 3, applying Lemma 2.2,  $S = \langle n_1, n_2, n_3 \rangle$ . From Theorem 4.1, we can determine if S is an M-semigroup. Finally, since the proofs of the results in Section 3 are constructive, we find  $a^*, b^*$  such that  $S = S(a^*, b^*, 1)$ .

At this point, the proposed problem is partially solved. In order to give the complete answer, we need to remove the condition e(S) = 3 in Sections 3 and 4. In fact, we believe that the key is to show a general characterization for M-semigroups.

Let us have S with minimal system of generators  $n_1, n_2, \ldots, n_e$  which are arranged according the characterization of PM-semigroups. Then  $n_{i+1} = k_i n_i - n_{i-1}$ ,  $2 \le i \le e - 1$ . If we replace  $n_3$  in  $n_4$ ,  $(n_3, n_4)$  in  $n_5$ , and so on, we have  $n_e = \alpha_e n_2 - \beta_e n_1$ . Thus, we finish with the following statement.

**Conjecture.** S is an M-semigroup if and only if  $\alpha_e \in D(n_1 - 1) \cup D(n_1) \cup D(\beta_e n_1 + 1)$ .

#### References

- V. Barucci, D.E. Dobbs, and M. Fontana, "Maximality Properties in Numerical Semigroups and Applications to One-Dimensional Analytically Irreducible Local Domains", Memoirs of the Amer. Math. Soc. 598, 1997.
- [2] M. Bullejos and J.C. Rosales, Proportionally modular Diophantine inequalities and the Stern-Brocot tree, *Mathematics of Computation*, Vol. 78, 1211–1226, 2009.
- [3] R. Graham, D. Knuth and O. Patashnik, "Concrete Mathematics, 2nd edition", Addison-Wesley, 1994.
- [4] A.M. Robles-Pérez and J.C. Rosales, Modular numerical semigroups with embedding dimension equal to three, to appear in *Illinois Journal of Mathematics*.
- [5] J.C. Rosales and P.A. García-Sánchez, Numerical semigroups having a Toms decomposition, Canad. Math. Bull., Vol. 51, 134–139, 2008.
- [6] J.C. Rosales and P.A. García-Sánchez, "Numerical semigroups", Developments in Mathematics, vol. 20, Springer, 2009.
- [7] J.C. Rosales, P.A. García-Sánchez, J.I. García-García, and J.M. Urbano-Blanco, Proportionally modular Diophantine inequalities, J. Number Theory, Vol. 103, 281–294, 2003.
- [8] J.C. Rosales, P.A. García-Sánchez, and J.M. Urbano-Blanco, Modular Diophantine inequalities and numerical semigroups, *Pacific J. Math.*, Vol. 218, 379–398, 2005.
- [9] J.C. Rosales, P.A. García-Sánchez, and J.M. Urbano-Blanco, The set of solutions of a proportionally modular Diophantine inequality, J. Number Theory, Vol. 128, 453–467, 2008.
- [10] A. Toms, Strongly perforated K<sub>0</sub>-groups of simple C\*-algebras, Canad. Math. Bull., Vol. 46, 457–472, 2003.

A.M. Robles-Pérez, Department of Applied Mathematics, University of Granada E-mail address: arobles@ugr.es

J.C. Rosales, Department of Algebra, University of Granada *E-mail address*: jrosales@ugr.es