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# From microhabitat to ecosystem: identifying the biophysical factors controlling soil CO<sub>2</sub> dynamics in a karst shrubland

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### **Summary**

The soil  $CO_2$  efflux  $(F_s)$  remains the least constrained component of the terrestrial carbon cycle; its estimates are still largely uncertain, mainly because of its considerable variation related to the many factors that interact over different temporal and spatial scales. Therefore, our aims were to: (i) identify the biophysical factors that control the soil CO<sub>2</sub> molar fraction ( $\chi_s$ ) and characterize their time-frequency patterns in a karst shrubland, (ii) explore  $\chi_s$  variation with soil cover type (microhabitat) and (iii) estimate  $F_s$  at the ecosystem scale. Continuous measurements of aboveground variables, including net CO<sub>2</sub> exchanges at the ecosystem level, were compared with pedoclimatic variables collected from four microhabitats (Festuca scariosa (Lag.) Asch. & Graebn., Hormathophylla spinosa (L.) P. Küpfer, Genista pumila (Hervier) Vierh. and bare soil). The microhabitat-scale controlling factors of  $\chi_s$  were identified by a top-down statistical analysis, and time-frequency patterns were analysed by wavelet spectral decomposition. Finally,  $F_s$  was upscaled from the microhabitat to the ecosystem scale by considering the spatial heterogeneity of ground cover. We determined that in addition to soil water content and temperature, which are traditionally used to predict  $\chi_s$  or  $F_s$ , the wind (friction velocity) can also have a significant effect. Furthermore, the sensitivity of  $\chi_s$  to the main factors identified here varied with microhabitat and season. Over a year,  $F_s$  upscaled to the ecosystem level and its uncertainty was  $175 \pm 13$  g C m<sup>-2</sup> compared with  $155 \pm 8$  g C m<sup>-2</sup> estimated from ecosystem respiration. These results provide new insight into mechanisms of soil CO2 production and transport that question and can improve models traditionally used to quantify ecosystem CO<sub>2</sub> emissions.

### **Highlights**

- Explored the variation of soil CO<sub>2</sub> in relation to its biophysical controlling factors.
- Used a top-down statistical analysis and a wavelet time-frequency decomposition.
- Identified controlling factors of soil CO<sub>2</sub> dynamics: soil water content, temperature, wind, microhabitat and season
- Provides new insight into soil CO<sub>2</sub> production and transport, and improves CO<sub>2</sub> emission modelling.

### Résumé

L'efflux de dioxyde de carbone du sol  $(F_s)$  reste la composante la moins bien cernée du cycle du carbone; ses estimations sont toujours très incertaines, principalement en raison de sa considérable variation liée aux nombreux facteurs qui interagissent sur différentes échelles temporelles et spatiales. De ce fait, nos objectifs ont été: (i) identifier les facteurs biophysiques contrôlant la fraction molaire de  $CO_2$  du sol  $(\chi_s)$  et caractériser leurs patrons temps-fréquence dans un matorral de karst, (ii) explorer la variation de  $\chi_s$  selon le type de couverture du sol (microhabitat) et (iii) estimer  $F_s$  à l'échelle de l'écosystème. Des mesures continues de

Correspondence: C. J. R. Lopez. E-mail: lopezcle@gmail.com Received 18 October 2017; revised version accepted 4 March 2018 variables aériennes, incluant les échanges de CO2 à l'échelle de l'écosystème, ont été comparées avec des variables pédoclimatiques collectées au sein de quatre microhabitats (Festuca scariosa (Lag.) Asch. & Graebn., Hormathophylla spinosa (L.) P. Küpfer, Genista pumila (Hervier) Vierh. et sol nu). Les facteurs contrôlant χ<sub>e</sub> à l'échelle du microhabitat ont été identifiés via une analyse statistique descendante et les patrons temps-fréquence ont été analysés grâce à une décomposition spectrale de wavelet. Finalement,  $F_s$  a été extrapolé de l'échelle du microhabitat à l'écosystème en considérant l'hétérogénéité spatiale de la couverture du sol. Nous avons déterminé qu'en plus du contenu en eau du sol et de la température qui sont traditionnellement utilisés pour prédire  $\chi_s$  ou  $F_s$ , le vent (vitesse de friction) peut aussi avoir un effet significatif. De plus, la sensibilité de  $\chi_s$  aux principaux facteurs identifiés ici a varié avec le microhabitat et la saison. Sur un an,  $F_s$  extrapolé à l'échelle de l'écosystème avec son incertitude était de  $175 \pm 13$  g C m<sup>-2</sup> comparé à  $155 \pm 8$  g C m<sup>-2</sup> estimés par la respiration de l'écosystème. Ces résultats apportent une nouvelle perspective sur les mécanismes de production et de transport du CO2 dans le sol, remettant en question et pouvant améliorer les modèles traditionnellement employés pour quantifier les émissions de CO<sub>2</sub> des écosystèmes.

### Introduction

Soil CO<sub>2</sub> efflux  $(F_s)$  remains the least constrained component of the terrestrial carbon cycle (Bond-Lamberty & Thomson, 2010) and its estimates are still largely uncertain (Bahn et al., 2010). Even if a future global trend of positive feedback between  $F_s$  and climate change is likely (Hashimoto et al., 2015), no consensus has been achieved yet. These uncertainties are partly attributable to the considerable variation in  $F_s$  related to the many controlling factors that interact over different temporal and spatial scales (Vargas et al., 2011).

Production and transport of  $CO_2$  control  $F_s$ . Soil  $CO_2$  can be produced by: (i) biological respiration of roots and microorganisms (Raich & Schlesinger, 1992), (ii) photodegradation (Rutledge et al., 2010), (iii) carbonate precipitation (Hamerlynck et al., 2013) and (iv) geologic degassing (Etiope, 1999). Its transport is governed by: (i) molecular diffusion, determined by Fick's first law (Kowalski & Argüeso, 2011), (ii) advection, driven by wind (i.e. ventilation) (Sánchez-Cañete et al., 2011) or atmospheric pressure changes (Sánchez-Cañete et al., 2013), (iii) convection caused by differences in soil air buoyancy (Weisbrod et al., 2009) and (iv) dissolution, according to Henry's law, and subsequent water flow (Ma et al., 2014). Nevertheless, the main production and transport processes are due to biological respiration and diffusion, respectively (Šimůnek & Suarez, 1993).

Because respiration and diffusion are mainly controlled by soil temperature  $(T_s)$  and soil water content  $(\theta)$ , these variables have been commonly used to model  $F_s$ , either mechanistically (e.g. Pumpanen et al., 2003) or statistically (e.g. Niinistö et al., 2011); however, most studies continue to apply an exponential function of  $T_s$  to predict  $F_s$  (Lloyd & Taylor, 1994). In addition, other variables such as wind could have a non-negligible effect on soil-atmosphere CO<sub>2</sub> exchanges and carbon balance (Kowalski et al., 2008) and should be incorporated into predictive models. In particular, the application of simple statistical models has been recommended to validate mechanistic models (Reichstein et al., 2003).

In this research our objectives were to: (i) identify the biophysical controlling factors of the soil CO<sub>2</sub> molar fraction ( $\chi_s$ ) and characterize their time-frequency patterns in a karst shrubland, (ii) test the variation in  $\chi_s$  with soil cover type (microhabitat) and (iii) estimate  $F_{\rm s}$  at the ecosystem scale. We assumed that: (i) limiting factors of  $\chi_{\rm s}$  $(T_s \text{ or } \theta)$  could change between seasons and that ventilation should be included in the modelling of  $\chi_s$  based on observations at this site (e.g. Sánchez-Cañete et al., 2016), (ii) variation in microclimate  $(T_s, \theta, \text{ wind})$  with microhabitat could affect  $\chi_s$  dynamics locally and (iii) accurate estimates of  $F_s$  at the ecosystem scale could be obtained by upscaling microhabitat measurements.

### Materials and methods

Study site

This study was conducted in El Llano de los Juanes, a shrubland karstic plateau at 1600 m a.s.l. in the Sierra de Gádor (Almería, southeast Spain; 36°55'41.7"N; 2°45'1.7"W). The site is characterized by a sub-humid montane Mediterranean climate with a mean annual temperature of 12°C and mean annual precipitation of ca. 475 mm. The vegetation is diverse but sparse, with a predominance (% ground cover) of perennial shrubs (20–60 cm high): Festuca scariosa (Lag.) Asch. & Graebn. (18.8%), which becomes partly senescent in summer, Hormathophylla spinosa (L.) P. Küpfer (6.8%) and Genista pumila (Hervier) Vierh. (5.5%). The soil is an association of Lithic Haploxeroll and Lithic Argixeroll (Soil Survey Staff, 1999). In the topsoil (0-15 cm), the texture is silty clay, pH is slightly alkaline (7.5  $\pm$  0.1), apparent density is 1.05  $\pm$  0.05 g cm<sup>-3</sup>, organic carbon content is  $48 \pm 10 \,\mathrm{g \, kg^{-1}}$ , the C/N ratio is  $15.1 \pm 2$ and calcium carbonate equivalent content is  $2.1 \pm 1.8\%$ .

### Environmental measurements

Continuous soil and above-surface variables were measured from January 2014 to February 2015. Soil variables were measured at 5-cm depth using a stratified random sampling; that is, by randomly

selecting sampling locations within each dominant microhabitat (Festuca sp., Hormathophylla sp., Genista sp. and bare soil): the soil CO<sub>2</sub> molar fraction ( $\chi_s$ ) was measured by a GMM-222 CO<sub>2</sub> Transmitter Module (Vaisala, Vantaa, Finland), soil water content  $(\theta)$  by a CS616 water content reflectometer (Campbell Scientific, Logan, UT, USA; hereafter CSI) and soil temperature  $(T_a)$  by a thermistor (107, CSI). Measurements of  $T_s$  and  $\chi_s$  were replicated spatially in the Festuca sp. and Hormathophylla sp. sites (three locations per microhabitat), but not for bare soil and Genista sp. This was because Genista sp. had a minor distribution in this ecosystem and bare soil was assumed to be the microhabitat where  $\chi_s$  would be the least heterogeneous because of the absence of root respiration. At 2 cm above ground, air temperature ( $T_a$ , same sensor as  $T_s$ ) and air CO<sub>2</sub> molar fraction ( $\chi_a$ , same sensor as  $\chi_s$ ) were monitored in a Festuca sp. plant and over bare soil. Variables were measured every 30 s and stored as 30-minute averages by a data-logger (CR1000, CSI). Ecosystem-scale data were monitored by an eddy covariance tower; equipment and data quality control steps are described by Serrano-Ortiz et al. (2009). The variables used were: the net ecosystem exchange (NEE), relative humidity (RH), latent heat flux  $(F_{le})$ , sensible heat flux  $(F_{h})$ , atmospheric pressure  $(P_a)$ , incident photosynthetic photon flux density (PPFD), precipitation (RAIN), wind speed (WS), friction velocity  $(u_*)$ , wind direction (WD), tower-top  $T_a$  and vapour pressure deficit (VPD). Measurements were made at 10 Hz for the eddy covariance system and at 1 Hz for the rest of the variables and stored as 30-minute averages by a data-logger (CR3000, CSI). Enhanced vegetation index (EVI) values obtained at 16-day intervals at a 250-m resolution by the Terra MODIS satellite were also added to the dataset. To this end, the MOD13Q1 Image of our experimental site was downloaded from the FLUXNET server (https://fluxnet.ornl.gov/).

### Preliminary data processing

The  $\chi_s$  and  $\chi_a$  were corrected for pressure and temperature and soil CO<sub>2</sub> effluxes calculated as:

$$F_{\rm s} = -\rho_{\rm a} k_{\rm s} \frac{\mathrm{d} \chi_{\rm c}}{\mathrm{d} z},\tag{1}$$

where  $F_s$  is the soil CO<sub>2</sub> efflux (µmol m<sup>-2</sup> s<sup>-1</sup>),  $k_s$  is the empirical soil CO<sub>2</sub> transfer coefficient (m<sup>2</sup> s<sup>-1</sup>) calibrated for this site with soil chamber measurements and equal to the soil CO<sub>2</sub> diffusion coefficient in the absence of production or consumption processes and non-diffusive transport in the monitored layer (Sánchez-Cañete *et al.*, 2016),  $\rho_a$  is the air molar density (µmol m<sup>-3</sup>), d $\chi_c$  is the increment in CO<sub>2</sub> molar fraction (µmol mol<sup>-1</sup>) between the atmosphere (average of bare soil and *Festuca* sp. at ca. 0 cm, 2 cm above ground in our case) and soil, and dz is the vertical gradient between the atmosphere and soil (m, 0.05 m in our case).

The online eddy covariance gap-filling and flux-partitioning tool of the Max Planck Institute for Biogeochemistry (Jena, Germany) was used to fill in missing data for NEE,  $F_{\rm h}$  and  $F_{\rm le}$  and to estimate the ecosystem respiration ( $R_{\rm eco}$ ) as a function of the tower-top  $T_{\rm a}$  with the associated error (http://www.bgc-jena.mpg

.de/~MDIwork/eddyproc/). The variance of measured NEE was calculated by introducing artificial gaps and repeating the gap-filling procedure, then errors in NEE balances were calculated as twice the standard deviation of the sum of variances. Gaps in the data of the remaining variables were filled by a non-parametric method based on a random forest (Stekhoven & Bühlmann, 2012).

The dataset was split into three seasons (factor SEASON): growing season of plants (negative NEE values in spring), dry season ( $\theta$  below 0.15 and increasing NEE) and inter-season (rest of the year). Additional factor variables were also created to represent the soil cover type (MICROHABITAT) and sampling location (LOCATION).

### Statistical modelling and ecosystem upscaling

Statistical modelling was used to identify the biophysical controlling factors of  $\chi_s$ . All analyses were performed with R software v. 3.4.3 (R Core Team, 2017) and the significance level was set to 5%. Because the large number of variables was likely to introduce multicollinearity into the models (which is known to produce unstable model parametrization and hence, uninterpretable coefficients), a principal component analysis (PCA) was used to reduce the number of variables prior to modelling (detailed protocol and results in Supporting Information). Variables selected were those contributing strongly to the three first components (Figure S1, Supporting Information), which explained more than two-thirds of the variance in the data (Table S1, Supporting Information). Figure S2(a) shows the selected variables plotted in the correlation circle of the first two PCs and Figure S2(b) shows the PC scores (organized by seasons) plotted in the plane of PCs 1 and 2. Selected potential predictors of  $\chi_s$  were averaged daily and standardized (centred and scaled to zero mean and unit variance); the inner transformation saved computational time, whereas the latter ensured reduced multicollinearity arising from interaction and quadratic terms, and that comparable regression coefficients could be obtained (because variables had different units). During comparison of models, the best model was always retained, as that which minimized the Akaike information criterion (AIC) or improved residual structure. We made sure that model assumptions were met during the selection process: (i) normality, tests for normality become sensitive to even small departures from the initial assumption when the number of observations is large, therefore inspection of the distribution of residuals was preferred; (ii) homoscedasticity, by extension, homoscedasticity tests generally require normality, therefore we preferred to visualize the spread of residuals against fitted values to assess this and (iii) independence in time. Because data were autocorrelated, the temporal dependence was modelled with a first-order autoregressive (AR1) correlation structure.

The top-down protocol of Zuur *et al.* (2009) was used to model  $\chi_s$ . First, we used restricted maximum likelihood (REML) to fit a generalized least squares (GLS) linear model with the GLS function of Pinheiro & Bates (2000), which enabled us to model heteroscedastic and correlated errors. This initial model contained the time (day), the variables retained by the PCA ( $T_s$ ,  $\theta$ ,  $u_*$  and

VPD) with their associated quadratic terms, and appropriate interactions to test our hypotheses (i.e. two-way interactions between MICROHABITAT,  $T_s$ ,  $\theta$  and  $u_*$  and two- to three-way interactions between SEASON,  $T_s$  and  $\theta$ ). Regarding heteroscedasticity, prior data exploration revealed that the variance of  $\chi_s$  increased globally with smaller VPD. Therefore, we used this variable as a variance covariate; that is, by including it in the variance structure of the model to allow a spread of residuals proportional to VPD<sup>-1</sup> as suggested by the procedure of Zuur et al. (2009). To ensure normality, a Box-Cox transformation was applied on  $\gamma_s$ . After that, the model was refitted with a linear mixed effects (LME) model including a random intercept that varied with LOCATION. This was done with the LME function of Pinheiro & Bates (2000), which has the same features as the GLS function, but in addition enables random effects to be modelled. That made it possible to compare the GLS and LME models as nested and thus to test the significance of the added random effect with a likelihood ratio (LR) test. More complex random structures were also explored in the same way (random intercept correlated or not with time as a random slope). Afterwards, a backward variable selection was carried out. This was achieved by refitting the model with maximum likelihood (ML), dropping each term one by one and comparing the nested models. Because MICRO-HABITAT and SEASON had more than two levels, an LR test was preferred over the t-statistic as the selection criterion. During the selection process, insignificant fixed terms involved in significant higher-order terms were retained in the model. The selection was finalized once all terms were significant. The final model  $R^2$  was calculated according to Johnson (2014), and multicollinearity was assessed with the condition number (Belsley, 2006).

For the whole study period and each season,  $\theta$ ,  $T_s$ ,  $\chi_s$  and  $F_s$  were tested for differences in microhabitat (after applying a Box-Cox transformation on  $\theta$ ,  $T_s$  and  $\chi_s$ , and a Yeo–Johnson transformation on  $F_s$ ) by using the same modelling strategy.

Finally,  $F_s$  was upscaled to the ecosystem scale by considering the fraction that each microhabitat covered for the footprint of the eddy covariance tower (Barron-Gafford et al., 2011). The error in ecosystem- $F_s$  was considered to be 7.5%, corresponding to sampling for  $F_s$  with a manual chamber every 2 months for calibration of the empirical soil CO2 transfer coefficient (Sánchez-Cañete et al., 2017).

### Wavelet analysis

To understand patterns of variation in  $\chi_s$  in time and frequency, we performed a wavelet analysis on data averaged hourly. This technique has already been used and described for  $F_s$  research; in particular, the wavelet coherence analysis is preferable to other traditional spectral decompositions such as the Fourier approach when the time series show clear signs of non-stationarity and heteroscedasticity (Vargas et al., 2010). We used a continuous wavelet transform with the Morlet mother wavelet to split up every  $\chi_s$  sequence and their main controlling factors in a time-period space to obtain their wavelet power spectra (WPS). On the one hand, every WPS was averaged over time for each period to obtain the global wavelet power spectra (GWPS) (for plant species with replicates, the GWPS was calculated from an inter-individual mean of WPS). On the other hand, we determined the wavelet coherence between  $\chi_s$  signals and each variable, corresponding to their local covariance in the time-period space standardized by the product of their WPS at each measurement location. When necessary, the partial wavelet coherence was preferred to inspect the local correlation after removing the confounding effect of another variable (Ng & Chan, 2012). The phase relation was also calculated for each pair of time series. All significant regions ( $\alpha = 0.05$ ) in the time-period space were estimated by comparison with a null model based on 1000 Monte Carlo randomizations.

### Results

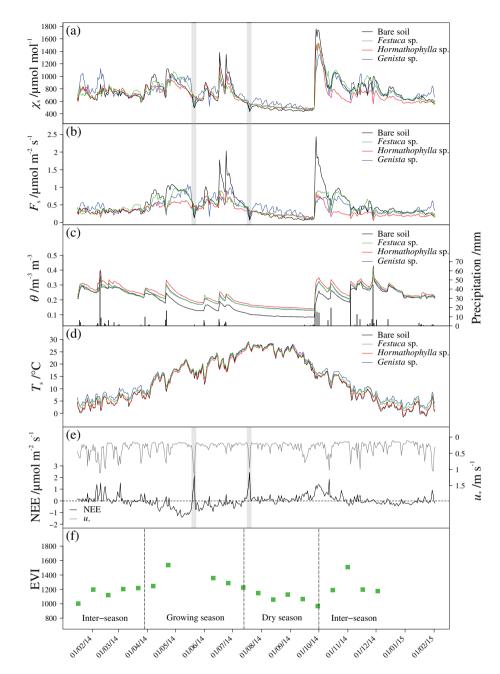
### Global trends

Soil  $CO_2$  molar fractions  $(\chi_s)$  were variable in time and space, as shown by their coefficients of variation (Table 1). In space, intra-microhabitat variation was always larger than inter-microhabitat variation (9-15 and 2-5%, respectively). Temporal variation (15-52%) was always equal to or greater than spatial variation, with a maximum during the dry season. Temporal

Table 1 Spatio-temporal variation in soil CO2 molar fraction

Microhabitat	Inter-season			Growing season			Dry season		
	Mean / μmol mol <sup>-1</sup>	SV / %	TV / %	Mean / μmol mol <sup>-1</sup>	SV / %	TV / %	Mean / μmol mol <sup>-1</sup>	SV / %	TV / %
Bare soil	769	_	20	820	_	21	551	_	52
Festuca sp.	779	9	23	805	13	17	557	9	36
Hormatophylla sp.	704	15	22	775	15	17	569	12	41
Genista sp.	788	_	17	813	_	15	583	_	28
Inter-microhabitat	760	5	21	803	2	18	565	2	40

SV, spatial coefficient of variation; TV, temporal coefficient of variation. The TV for composite samples (Festuca sp., Hormatophylla sp. and 'inter-microhabitat') was calculated from the pooled standard deviation for equal sample sizes,  $\sigma_{pooled} = \sqrt{\frac{\sigma_1 + \sigma_2 + \ldots + \sigma_k}{k}}$  where  $\sigma_k$  are the standard deviations of the k samples.



**Figure 1** Daily averaged time series of: (a) soil  $CO_2$  molar fractions ( $\chi_s$ ), (b) soil  $CO_2$  effluxes ( $F_s$ ), (c) soil water content ( $\theta$ ) and precipitation (vertical bars), (d) soil temperature ( $T_s$ ), (e) net ecosystem exchange (NEE) and friction velocity ( $u_*$ ) and (f) time series of the enhanced vegetation index (EVI) obtained at 16-day intervals. Shaded areas delimit clear ventilation events (i.e. ecosystem  $CO_2$  emissions >2  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> during soil drying concomitant with decreases in  $\chi_s$  and  $F_s$ ).

variation in  $\chi_s$  and soil CO<sub>2</sub> effluxes ( $F_s$ ) mainly followed variation in soil water content ( $\theta$ ) resulting from precipitation (Figure 1a–c). Soil temperature ( $T_s$ ) followed a clear annual cycle (Figure 1d). Differences in  $\chi_s$ ,  $F_s$ ,  $\theta$  and  $T_s$  between microhabitats were not significant, regardless of the time interval tested. Sharp increases in net ecosystem exchange often coincided with strong friction velocity ( $u_s$ ) episodes from wind; in particular, two ventilation events were clearly identifiable in May and July (i.e. CO<sub>2</sub> loss from soil driven by wind) (Figure 1e). Over 1 year, the ecosystem carbon balance was almost neutral ( $0 \pm 5 \, \mathrm{g} \, \mathrm{C} \, \mathrm{m}^{-2}$ ); however, the ecosystem was a net carbon sink during the growing season (uptake of  $43 \pm 4 \, \mathrm{g} \, \mathrm{C} \, \mathrm{m}^{-2}$ ) and a source during the dry and inter-seasons

(emissions of  $24\pm1$  and  $20\pm3$  g C m<sup>-2</sup>, respectively). Maximum ecosystem carbon uptake during the growing season coincided with a maximum enhanced vegetation index (EVI), whereas maximum ecosystem carbon emissions at the end of the dry season coincided with minimum EVI (Figure 1f), soil rewetting and sharp increases in  $\chi_s$  and  $F_s$ .

# Explanatory model of dynamics of soil CO<sub>2</sub> molar fraction

The Box–Cox-transformed  $\chi_s$  dynamics was described best by a linear mixed model incorporating a random intercept of sampling location correlated with time as a random slope (LR = 731, degrees

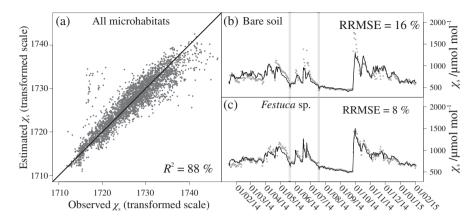


Figure 2 Values of the fitted model of soil  $CO_2$  molar fraction ( $\chi_s$ ). (a) Plotted against observations for all microhabitats (all  $\chi_s$  are Box-Cox transformed). Black line is the 1:1 line. Results are based on n = 3064 daily observations (dark points). (b and c) Over time for bare soil and a plant of Festuca sp., respectively (after back-transforming  $\chi_s$  to the original scale). Shaded areas delimit clearly identified ventilation events. RRMSE, relative root mean square error, defined here as the root mean square error divided by the observation mean. At each microhabitat, results are based on n = 383 daily observations (grey points).

of freedom (d.f.) = 3, P < 0.0001). This kind of model has the general form:

$$\mathbf{y} = \mathbf{X} \,\boldsymbol{\beta} + \mathbf{Z} \,\mathbf{u} + \boldsymbol{\varepsilon},\tag{2}$$

where y is a vector of transformed  $\chi_s$ , X  $\beta$  is the model's fixed part with X being the design matrix of the fixed explanatory variables and  $\beta$  a vector of regression coefficients associated with the fixed effects, Z u is the model's random part with Z being the design matrix of the random explanatory variables and u a vector of regression parameters associated with the random effects, and  $\varepsilon$  is the residual.

Our model explained 88% of the variance in the data, of which 65% was attributable to the fixed component. The model condition number was < 30 (equal to 9), which ruled out issues of multicollinearity. After back-transforming the fitted model values, the root mean square error and relative root mean square error were 98 µmol mol<sup>-1</sup> and 13%, respectively. The fitted model is presented on a transformed scale for all microhabitats (Figure 2a) and on the original scale for the dominant microhabitats (Figure 2b,c). The  $\chi_c$ decreased noticeably during the ventilation events identified and were taken into account by the model.

The fixed part of the model (Table 2) highlighted neither significant variation in mean  $\chi_s$  with seasons (LR = 0.6, d.f. = 2, P = 0.75) nor microhabitats (LR = 1.1, d.f. = 3, P = 0.78). However, the results showed that the dynamics of  $\chi_s$  was affected differently by some variables depending on seasons and microhabitats. During the inter-season,  $T_s$  was the strongest (positive) controlling factor of  $\chi_s$  and its effect  $(\varphi)$  was significantly larger for bare soil than plants ( $\varphi = 10.15$ , compared with  $\varphi = 8.53$ ,  $\varphi = 8.67$ ,  $\varphi = 9.06$ , respectively). The positive coefficient for  $T_s^2$  ( $\varphi = 2.02$ ) revealed a locally convex relation between  $T_{\rm s}$  and  $\chi_{\rm s}$ . The second most important (positive) controlling factor of  $\chi_s$  was  $\theta$ . Bare soil was the microhabitat where  $\chi_s$  were the most sensitive to the variation in  $\theta$  ( $\varphi$  = 6.90). By contrast,  $\theta$  had a minimal effect for *Hormathophylla* sp. ( $\varphi = 3.19$ ). The negative coefficient of  $\theta^2$  ( $\varphi = -1.25$ ) highlighted a locally concave relation between  $\theta$  and  $\chi_s$ . The third (negative) controlling factor of  $\chi_s$  was  $u_*$ . In plants,  $\chi_s$  were always less responsive to variation in  $u_*$  than in bare soil ( $\varphi = -0.17$ ,  $\varphi = -0.57$  and  $\varphi = -0.61$  compared with  $\varphi = -0.86$ ). The smallest (negative) controlling factor of  $\chi_s$  was

VPD ( $\varphi = -0.42$ ). During the inter-season,  $T_s$  and  $\theta$  interacted negatively, but weakly (so  $\varphi = -0.78$ ). During the growing season, the effect of  $T_s$  decreased ( $\varphi = 3.29$ ) and  $\theta$  became the main controlling factor of  $\chi_s$  ( $\varphi = 5.41$ ). In addition, both variables started to interact strongly and positively ( $\varphi = 5.58$ ). During the dry season, the effect of  $T_s$  became negative ( $\varphi = -2.41$ ) and the effect of  $\theta$  intensified  $(\varphi = 6.80).$ 

Refitting the same model after removing terms involving SEA-SON ( $R^2 = 86\%$ , data not shown) showed that on an annual scale  $\theta$  had a predominant effect on  $\chi_s$  ( $\varphi = 6.28$ ) compared with  $T_s$  $(\varphi = 4.22)$ , and that their interaction was substantial  $(\varphi = 1.99)$ .

### Time-frequency patterns of soil CO<sub>2</sub> molar fractions

The global wavelet power spectra of  $\theta$ ,  $T_s$  and  $\chi_s$  revealed their main periodic components among microhabitats (Figure 3a-c, respectively). The soil water content showed strong periodicity on timescales of weeks and months. By contrast,  $T_s$  had a pronounced daily periodicity. Consequently,  $\chi_s$  periodicity was essentially a combination of the principal periodic components of  $\theta$  and  $T_s$ . Overall, major power peaks occurred around the same periods regardless of microhabitat.

For the time-period correlations between  $\chi_s$  and their main controlling factors identified, we show only wavelet or partial wavelet coherence spectra of bare soil and one plant of *Festuca* sp. (Figure 4) to simplify the display of results, but we compare all spectra. The correlation between  $\chi_s$  and their main controlling factors was always significantly larger (reddish areas) at specific periodicities. However, at a given periodicity, those correlations were not continuous over the study (i.e. reddish areas were discontinuous over time). Areas of strong significant correlation between  $\chi_s$ and  $\theta$  (Figure 4a,b) corresponded to major rain events (Figure 1c). During these events, the correlation intensity and periodicity varied according to rain pulses and microhabitats. They were larger (with a periodicity up to months) under specific conditions: (i) when precipitation followed a relatively dry and hot interval, especially during rain at the end of the dry season and to a lesser extent during the growing season and (ii) for bare soil than plants. In particular, a strong correlation between  $\chi_s$  and  $\theta$  was detectable earlier during rain pulses in bare soil in the growing season. Globally,  $\chi_s$  and  $\theta$ 

Table 2 Summary of fixed effects of explanatory model of soil CO<sub>2</sub> molar fraction

Model fixed effect	Compared factor level	β	SE	$\varphi$
Intercept		1731.3	1.65	1731.3
Microhabitat	Bare soil	0.19	3.26	1731.5
	Genista sp.	0.73	3.26	1732.0
	Hormatophylla sp.	-1.37	2.30	1729.9
Season	Growing	-0.09	0.36	1731.2
	Dry	0.34	0.60	1731.6
$T_s^2$		2.02	0.22	2.02
$T_{\rm s}$		8.67	0.45	8.67
$T_{\rm s}$ • Season	Growing	-5.38	0.56	3.29
	Dry	-11.08	1.18	-2.41
$T_s \bullet Microhabitat$	Bare soil	1.48	0.32	10.15
	Genista sp.	0.39	0.30	9.06
	Hormatophylla sp.	-0.14	0.21	8.53
$\theta^2$		-1.25	0.06	-1.25
$\theta$		4.05	0.30	4.05
$\theta$ • Season	Growing	1.36	0.35	5.41
	Dry	2.75	0.37	6.80
$\theta$ • Microhabitat	Bare soil	2.85	0.32	6.90
	Genista sp.	-0.15	0.28	3.90
	Hormatophylla sp.	-0.86	0.19	3.19
$T_{\rm s} \bullet \theta$		-0.78	0.27	-0.78
$T_{\rm s} \bullet \theta \bullet {\rm Season}$	Growing	6.36	0.44	5.58
	Dry	0.92	0.65	0.14
$u_*$		-0.57	0.05	-0.57
$u_* \bullet Microhabitat$	Bare soil	-0.29	0.10	-0.86
	Genista sp.	-0.04	0.10	-0.61
	Hormatophylla sp.	0.40	0.07	-0.17
VPD		-0.42	0.07	-0.42

Bold numbers, P-value < 0.01;  $\theta$ , soil water content;  $T_s$ , soil temperature;  $u_*$ , friction velocity; VPD, vapour pressure deficit;  $\beta$ , vector of regression coefficients  $\beta$ ; SE, standard error of  $\beta$ ;  $\varphi$ , the absolute fixed effect.

Prior to modelling, the response variable was Box–Cox-transformed, and quantitative explanatory variables were standardized (centred and scaled to zero mean and unit variance). The model takes *Festuca* sp. and inter-season as baselines to generate  $\beta$ . Therefore, the intercept is the absolute estimated mean for those factor levels. For strictly quantitative terms,  $\beta$  is also an absolute estimate corresponding to those baselines. By contrast, for terms involving factors,  $\beta$  describes relative variations from the baseline  $\beta$  of the considered factor. In the last column, to facilitate comparison within factors, we computed all the remaining absolute effects (i.e. means and slopes) for every factor level. Results are based on n=3064 observations.

varied in phase, except for a major rainfall event during the second inter-season when signals were negatively correlated. Further data inspection showed that such phase inversions occurred during other rainfall events, but were not revealed by the wavelet analysis, apparently because of their smaller magnitude. However, decreases in  $\chi_s$  were generally greater during rain events exceeding 30 mm.

The correlation between  $\chi_s$  and  $T_s$  (Figure 4c,d) was significantly larger for hourly to daily periodicities. It was also markedly intermittent for larger periodicities (from days to weeks), generally after rain. The autumn rain pulses only, which marked the transition between the dry season and inter-season, were able to induce a

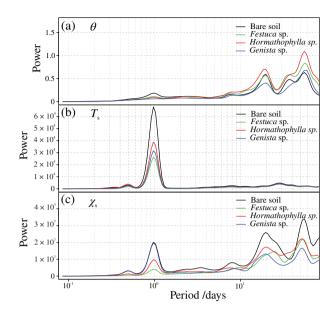


Figure 3 Global wavelet power spectra by microhabitat of (a) soil water content  $(\theta)$ , (b) soil temperature  $(T_s)$  and (c) soil  $CO_2$  molar fraction  $(\chi_s)$ . Results are based on n = 9210 hourly observations.

strong correlation for a periodicity up to months. Moreover, later during the inter-season when  $\chi_s$  started to correlate with  $T_s$  at large periodicities (weeks to months) in all plants, such correlation was absent in bare soil. In general,  $\chi_s$  and  $T_s$  varied in phase, but were occasionally negatively correlated during the dry season. For periodicities greater than 1 day, variation in  $T_s$  usually led to variation in  $T_s$ , suggesting a control of  $T_s$  on  $T_s$ .

Areas of significantly stronger correlation between  $\chi_s$  and  $u_*$  (Figure 4e,f) essentially occurred at periodicities of a few days during large  $u_*$  values (Figure 1e), but this correlation was weaker in *Hormathophylla* sp. (data not shown). Usually,  $\chi_s$  and  $u_*$  were negatively correlated and variation in  $u_*$  often tended to lead to variation in  $\chi_s$  for periodicities ranging from hours to months, suggesting a controlling effect of  $u_*$  on  $\chi_s$ .

Upscaling soil  $CO_2$  efflux from the microhabitat to ecosystem

By upscaling  $F_s$  from the microhabitat to the ecosystem, it was estimated that  $175 \pm 13$  g C m<sup>-2</sup> were emitted at the experimental site in 1 year. By comparison,  $155 \pm 8$  g C m<sup>-2</sup> were estimated to have been emitted through ecosystem respiration ( $R_{\rm eco}$ ). Both fluxes followed the same trend, but diverged strongly during progressive soil drying from the mid-growing season through to the dry season (Figure 5). In particular, during the driest period in August ( $\theta = 0.11$  (0.03) m<sup>-3</sup> m<sup>-3</sup>, given as mean (spatial standard deviation)),  $R_{\rm eco}$  was ca. 0 g C m<sup>-2</sup>, whereas  $F_s$  varied spatially from 0.1 g C m<sup>-2</sup> to 0.4 g C m<sup>-2</sup> (in plants of *Hormatophylla* sp. and *Festuca* sp., respectively).

### Discussion

This study identified soil water content  $(\theta)$ , soil temperature  $(T_s)$  and friction velocity  $(u_*)$  as the main biophysical controlling

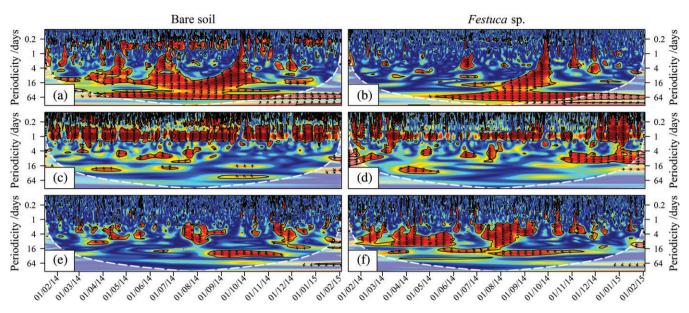
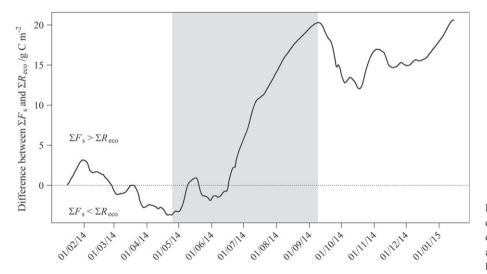


Figure 4 Spectra from wavelet coherence analysis between soil  $CO_2$  molar fraction ( $\chi_s$ ) and its main controlling factors for bare soil and a plant of *Festuca* sp. (a and b) Soil water content ( $\theta$ ). (c and d) Soil temperature ( $T_s$ ). (e and f) Friction velocity ( $u_*$ ). In (c) and (d) simple wavelet coherence was used, whereas in (a), (b), (e) and (f) partial wavelet coherence was used to remove the potential confounding daily effect of  $T_s$  on  $\chi_s$ . The correlation intensity varies from blue (low) to red (high) and areas of significant correlations are delimited by a thin black line. Phase relations are represented for large correlations only (i.e. squared wavelet coherence coefficient > 0.7). Arrows pointing left or right mean antiphase or phase, respectively. Arrows pointing upwards or downwards mean that variation of the considered variable leads or lags  $\chi_s$  variation, respectively. The white dashed line delimits the cone of influence, defined as the area in which the reliability of results does not suffer from edge effects (i.e. errors at the beginning and at the end of the spectra due to the inherent finite length of the time series while the analysis assumes data to be cyclic). Results are based on n = 9210 hourly observations.



**Figure 5** Difference between cumulative ecosystem-scale soil  $CO_2$  efflux  $(\sum F_s)$  and cumulative ecosystem respiration  $(\sum R_{eco})$  over a year. The shaded area highlights the period of largest divergence between these fluxes.

factors of soil  $CO_2$  molar fractions ( $\chi_s$ ) dynamics, and their effects varied with seasons and microhabitats (Table 2). Even if no significant variation in average  $\chi_s$  (or of other soil variables) was detected between microhabitats, this lack of significance certainly came from (i) the intra-species variation that was greater than the inter-species variation (potentially as a result of large inherent individual variation or soil heterogeneity) and (ii) the large temporal variability, reducing the sensitivity of statistical tests (Table 1).

The soil water content effect

On an annual scale, the dynamics of  $\chi_s$  was mainly and positively affected by  $\theta$ , already reported in water-limited ecosystems regarding soil  $CO_2$  efflux  $(F_s)$  (e.g. Almagro  $et\ al.$ , 2009). Water regulates root and microbial activity as well as gas and substrate diffusion (Luo & Zhou, 2006). Therefore, large  $\theta$  favours respiration directly by stimulating biological processes and indirectly by facilitating the transport of soluble metabolic substrates to roots and microorganisms. It also reduces soil air-filled porosity, thus

slowing down  $CO_2$  diffusion and enhancing  $CO_2$  concentrations. The strong correlation between  $\theta$  and  $\chi_s$  during rain (Figure 4) revealed the typical pulse response of  $\chi_s$  to soil wetting in drylands, termed a 'hot moment' (Leon *et al.*, 2014). The concave form of the effect of  $\theta$  on  $\chi_s$  reflects a mitigation locally of the effect of  $\theta$  that could result from limited respiration because of a decrease in availability of metabolic substrate (Sponseller, 2007).

The common periodicity between  $\chi_s$  and  $\theta$  of weeks to months (Figure 3) reflects the strong influence of seasonal rainfall on soil CO<sub>2</sub> production (Vargas et al., 2010). From mid-growing season to the end of the dry season, drought reduces plant activity markedly, as reflected by a decrease in enhanced vegetation index (EVI) (Figure 1f). Consequently, the greater sensitivity of  $\chi_c$  to  $\theta$ during those moments (Table 2, Figure 4) is mostly attributable to heterotrophic respiration  $(R_h)$ , which is certainly magnified by the Birch effect, a burst in CO<sub>2</sub> in response to rewetting of previously dry soil that has been attributed to a rapid mineralization of either dead microbial biomass or osmoregulatory substances released by soil microorganisms in response to osmotic stress (Unger et al., 2010). In addition, drying and rewetting cycles can destabilize soil aggregates and thus increase metabolic substrate availability for microorganisms (Austin et al., 2004). Therefore, future trends of drying and precipitation patterns that were more concentrated in heavy rainfall events predicted for the area (Argüeso et al., 2012) could considerably enhance the pulse response of  $\chi_s$  to  $\theta$ .

The negative correlation between  $\chi_s$  and  $\theta$  during strong inter-season rainfall (Figure 4) was due to a rapid decrease in  $\chi_s$ . This might arise from two processes: (i) rapid water infiltration can physically expel  $\mathrm{CO}_2$ -rich air from soil and (ii) the dissolution of soil  $\mathrm{CO}_2$  in rainwater can be favoured momentarily by disequilibrium between  $\mathrm{CO}_2$ -poor rainwater and relatively large soil  $\mathrm{CO}_2$  concentration. The first process contributes to the release of  $\mathrm{CO}_2$  towards the atmosphere, whereas the second can sequester it if dissolved  $\mathrm{CO}_2$  reaches aquifers. Other substantial decreases in  $\chi_s$  during rain events exceeding 30 mm suggest that a substantial amount of precipitation is necessary for the process to occur.

The greater sensitivity of  $\chi_s$  to  $\theta$  in bare soil revealed a greater effect of rewetting in this microhabitat, also described by Almagro et al. (2009) regarding  $F_s$ . We suggest that this could be due to (i) the exposure of bare soil to solar radiation (faster drying and more intense photodegradation can enhance the Birch effect; this might be why the effect of  $\theta$  on  $\chi_s$  was detectable only in bare soil at the beginning of the growing season) (Figure 4) and (ii) a greater sensitivity of heterotrophic  $(R_h)$ than autotrophic  $(R_a)$  respiration to  $\theta$ . In water-limited ecosystems,  $R_{\rm h}$  is known to respond quickly to even small pulses of rain because of the distribution of microorganisms in the topsoil, whereas the response of  $R_a$  is delayed and requires greater or repeated rainfall for the water to reach deeper roots (Sponseller, 2007). Metabolic differences between roots and microbes, however, could also be involved, rather than purely a water-limitation effect.

The greater sensitivity of  $\chi_s$  to  $\theta$  in *Festuca* sp. than *Hormatho-phylla* sp. is possibly because of their phenological differences. The

senescent feature of *Festuca* sp. during the dry season may increase soil organic matter (SOM), which favours microbial decomposition during soil rewetting. This is supported by the divergence in  $\chi_s$  and  $F_s$  between these species after the first autumn rain pulses (Figure 1a,b). However, physiological differences between the species could also be involved.

### The soil temperature effect

On an annual basis,  $T_s$  had a secondary and positive effect on  $\chi_s$  because of its catalytic role in enzyme reactions and thus in respiration (Luo & Zhou, 2006). However, this effect interacted strongly and positively with  $\theta$ . On the one hand, this means that variation in  $T_s$  had a major effect on  $\chi_s$  when  $\theta$  increased. Such a pattern was confirmed by the strong correlations between  $T_{\rm s}$ and  $\chi_s$  starting with rain; in particular, the correlation between large periodicities (weeks to months) during the growing season and autumn rain pulses highlights the importance of  $T_{\rm s}$  during these seasonal events (Figure 4). These time intervals without water limitation stimulate biological reactions which are then catalysed by  $T_s$ . Our model revealed this strong interaction only during the growing season and not during the dry season because of our choice of season demarcation. This is because the controlling effect of  $T_s$  started with autumn rain pulses, which were chosen to mark the beginning of the inter-season. On the other hand, the interaction between  $T_s$  and  $\theta$  means that variation in  $\theta$  had a stronger effect when  $T_s$  was larger, certainly for the Birch effect, which tends to occur when soil is warm. Overall, the interaction accords with other studies suggesting that  $F_s$  models based exclusively on temperature (mostly Q<sub>10</sub>-like and Arrhenius-like) are not suitable in water-limited ecosystems (e.g. Reichstein et al., 2003; Leon et al., 2014). Additional proof for this statement comes from the negative relations between  $T_s$  and  $\chi_s$  during the dry season, and even when  $T_s$  was the main limiting factor of  $\chi_s$  (inter-season) the effect of  $\theta$ was still considerable.

Consequently, even if the annual positive effect of  $T_{\rm s}$  on  $\chi_{\rm s}$  suggests a positive feedback between climate change and  $F_{\rm s}$  in this ecosystem, future precipitation patterns will probably play a critical role. The predicted drying of the Mediterranean region could mitigate the positive effect of  $T_{\rm s}$  on  $\chi_{\rm s}$ , but the potentially larger pulse response of soil respiration to more concentrated heavy rain events complicates predictions.

On a daily scale, the strong periodicity of  $T_{\rm s}$  and its marked positive correlation with  $\chi_{\rm s}$  (Figures 3 and 4) suggest that  $T_{\rm s}$  would have a more pronounced effect in a model with higher temporal resolution, although potentially confounded with photosynthesis (Vargas *et al.*, 2010).

The sensitivity of  $\chi_s$  to  $T_s$  was greater in bare soil; this is in contrast with Cable *et al.* (2013), who found greater sensitivity of  $F_s$  under plants. We suggest, however, that the distinct pattern reported by the above authors was potentially a special feature of phreatophyte mesquites with great hydraulic lifting capacity (Scott *et al.*, 2008). In our ecosystem, the observed pattern could be due to a greater sensitivity of  $R_h$  to  $T_s$  than  $R_a$ . This might

arise from the following. (i) Greater tolerance of drought by microorganisms; thus they responded more easily to  $T_{\rm s.}$  (ii) The seasonality of plant activity. The strong correlation with high periodicity between  $\chi_s$  and  $T_s$  following the first autumn rain events, which was observed among plants but not in bare soil, suggests that plants were recovering from the adverse effect of the dry season. This is supported by the increase in EVI and neutralization of net ecosystem exchange at this time, indicating a recovery of photosynthesis and carbon fixation (Figure 1e,f). (iii) An indirect effect of photodegradation, which might supply more labile substrates to microorganisms in bare soil. Consequently, when moisture conditions become favourable, the response of  $R_{\rm b}$  to  $T_s$  might be enhanced by the availability of resources. (iv) Poorer SOM quality in bare soil because plants provide litter and root exudates to the soil. However, poor SOM quality can speed up microbial decomposition (Bosatta & Ågren, 1999). The similar sensitivity of  $\chi_s$  to  $T_s$  among microhabitats with different plant cover also suggests that the response of  $R_a$  to  $T_s$  might not vary with species composition in this ecosystem, possibly as a result of drought adaptation.

### The wind effect

The model developed corroborated the transport of soil CO<sub>2</sub> by ventilation in this ecosystem (Sánchez-Cañete et al., 2011). The dominant correlation between  $\chi_s$  and  $u_*$  for periodicities of a few days indicates that synoptic cycles of atmospheric pressure might be the underlying governing factor (Figure 4e,f).

The greater sensitivity of  $\chi_s$  to  $u_*$  in bare soil than in plants possibly results from more exposure of this microhabitat to atmospheric turbulence. In contrast, the plant cover forms a natural boundary that might limit wind penetration into soil pores. These results emphasize that ventilation is a spatially heterogeneous process.

### Upscaling $F_s$ from the microhabitat to ecosystem

Our annual upscaled ecosystem- $F_s$  (175 ± 13 g C m<sup>-2</sup>) was closer to values with small ranges estimated in desert shrubs (Luo & Zhou, 2006)  $(224 \pm 38 \,\mathrm{g} \,\mathrm{C} \,\mathrm{m}^{-2})$ . Because our site is less arid, soil respiration could also be restricted here by cold, altitude or edaphic conditions. In particular, the cementing activity of calcium carbonate and iron oxides might protect a fraction of SOM from decomposition (Oyonarte et al., 1994).

Our annual estimate of ecosystem- $F_s$  exceeding ecosystem respiration  $(R_{eco})$  supports similar results pointing out issue(s) in the measurement or calculation of those fluxes, the causes of which are still a matter of debate (Barba et al., 2017). We acknowledge that our ecosystem- $F_s$  was potentially overestimated because of few spatial replicates; in particular, more replicates are necessary for bare soil and Genista sp. to validate results involving those microhabitats. However, there have been few annual estimates of  $F_s$  based on continuous measurements so far, and because temporal variation was 4–20 times greater than spatial inter-microhabitat variation (coefficients of variation of 21 and 40%, and 5 and 2% respectively; Table 1), we believe we have obtained a reliable estimate.

The strong divergence between ecosystem- $F_s$  and  $R_{\rm eco}$  during soil drying (Figure 5) and the unlikely almost zero values of  $R_{\rm eco}$  suggest that (i) the calculation of  $R_{\rm eco}$  might give too much importance to respiration controlled by temperature, neglecting the effect of  $\theta$  on CO<sub>2</sub> diffusion (although respiration diminishes with drought, diffusion is enhanced by more air-filled porosity), and (ii) carbonate precipitation favoured by the decrease in  $\theta$  could have maintained a substantial basal  $F_s$  during the dry season, and the use of temperature alone to model  $R_{\rm eco}$  might be inadequate to represent such an abiotic process. A better estimate of  $R_{\rm eco}$  and or ecosystem- $F_{\rm s}$ would enable aboveground  $R_a$  to be deduced from the difference between those fluxes. Chamber measurements and stable carbon isotope techniques could help to discriminate between  $R_a$ ,  $R_b$  and abiotic CO<sub>2</sub> production to improve the accuracy of flux partitioning.

### Conclusion

Soil water content  $(\theta)$  was overall the main factor controlling the dynamics of soil CO<sub>2</sub> molar fractions ( $\chi_s$ ). Soil temperature ( $T_s$ ) became the first limiting factor of  $\chi_s$  only during the inter-season, but the effect of  $\theta$  was still substantial. In addition, the strong interaction between  $T_s$  and  $\theta$  confirmed that models based on temperature alone are inappropriate in water-limited ecosystems. In particular, dry and hot intervals greatly enhanced the pulsed response of  $\chi_s$  to precipitation (Birch effect). The friction velocity was also identified in this study as a significant predictor of  $\chi_s$  dynamics. The microhabitat modulated the response of  $\chi_s$  to its main controlling factors identified. Our upscaling of  $F_s$  from the microhabitat to the ecosystem highlights a discrepancy between  $R_{eco}$ and ecosystem- $F_s$ . Continuous automated measurements greatly improved our understanding of the temporal patterns governing  $\chi_s$ and should now be used with greater spatial coverage in conjunction with chamber measurements and stable carbon isotope techniques to improve ecosystem CO<sub>2</sub> flux modelling and partitioning.

### **Supporting Information**

The following supporting information is available in the online version of this article:

**Text S1.** Principal component analysis.

Table S1. Eigenvalues and percentage variance accounted for by each principal component

Figure S1. Variable selection during principal component analysis on (a) the first principal component, (b) the second principal component and (c) the third principal component.

Figure S2. Correlations between the variables and PCs 1 and 2 plotted in a unit circle and (b) PC scores plotted in the plane of principal components 1 and 2.

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