

# Constant mean curvature hypersurfaces foliated by spheres\*

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Communicated by L. Vanhecke

Received 16 July 1998

Revised 19 October 1998

*Abstract:* We ask when a constant mean curvature  $n$ -submanifold foliated by spheres in one of the Euclidean, hyperbolic and Lorentz–Minkowski spaces ( $\mathbf{E}^{n+1}$ ,  $\mathbf{H}^{n+1}$  or  $\mathbf{L}^{n+1}$ ), is a hypersurface of revolution. In  $\mathbf{E}^{n+1}$  and  $\mathbf{L}^{n+1}$  we will assume that the spheres lie in parallel hyperplanes and in the case of hyperbolic space  $\mathbf{H}^{n+1}$ , the spheres will be contained in parallel horospheres. Finally, Riemann examples in  $\mathbf{L}^3$  are constructed, that is, non-rotational spacelike surfaces foliated by circles in parallel planes.

*Keywords:* Foliation, mean curvature, Aleksandrov reflection method.

*MS classification:* 53A10, 53C42.

## 1. Introduction and statements of results

In Euclidean 3-space  $\mathbf{E}^3$ , the only complete minimal surface of revolution is the catenoid. In particular, the catenoid is fibred by circles in parallel planes. There exist other minimal surfaces in  $\mathbf{E}^3$  foliated by circles in parallel planes which were discovered by Riemann [14]. A Riemann surface is a simply periodic embedded minimal surface that is described in terms of elliptic functions on a twice punctured rectangular torus. Its two ends are flat. Enneper [3] proved that catenoids and Riemann examples are the only minimal surfaces foliated by circles.

Nitsche found all surfaces with non-zero constant mean curvature in  $\mathbf{E}^3$  generated by a one-parameter family of circles. In [13], he proved that the surface must be a sphere or, in the non-spherical case, the circles must lie in parallel planes. In the latter case, the only possibilities are the surfaces of revolution determined in 1841 by Delaunay [2].

In the arbitrary dimension, Jagy studied minimal submanifolds in  $\mathbf{E}^{n+1}$ ,  $n \geq 3$ , generated by a one-parameter family of hyperspheres. He showed that the hyperplanes containing the hyperspheres are parallel again, but, in contrast to what happens in  $\mathbf{E}^3$ , the hypersurface must be rotationally symmetric with respect to an axis. In this situation, the hypersurface obtained is the higher catenoid.

In this article, we deal with (connected)  $n$ -dimensional submanifolds in three different ambients: Euclidean, hyperbolic and Lorentz–Minkowski  $(n + 1)$ -dimensional spaces. We shall

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\* Partially supported by a DGICYT Grant No. PB97-0785.

consider that the submanifolds are foliated by  $(n - 1)$ -hyperspheres in parallel hyperplanes (throughout this paper, hyperspheres will be called spheres for simplicity). More precisely:

1. Constant mean curvature  $n$ -submanifolds in Euclidean space  $\mathbf{E}^{n+1}$  foliated by spheres in parallel hyperplanes.
2. Constant mean curvature  $n$ -submanifolds in hyperbolic space  $\mathbf{H}^{n+1}$  foliated by spheres in parallel horospheres or parallel hyperplanes.
3. Constant mean curvature spacelike  $n$ -submanifolds in Lorentz–Minkowski space  $\mathbf{L}^{n+1}$  foliated by spheres in parallel spacelike hyperplanes.

The methods that we apply in our proofs are based on the following fact. Consider the Euclidean case. A smooth hypersurface  $M^n$  in  $\mathbf{E}^{n+1}$  can be written locally as the level set for a function. We orient  $M$  by the unit normal field  $N = -\nabla f/|\nabla f|$ . Then the mean curvature  $H$  of  $M$  is given by

$$nH = -\operatorname{div} \frac{\nabla f}{|\nabla f|},$$

where  $\operatorname{div}$  denotes the divergence of the unit normal field  $N$ . An easy computation gives us

$$nH |\nabla f|^3 = \Delta f |\nabla f|^2 - \operatorname{Hess} f(\nabla f, \nabla f), \tag{1}$$

where  $\nabla$ ,  $\Delta$  and  $\operatorname{Hess}$  denote the gradient, laplacian and hessian operators respectively computed with the Euclidean metric. The idea is to express in terms of  $f$  the property that  $M$  is ‘foliated by spheres in parallel hyperplanes.’ The explicit computation of (1) and the fact that  $H$  is constant will impose restrictions on  $f$  that will conclude our results. In hyperbolic and Lorentz–Minkowski spaces, the reasoning is similar.

It should be pointed out that up until now, the only known example of a maximal surface ( $H = 0$ ) in the Lorentz–Minkowski 3-space  $\mathbf{L}^3$  foliated by circles in parallel spacelike planes is the Lorentzian catenoid (see [7]). A major goal of this paper is the construction in  $\mathbf{L}^3$  of a family of non-rotational maximal surfaces foliated by circles in parallel spacelike planes (Theorem 4.1). Together with the Lorentzian catenoid, these new surfaces comprise all the maximal surfaces in  $\mathbf{L}^3$  foliated by circles in parallel spacelike planes. In this sense, these surfaces play the same role as Riemann minimal surfaces in Euclidean space  $\mathbf{E}^3$ . A different approach to the maximal spacelike surfaces in  $\mathbf{L}^3$  via the Weierstrass–Enneper representation has been studied in [8]. Finally, the case of spacelike surface with nonzero constant mean curvature and foliated by circles has been fully studied by the author [10, 11].

We can summarize the results obtained in the following way:

*Let  $X$  be one of the following  $(n + 1)$ -dimensional spaces: Euclidean space  $\mathbf{E}^{n+1}$ , hyperbolic space  $\mathbf{H}^{n+1}$  and Lorentz–Minkowski space  $\mathbf{L}^{n+1}$ . Let  $M^n$  be an  $n$ -dimensional submanifold in  $X$  of constant mean curvature  $H$ .*

1. *If  $X = \mathbf{E}^{n+1}$ ,  $n \geq 3$ ,  $H \neq 0$  and  $M$  is foliated by spheres in parallel hyperplanes, then  $M$  is a hypersurface of revolution (Theorem 2.1).*
2. *If  $X = \mathbf{H}^{n+1}$  and  $M$  is foliated by spheres in parallel horospheres, then  $M$  is a hypersurface of revolution (Theorem 3.3).*

3. If  $X = \mathbf{L}^{n+1}$  and  $M$  is foliated by spheres in parallel spacelike hyperplanes then (Theorem 4.1):

- (a)  $M$  is a hypersurface of revolution if  $H \neq 0$  or  $H = 0$  and  $n \geq 3$ ;
- (b) if  $H = 0$  and  $n = 2$ ,  $M$  is the Lorentzian catenoid or  $M$  belongs to a non-rotational one-parametric family of maximal surfaces.

*Added in proof.* We have just known the existence of reference [6] where part of our results are also studied.

## 2. Hypersurfaces in Euclidean space

Nitsche proved that a surface  $M$  of constant mean curvature  $H \neq 0$  in  $\mathbf{E}^3$  and foliated by circles in parallel planes must be a Delaunay surface [13]. We obtain this result in  $\mathbf{E}^{n+1}$ .

**Theorem 2.1.** *Let  $M^n$  be an  $n$ -dimensional submanifold of  $\mathbf{E}^{n+1}$  of constant mean curvature  $H \neq 0$  and foliated by spheres in parallel hyperplanes. Then  $M^n$  is a hypersurface of revolution.*

**Proof.** Without loss of generality, assume that each hyperplane of the foliation is parallel to  $x_{n+1} = 0$ . Let  $P_1 = \{x_{n+1} = t_1\}$  and  $P_2 = \{x_{n+1} = t_2\}$  be two hyperplanes of the foliation with  $t_1 < t_2$ . Consider  $M^*$  as the piece of  $M$  between  $P_1$  and  $P_2$ . We use the Aleksandrov reflection method in Euclidean space [1]. This method is based on the classical Hopf maximum principle [4], which stated that if two hypersurfaces with the same mean curvature are tangent at a common point  $p$  and one hypersurface (locally) lies by the side of the other one, then they agree in a neighbourhood of  $p$ .

The Aleksandrov method involves successive reflections across each family of parallel hyperplanes. A standard application of this technique with hyperplanes orthogonal to the foliation hyperplanes, shows that  $M^*$  inherits the symmetries of its boundary  $\partial M^* = (M^* \cap P_1) \cup (M^* \cap P_2)$  (see [9] for the three-dimensional case and [15] for the minimal case). Therefore, for each  $t_1 \leq t \leq t_2$ , the centers of each level  $M \cap \{x_{n+1} = t\}$  lie in the same 2-plane. After a translation, we can assume that this 2-plane is defined by  $x_2 = \dots = x_n = 0$ . Let us parametrize the centers of the spheres by  $t \mapsto (c(t), 0, \dots, 0, t)$ ,  $t \in [t_1, t_2]$ . Furthermore,  $M^*$  is the level set of a smooth function  $f$  given by

$$f = (x_1 - c(t))^2 + \sum_{i=2}^n x_i^2 - r(t)^2, \tag{2}$$

where  $r(t) > 0$  denotes the sphere radius at the level  $x_{n+1} = t$ . We shall prove that the line of the centers is a straight-line orthogonal to the hyperplane  $x_{n+1} = 0$ , that is,  $c$  is a constant map. This should show that  $M^*$  is a hypersurface of revolution.

We assume, by contradiction, that there is a sub-interval of  $[t_1, t_2]$  where  $c$  is not constant and so,  $c' \neq 0$ . Without loss of generality, we suppose this interval is  $[t_1, t_2]$ . Now, we use identity (1). Computations are the same as [5] and, for the sake of completeness, we repeat

them:

$$\begin{aligned} \nabla f &= 2(x_1 - c, x_2, \dots, x_n, -(x_1 - c)c' - rr'), \\ |\nabla f|^2 &= 4(r^2 + [(x_1 - c)c' + rr']^2), \\ \Delta f &= 2(n + c'^2 - r'^2 - rr'' - (x_1 - c)c''), \\ \text{Hess } f &= 2 \begin{pmatrix} 1 & 0 & \dots & -c' \\ 0 & 1 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ -c' & 0 & \dots & c'^2 - r'^2 - rr'' - (x_1 - c)c'' \end{pmatrix}, \\ \text{Hess } f(\nabla f, \nabla f) &= 8(r^2 + 2c'(x_1 - c)[(x_1 - c)c' + rr'] \\ &\quad + [(x_1 - c)c' + rr']^2[c'^2 - r'^2 - r'^2 - (x_1 - c)c'']). \end{aligned}$$

On the other hand, the left-hand side of (1) is

$$8nH(r^2 + [(x_1 - c)c' + rr']^2)^{3/2}.$$

Let us fix a section  $t$ . Since  $x_1$  is varied, we introduce the variable  $\lambda$  by

$$\lambda = \frac{(x_1 - c)c' + rr'}{r}. \tag{3}$$

Since  $c' \neq 0$  for each sphere of the foliation of  $M^*$ ,  $\lambda$  takes values in an interval of the line  $\mathbb{R}$ . By using (3), we regard identity (1) as a polynomial on  $\lambda$  where the coefficients are functions of the independent variable  $t$ . The right-hand side of (1) is a 2-degree polynomial  $a_0 + a_1\lambda + a_2\lambda^2$ :

$$8nHr(1 + \lambda^2)^{3/2} = a_0 + a_1\lambda + a_2\lambda^2. \tag{4}$$

Squaring (4) and examining the leader coefficients, we have  $n^2H^2r^2 = 0$ , which is a contradiction because  $H \neq 0$ . Therefore  $c'(t) = 0$ . Since  $t$  is arbitrary, then  $c$  is constant and so,  $M^*$  is a hypersurface of revolution. Since  $M^*$  is an arbitrary piece of  $M$ , then  $M$  is a hypersurface of revolution.  $\square$

### 3. Hypersurfaces in hyperbolic space

Let us consider the upper halfspace model of hyperbolic space

$$\mathbf{H}^{n+1} =: \mathbb{R}_+^{n+1} = \{x_1, \dots, x_{n+1}\} \in \mathbb{R}^{n+1}; x_{n+1} > 0\}$$

equipped with the metric

$$ds^2 = \frac{(dx_1)^2 + \dots + (dx_{n+1})^2}{x_{n+1}^2}.$$

Hyperbolic space  $\mathbf{H}^{n+1}$  has a natural compactification  $\overline{\mathbf{H}^{n+1}} = \mathbf{H}^{n+1} \cup \partial_\infty \mathbf{H}^{n+1}$ , where  $\partial_\infty \mathbf{H}^{n+1}$  can be identified with asymptotic classes of geodesic rays in  $\mathbf{H}^{n+1}$ . In the upper halfspace model,  $\partial_\infty \mathbf{H}^{n+1} = \{x_{n+1} = 0\} \cup \{\infty\}$  is the one-point compactification of the hyperplane  $x_{n+1} = 0$ .

We will deal with hypersurfaces foliated by spheres included either in horospheres or hyperplanes in two natural situations, which will be merely called *parallel horospheres* or *parallel hyperplanes*. For our own convenience, we give our definition.

**Definition 3.1.** A one-parameter family of horospheres or geodesic hyperplanes are called *parallel* if their asymptotic boundaries agree at exactly one point.

Since the asymptotic boundary of a horosphere is exactly one point, ‘parallel horospheres’ means that they have the same asymptotic boundary. By means of using an isometry of  $\mathbf{H}^{n+1}$ , one can describe a family of parallel horospheres as Euclidean hyperplanes in  $\{x_{n+1} > 0\}$  parallel to the hyperplane  $x_{n+1} = 0$  in the Euclidean sense. In the same way, a family of geodesic parallel hyperplanes can be viewed as Euclidean hyperplanes parallel to the hyperplane  $x_n = 0$ . Also, in our model for  $\mathbf{H}^{n+1}$ ,  $(n - 1)$ -spheres are simply Euclidean  $(n - 1)$ -spheres included in  $\mathbb{R}_+^{n+1}$

In the proofs, we will write a hypersurface  $M$  in hyperbolic space locally as the level set of a smooth function  $f$ . So, we need the analogous formula (1) to describe the mean curvature  $H$  of  $M$  in terms of  $f$ . In our model of  $\mathbf{H}^{n+1}$ , the hyperbolic metric is conformal with the Euclidean metric supported by  $\mathbb{R}_+^{n+1}$ . A straightforward computation gives us the relation between the mean curvatures of  $M$  with the two induced metrics.

**Lemma 3.2.** *Let  $M$  be an oriented hypersurface immersed in  $\mathbb{R}_+^{n+1}$  and let  $ds_0^2$  and  $ds^2$  be respectively the Euclidean and hyperbolic metrics on  $\mathbb{R}_+^{n+1}$ . Let  $N$  be a Gauss map for the immersion  $M \rightarrow (\mathbb{R}_+^{n+1}, ds_0^2)$  and consider the orientation on  $M \rightarrow (\mathbb{R}_+^{n+1}, ds^2)$  given by  $x_{n+1}N$ . Denote by  $h$  and  $H$  the mean curvatures of  $M$  for the immersion of  $M$  in  $(\mathbb{R}_+^{n+1}, ds_0^2)$  and  $(\mathbb{R}_+^{n+1}, ds^2)$  respectively. Then, for each  $p \in M$ ,*

$$H(p) = x_{n+1}(p)h(p) + N_{n+1}(p), \tag{5}$$

where  $N_{n+1}(p)$  denotes the  $x_{n+1}$ -coordinate of  $N(p)$

Equation (1) and relation (5) tells us that if  $M$  is a hypersurface in  $\mathbf{H}^{n+1}$  of constant mean curvature  $H$  given by the level set of  $f = 0$ , then

$$nH|\nabla f|^3 = nN_{n+1}|\nabla f|^3 + x_{n+1}(\Delta f|\nabla f|^2 - \text{Hess}f(\nabla f, \nabla f)), \tag{6}$$

where  $\nabla$ ,  $\Delta$  and Hess denote as (1).

We are in a position to study constant mean curvature submanifolds in  $\mathbf{H}^{n+1}$  foliated by spheres in parallel horospheres. In contrast with the Euclidean case (when  $H = 0$ ), the only possibility will be that the hypersurface is a rotational hypersurface with a geodesic as the axis of revolution.

**Theorem 3.3.** *Let  $M^n$  be an  $n$ -dimensional submanifold in  $\mathbf{H}^{n+1}$  of constant mean curvature and foliated by spheres in parallel horospheres. Then  $M$  is a hypersurface of revolution, that is, there exists a geodesic  $\gamma$  such that  $M$  is invariant by the group of isometries that leaves  $\gamma$  fixed pointwise.*

**Proof.** As we have pointed out, we can assume that the horospheres are Euclidean hyperplanes of  $\mathbb{R}_+^{n+1}$  parallel to the hyperplane  $x_{n+1} = 0$ . Consider  $M^*$  as a piece of  $M$  between two levels

$P_1 = \{x_{n+1} = t_1\}$  and  $P_2 = \{x_{n+1} = t_2\}$ ,  $t_1 < t_2$ . The Aleksandrov reflection can be applied in our case as in Theorem 2.1, where by reflections we mean *hyperbolic reflections* across totally geodesic hyperplanes. In our model for  $\mathbf{H}^{n+1}$ , these hyperbolic reflections are regarded as Euclidean reflections across vertical hyperplanes and Euclidean inversions with respect to spheres meeting orthogonally  $\partial_\infty \mathbf{H}^{n+1}$ . Therefore we can parametrize the Euclidean centers of spheres  $M^* \cap \{x_{n+1} = t\}$  by  $t \mapsto (c(t), 0, \dots, 0, t)$ , where  $r(t) > 0$  denotes the Euclidean radius for each  $t$ . Then the surface  $M^*$  is the level set for the same function  $f$  defined in (2).

We proceed by contradiction. So, we suppose that  $c' \neq 0$  in the interval  $[t_1, t_2]$ . The  $x_{n+1}$ -coordinate of the Gauss map  $N$  of  $M^* \subset \mathbf{E}^{n+1}$  is given by

$$N_{n+1} = -\frac{(x_1 - c)c' + rr'}{\sqrt{r^2 + [(x_1 - c)c' + rr']^2}}.$$

Let us fix the level  $x_{n+1} = t$ . By using (3), equation (6) can be written in the following way:

$$nrH(1 + \lambda^2)^{3/2} = nr\lambda(1 + \lambda^2) + x_{n+1}(a_0 + a_1\lambda + a_2\lambda^2), \tag{7}$$

where  $a_i$  are coefficients that do not depend on  $\lambda$ . The right-hand side in (7) is a 3-degree polynomial:  $b_0 + b_1\lambda + b_2\lambda^2 + b_3\lambda^3$ . Squaring both sides in (7), the identity of the leader coefficients gives

$$n^2r^2H^2 = n^2r^2.$$

Thus  $H^2 = 1$ . Since the square of the left-hand side in (7) is a polynomial with non odd terms in  $\lambda$ , the coefficients of  $\lambda^5$  and  $\lambda^3$  vanish on the right-hand side. The 5-degree coefficient yields  $b_2b_3 = 0$ . Since  $b_3 = n^2r^2 \neq 0$ , then  $b_2 = 0$ . Now, the  $\lambda^3$ -term gives  $b_0b_3 = 0$  and then,  $b_0 = 0$ . However  $H^2 = 1$  and the  $\lambda^0$ -term on the left-hand side of (7) is  $n^2r^2H^2 = n^2r^2 \neq 0$ . This contradiction leads to  $c' = 0$  on  $[t_1, t_2]$ , that is,  $c$  is constant. Therefore  $M$  is a hypersurface of revolution with the geodesic  $\gamma(t) = (c, 0, \dots, 0, t)$  being the rotation axis.  $\square$

The second part of this section is concerned with submanifolds in  $\mathbf{H}^{n+1}$  foliated by spheres in parallel geodesic hyperplanes. Let  $M$  be a  $n$ -submanifold of constant mean curvature in  $\mathbf{H}^{n+1}$ . By means of using an isometry of the ambient, we suppose that the foliation of  $M$  is given by hyperplanes parallel to  $x_n = 0$ . As in Theorem 3.3, we pick a piece of  $M$  denoted as  $M^*$  between two hyperplanes  $P_1, P_2$  of the foliation. In this situation, it is not possible to use Aleksandrov technique to show that the centers of the spheres of the foliation lie in a 2-plane: there does not exist a family of parallel geodesic hyperplanes orthogonal to *both* hyperplanes  $P_1$  and  $P_2$ . One case where the Aleksandrov technique works is when for each  $2 \leq i \leq n$ ,  $(M^* \cap P_1) \cup (M^* \cap P_2)$  is invariant under some hyperbolic reflection across a geodesic hyperplane parallel to  $x_i = 0$ . In this case, Aleksandrov method proves that the line of the sphere centers of the foliation lies in a 2-plane of  $\mathbf{H}^{n+1}$ .

**Theorem 3.4.** *Let  $M^n$  be an  $n$ -dimensional submanifold in  $\mathbf{H}^{n+1}$  of constant mean curvature and foliated by spheres in parallel geodesic hyperplanes. Assume there exist two geodesic hyperplanes  $P_1$  and  $P_2$  of the foliation such that  $(M \cap P_1) \cup (M \cap P_2)$  is invariant under hyperbolic reflections across  $n - 1$  orthogonal geodesic hyperplanes and all them orthogonal to  $P_1 \cup P_2$  as well. Then  $M$  is a totally umbilical hypersurface.*

**Proof.** We take  $M^*$  the piece of  $M$  between the two geodesic hyperplanes containing the two spheres of the hypothesis. By means of an isometry, we assume the centers of the spheres that foliate  $M^*$  can be parametrized by

$$\gamma(t) = (0, \dots, 0, t, c(t)).$$

Then  $M^*$  is the level set of

$$f = \sum_{i=1}^{n-1} x_i^2 + [x_{n+1} - c(t)]^2 - r(t)^2 = 0,$$

where  $c(t)$  and  $r(t)$  denote as in the proof of Theorem 2.1. By contradiction, let us assume that  $c' \neq 0$  on  $[t_1, t_2]$ . Again let us fix a level  $t$  of the foliation and let

$$\lambda = \frac{(x_{n+1} - c)c' + rr'}{r}. \tag{8}$$

A computation of identity (6) becomes the polynomial equation on  $\lambda$ :

$$\begin{aligned} nrH(1 + \lambda^2)^{3/2} &= -\frac{nr(\lambda - r')}{c'}(1 + \lambda^2) + x_{n+1}(d_0 + d_1\lambda + d_2\lambda^2) \\ &= e_0 + e_1\lambda + e_2\lambda^2 + e_3\lambda^3. \end{aligned} \tag{9}$$

It is easy to check that

$$d_0 = n - 1 + c'^2 - r'^2 - rr'' - rc'', \quad d_1 = 2r' - \frac{rc''}{c'}, \quad d_2 = n - 2.$$

Notice that from (8)

$$x_{n+1} = \frac{r}{c'}(\lambda - r') + c.$$

Squaring (9), the equality of the  $\lambda^6$ -terms gives

$$n^2H^2 = \frac{4}{c'^2}. \tag{10}$$

In particular,  $e_3 \neq 0$ . As in Theorem 2.1, all odd terms of the polynomial  $(e_0 + e_1\lambda + e_2\lambda^2 + e_3\lambda^3)^2$  are zero. Thus  $e_0 = e_2 = 0$ . If we regard the square of the left-hand side in (9) and by considering the independent term, we obtain  $n^2r^2H^2 = 0$ , in contradiction with (10).

As a conclusion,  $c$  is constant, that is,  $\gamma$  is a horizontal Euclidean straight-line. Returning to (6) and putting  $c' = 0$ , we have

$$nHr(1 + r'^2)^{3/2} = (-rr'' - 1 - r'^2)x_{n+1} + nc(1 + r'^2).$$

Consider that  $x_{n+1}$  varies in this identity. Then the radius  $r = r(t)$  satisfies the next two differential equations:

$$rr'' + 1 + r'^2 = 0, \tag{11}$$

$$Hr\sqrt{1 + r'^2} = c. \tag{12}$$

Each solution of (12) verifies (11) and the solutions of (11) are circles. Therefore, if we look at  $M^*$  as a subset of  $\mathbb{R}_+^{n+1}$ , then  $M^*$  is an open set of an  $n$ -dimensional Euclidean sphere. From the hyperbolic viewpoint, this  $n$ -sphere is an umbilical hypersurface of  $\mathbf{H}^{n+1}$  and hence,  $M$  is a totally umbilical hypersurface.  $\square$

#### 4. Hypersurfaces in Lorentz–Minkowski space

Let  $\mathbf{L}^{n+1}$  denote the  $(n + 1)$ -dimensional Lorentz–Minkowski space, that is, the space  $\mathbb{R}^{n+1}$  endowed with the Lorentzian metric

$$\langle \cdot, \cdot \rangle = (dx_1)^2 + \dots + (dx_n)^2 - (dx_{n+1})^2,$$

where  $(x_1, \dots, x_{n+1})$  are the canonical coordinates in  $\mathbb{R}^{n+1}$ . An  $M$  hypersurface immersed in  $\mathbf{L}^{n+1}$  is *spacelike* if the induced metric is a Riemannian metric on  $M$ . When the hypersurface is (locally) the level set of  $f = 0$ , and the fact  $M$  is spacelike means that  $\nabla f$  is a vector orthogonal to  $M$  of timelike character:

$$\langle \nabla f, \nabla f \rangle < 0. \tag{13}$$

Let us orient  $M$  by the unit normal field  $N = -\nabla f/|\nabla f|$ , where

$$|\nabla f| = \sqrt{-\langle \nabla f, \nabla f \rangle} = \sqrt{-\sum_{i=1}^n f_i^2 + f_{n+1}^2}.$$

Here  $f_j$  denotes the partial derivative of the function  $f = f(x_1, \dots, x_{n+1})$  with respect to the  $x_j$ -coordinate. Now, if  $H$  is the mean curvature calculated with this orientation, then

$$nH = -\text{Div} \frac{\nabla f}{|\nabla f|},$$

where Div denotes the divergence with the Lorentzian metric. A straightforward computation gives

$$nH|\nabla f|^3 = \langle \nabla f, \nabla f \rangle \Delta f - \text{Hess} f(\nabla f, \nabla f), \tag{14}$$

with

$$\nabla f = (f_1, \dots, f_n, -f_{n+1}),$$

$$\Delta f = \sum_{i=1}^n f_{i,i} - f_{n+1,n+1},$$

$$\text{Hess} f(\nabla f, \nabla f) = \sum_{i,j=1}^n f_i f_j f_{i,j}.$$

In the present section we study constant mean curvature spacelike hypersurfaces in Lorentz–Minkowski space  $\mathbf{L}^{n+1}$  foliated by spheres in parallel spacelike hyperplanes. After using a Lorentz transformation, we can assume that these hyperplanes are parallel to the hyperplane  $x_{n+1} = 0$ . In this case, these spheres can be viewed as Euclidean spheres in horizontal hyperplanes.



**Theorem 4.1.** *Let  $M^n$  be a spacelike  $n$ -dimensional submanifold in  $\mathbf{L}^{n+1}$  of constant mean curvature  $H$  and foliated by spheres in parallel spacelike hyperplanes.*

1. *If  $H \neq 0$ , then  $M$  is a hypersurface of revolution.*

2. *If  $H = 0$  and*

(a)  *$n \geq 3$ , then  $M$  is a hypersurface of revolution.*

(b)  *$n = 2$ , then  $M$  is a surface of revolution or  $M$  belongs to a one-parameter family of non-rotational maximal surfaces.*

**Proof.** A spacelike hypersurface of constant mean curvature in  $\mathbf{L}^{n+1}$  satisfies (locally) an elliptic equation to which we can apply the classical maximum principle (see, for instance, [16]). So, the Aleksandrov technique works in our situation. Let  $M^*$  be an arbitrary piece of  $M$  between two hyperplanes of the foliation:  $x_{n+1} = t_1, x_{n+1} = t_2, t_1 < t_2$ . Again, we apply the Aleksandrov reflection method by hyperplanes orthogonal to  $x_{n+1} = 0$  as in Theorem 2.1. Reflection across hyperplanes of this kind are Euclidean reflections. Then the centers of spheres can be parametrized by  $(c(t), 0, \dots, t), t \in [t_1, t_2]$ . To show that  $M$  is a hypersurface of revolution, it suffices to prove that  $c$  is a constant function.

By contradiction, assume that  $c' \neq 0$  on  $[t_1, t_2]$ . Since the spheres of the foliation are Euclidean spheres, we take the same function  $f$  as in (2). Let us fix  $t$  with the variable  $\lambda$  as (3). Identity (14) gives

$$nrH(-1 + \lambda^2)^{3/2} = g_0 + g_1\lambda + g_2\lambda^2,$$

where the coefficients  $g_i$  are functions on  $t$ . Squaring this identity, the leader coefficients give  $H = 0$ . Therefore, if  $H \neq 0$ , we obtain a contradiction unless  $c' = 0$ . In this case,  $M$  is a hypersurface of revolution and we have proved 1.

Now let us study the maximal case  $H = 0$ . Computing the right-hand side of (14), we have

$$\left(n - 1 + r'^2 - c'^2 + rr'' - \frac{rr'c''}{c'}\right) + \left(\frac{rc''}{c'} - 2r'\right)\lambda + (2 - n)\lambda^2 = 0. \tag{15}$$

The 2-degree term in (15) gives  $g_2 = n - 2 = 0$ . Therefore, if  $n \geq 3, c'$  must vanish and  $M$  is a hypersurface of revolution again. This proves 2(a). Let us consider the case  $n = 2$ . The  $\lambda^1$  and  $\lambda^0$ -terms in (15) give

$$g_1 = \frac{rc''}{c'} - 2r' = 0,$$

$$g_0 = 1 + r'^2 - c'^2 + rr'' - \frac{rr'c''}{c'} = 0.$$

We simplify the above equations by

$$rc'' - 2r'c' = 0, \tag{16}$$

$$1 - r'^2 + rr'' - c'^2 = 0. \tag{17}$$

A first integral of (16) is given by

$$c' = ar^2 \tag{18}$$

for a positive constant  $a \in \mathbb{R}$ . Substituting in (17), it follows that

$$1 - r'^2 + rr'' - a^2r^4 = 0. \quad (19)$$

Let us integrate equation (19) by a similar approach to that given in [12, p. 87]. Consider  $x = r^2$  and  $y = (r^2)'$  as the new dependent and independent variables. A straightforward computation gives

$$(r^2)' = 2r\sqrt{a^2r^4 + 2br^2 + 1},$$

for a constant  $b \in \mathbb{R}$ . Thus

$$\frac{dt}{dr} = \frac{1}{\sqrt{a^2r^4 + 2br^2 + 1}},$$

and (18) becomes

$$c(u) = a \int^u \frac{u^2}{\sqrt{a^2u^4 + 2bu^2 + 1}} du. \quad (20)$$

In this way, the parametrization obtained is:

$$x(u, \theta) = a \int^u \frac{u^2}{\sqrt{a^2u^4 + 2bu^2 + 1}} du + u \cos \theta,$$

$$y(u, \theta) = u \sin \theta,$$

$$z(u, \theta) = \int^u \frac{du}{\sqrt{a^2u^4 + 2bu^2 + 1}}.$$

The integrals that appear in this parametrization come determined in terms of elliptic integrals.

We end Theorem 4.1 by presenting two examples.

**Example 1.** Firstly, we give the degenerate case by setting  $a = 0$ . The surface obtained is the *Lorentzian catenoid* and its parametrization is

$$x(u, \theta) = u \cos \theta,$$

$$y(u, \theta) = u \sin \theta,$$

$$z(u, \theta) = \frac{1}{\sqrt{2b}} \operatorname{arcsinh}(\sqrt{2b}u).$$

This surface is rotational and it is generated by the rotation of the curve  $(2b^{-1/2} \sinh(\sqrt{2b}u), 0, u)$  with respect to the  $x_3$ -axis (see Figure 1). The Lorentzian catenoid is the only maximal spacelike surface of revolution in  $\mathbf{L}^3$  with respect to a timelike rotation axis ([7]).

**Example 2.** To give another example, we put  $a = c = 1$ . The parametrization of the corresponding surface  $M$  is

$$x(u, \theta) = u - \arctan u + u \cos \theta,$$

$$y(u, \theta) = u \sin \theta,$$

$$z(u, \theta) = \arctan u, \quad u \in (0, \infty), \theta \in \mathbb{R},$$

and its picture appears in Figure 2. This surface  $M$  is asymptotic to the plane  $z = \pi/2$ , that is, at this height,  $M$  has a flat end. Moreover the circles of the foliation converge to the straight-line  $L_1 = \{x = -\pi/2, z = \pi/2\}$  as  $u \rightarrow \infty$ : for each point  $(-\pi/2, y, \pi/2) \in L_1$ , it suffices to take the sequence  $\{u, \pi - y/u\}$  to prove that

$$\lim_{u \rightarrow \infty} \left( x\left(u, \pi - \frac{y}{u}\right), y\left(u, \pi - \frac{y}{u}\right), z\left(u, \pi - \frac{y}{u}\right) \right) = \left( -\frac{\pi}{2}, y, \frac{\pi}{2} \right).$$

Thus, the reflection principle yields a new maximal surface by reflecting  $M$  across  $L_1$ .

If we consider the minus sign in (20), we obtain a surface  $M'$  that is congruent to  $M$ . More precisely,  $M'$  is the reflection of  $M$  across the origin. Denote  $M^* = M \cup M'$  (see Figure 3). This surface lies in the slab  $|z| < \pi/2$ , with two flat ends at  $\{z = \pm\pi/2\}$  and one singularity at the origin. In fact, the surface  $M$  is a fundamental domain of a simply periodic embedded maximal surface  $\tilde{M}$  in  $\mathbf{L}^3$  obtained by successive  $180^\circ$ -rotations across the straight-lines

$$L_n = \left\{ x = \left(n - \frac{1}{2}\right)\pi, z = \left(n - \frac{1}{2}\right)\pi \right\}, \quad n \in \mathbb{Z}.$$

The properties of  $\tilde{M}$  are summarized as follows:

- $\tilde{M}$  intersects horizontal planes in lines at integer heights:

$$\tilde{M} \cap \{z = (n - \frac{1}{2})\pi\} = L_n, \quad n \in \mathbb{Z}.$$

- $\tilde{M}$  has flat ends at  $z = (n - \frac{1}{2})\pi, n \in \mathbb{Z}$ .
- $\tilde{M}$  is invariant under translations of the vector  $(-\pi, 0, \pi)$  and under reflections across the lines  $L_n$ .
- $\tilde{M}$  presents singularities at the points  $\{(-n\pi, 0, n\pi); n \in \mathbb{Z}\}$ . □

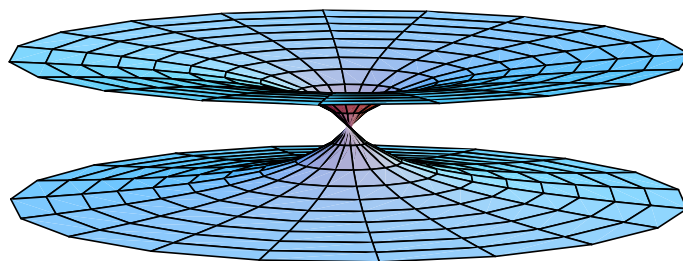


Figure 1. The Lorentzian catenoid

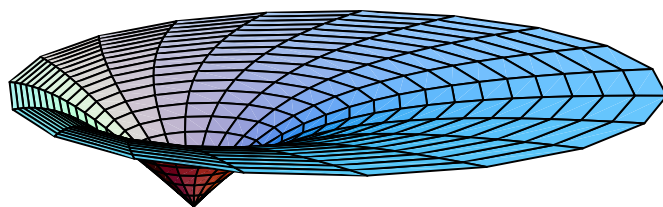


Figure 2. A piece  $M$  of a Riemann Lorentzian example

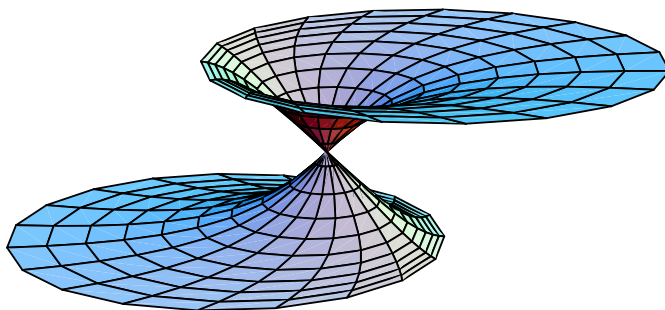


Figure 3. The Riemann Lorentzian example  $M^* = M \cup M'$

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