

# Primary decomposition of powers of prime ideals for numerical semigroups

Ralf Fröberg

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## General commutative algebra

An ideal  $P$  in a ring  $R$  is prime if  $xy \in P$  implies that  $x$  or  $y$  is in  $P$ . Equivalently,  $P$  is prime if and only if  $R/P$  is a domain ( $\bar{x} \cdot \bar{y} = \bar{0}$  implies  $\bar{x} = \bar{0}$  or  $\bar{y} = \bar{0}$ ). An ideal  $Q$  is primary if  $xy \in Q$ ,  $x \notin Q$  implies  $y^n \in Q$  for some  $n > 0$ . Equivalently, every zerodivisor in  $R/Q$  is nilpotent ( $\bar{x} \cdot \bar{y} = \bar{0}$ ,  $\bar{x} \neq \bar{0}$  implies  $(\bar{y})^n = \bar{0}$  for some  $n > 0$ ). If  $Q$  is primary, then the radical  $\sqrt{Q} = \{x; x^n \in Q \text{ for some } n > 0\}$  is a prime  $P$ , one says that  $Q$  is  $P$ -primary.

If  $R$  is Noetherian (such as a polynomial ring  $k[x_1, \dots, x_n]$  or a power series ring  $k[[x_1, \dots, x_n]]$  over a field  $k$ ), then every ideal  $I$  is an irredundant intersection of primary ideals,  $I = Q_1 \cap \dots \cap Q_s$ ,  $Q_i$   $P_i$ -primary, where the  $P_i$ 's are different and unique. If  $P$  is a maximal ideal, then  $P$  is prime and  $P^n$  are  $P$ -primary for all  $n > 0$ . The primary ideals belonging to minimal primes in  $\{P_i\}$  are unique. If  $I$  is a graded ideal (generated by homogeneous elements) in  $k[x_1, \dots, x_n]$ , then the primary ideal belonging to minimal primes are graded, and the remaining (embedded) can be chosen to be graded. If  $P$  is a prime ideal, it is no longer true that  $P^n$  must be  $P$ -primary,  $P^n$  may have embedded components.

## A bit more special commutative algebra

If  $I$  is an ideal in a Noetherian ring  $R$ , then the subring  $R(I) = R[It, I^2t^2, I^3t^3, \dots]$  of  $R[t]$  is called the Rees ring of  $I$ . This was introduced by Rees, who showed that  $R(I)$  is Noetherian (so  $R(I) = R[It, I^2t^2, \dots, I^nt^n]$  for some  $n$ ), in his proof of the Artin-Rees lemma. If  $P$  is a prime ideal, then the primary decomposition of  $P^n$  always contains a  $P$ -primary component, it is  $P^{(n)} = P^n R_P \cap R$ , and it is called the symbolic  $n$ th power of  $P$ . It is easy to see that  $P^n \subseteq P^{(n)}$ .

Cowsik asked if the symbolic Rees algebra  $R_s(P) = R[Pt, P^{(2)}t^2, P^{(3)}t^3, \dots]$  always is Noetherian. This was shown not to be true by Roberts. There are even counterexamples when  $R = k[t^{n_1}, t^{n_2}, t^{n_3}] = k[x, y, z]/P$ . Goto-Nishida-Watanabe showed that for  $n \geq 4$  then  $k[t^{7n-3}, t^{(5n-2)n}, t^{8n-3}]$  does not have a finitely generated symbolic Rees algebra if  $\text{char}(k) = 0$ . The smallest counterexample is  $k[t^{25}, t^{72}, t^{29}] = k[x, y, z]/(x^{11} - yz^7, y^3 - x^4z^4, z^{11} - x^7y^2)$ .

# Numerical semigroup rings

If  $R = k[t^{n_1}, \dots, t^{n_s}]$ , we map  $k[x_1, \dots, x_s]$  into  $k[t]$ , by  $x_i \mapsto t^{n_i}$ . Then  $R \simeq k[x_1, \dots, x_s]/P$ , and  $P$  is a prime ideal since  $R$  is a domain. In the case of numerical semigroup rings,  $R$  is 1-dimensional, so  $P^{(n)} = P^n$  or  $P^{(n)} \cap Q$ , where  $Q$  is  $(x_1, \dots, x_s)$ -primary.

Hochster has shown that if  $k[x_1, \dots, x_s]/P$  is a complete intersection, then  $k[x_1, \dots, x_s]/P^n$  is a Cohen-Macaulay ring. Thus, if the semigroup ring is a complete intersection, then  $P^{(n)} = P^n$ , since a Cohen-Macaulay ring has no embedded components. Thus, in this case  $R_S(P) = R(P)$  is Noetherian and  $P^n$  is the primary decomposition of  $P^n$ . Huneke has shown that if  $P^{(n)} = P^n$  if  $n \gg 0$ , then  $P$  is a complete intersection.

## 3-generated numerical semigroups

In the sequel we mean numerical semigroup when we write semigroup. If the semigroup is generated by 3 elements, and is not a complete intersection, then  $R = k[t^{n_1}, t^{n_2}, t^{n_3}] \simeq k[x, y, z]/P$  where  $P$  is generated by the three  $2 \times 2$ -subdeterminants of a matrix (the relation matrix)

$$\begin{pmatrix} x^{a_1} & y^{b_1} & z^{c_1} \\ z^{c_2} & x^{a_2} & y^{b_2} \end{pmatrix}.$$



Herzog and Ulrich has shown that  $R_s(P) = R[Pt, P^{(2)}t^2]$  if and only if  $a_1 = a_2, b_1 \leq b_2, c_1 \geq c_2$  (or a permutation). Huneke has shown that if  $P$  is a 2-dimensional prime in a 3-dimensional ring, then  $P^{(2)}/P^2$  is generated by one element  $\Delta$ .

Schenzel has, in the case of a 3-generated semigroup, determined  $\Delta$ . The result depends on whether  $R_s(P) = R[Pt, P^{(2)}t^2]$  or not. If  $R_s(P) = R[Pt, P^{(2)}t^2]$ , then if  $a_1 \leq a_2, b_1 \geq b_2, c_1 \geq c_2$ .

$$\Delta = \begin{vmatrix} x^{a_1} & y^{b_1} & z^{c_1} \\ z^{c_2} & x^{a_2} & y^{b_2} \\ y^{b_1} & x^{a_2 - a_1} y^{b_1 - b_2} z^{c_2} & y^{a_1} z^{c_1 - c_2} \end{vmatrix}.$$

He also showed that  $(x, y, z)\Delta \in P^2$ .

In the other case,  $a_1 > a_2, b_1 > b_2, c_1 > c_2$ , there is a similar result:

$$\Delta = \begin{vmatrix} x^{a_1} & y^{b_1} & z^{c_1} \\ z^{c_2} & x^{a_2} & y^{b_2} \\ x^{a_1-a_2} & y^{b_1-b_2} z^{c_1} & x^{a_1} z^{c_1-c_2} \end{vmatrix}$$

and  $(x, y, z)\Delta \in P^2$ .

# Theorem

Suppose that  $R = k[t^a, t^b, t^c] = k[x, y, z]/P$  is not a complete intersection. Then  $P^2 = ((\Delta) + P^2) \cap ((z) + P^2)$  is a primary decomposition. If furthermore  $R_s(P) = R[Pt, P^{(2)}t^2]$ , then  $P^{2n} = (P^{(2)})^n \cap ((z^n) + P^{2n})$  and  $P^{2n+1} = P(P^{(2)})^n \cap ((z^n) + P^{2n})$ .

**Proof** Since  $P^{(2)} = (\Delta) + P^2$  and since  $(z) + P^2$  is  $(x, y, z)$ -primary, it suffices to note that  $(z) \cap (\Delta) \subseteq P^2$  to see the first statement. If  $R_s(P) = R[Pt, P^{(2)}t^2]$ , then  $P^{(2n)} = \sum_{i=0}^n (P^{(2)})^i P^{2n-2i} = (P^{(2)})^n$  since  $P^2 \subseteq P^{(2)}$ . In the same way we see that  $P^{(2n+1)} = PP^{(2n)}$ . Finally  $(z^n) \cap P^{(2n)} = (z^n) \cap ((\Delta) + P^2)^n \subseteq P^{2n}$  since  $z\Delta \subseteq P^2$ .

The remaining part is a search for examples when  
 $R_s(P) = R[Pt, P^{(2)}t^2]$ .

# Arithmetic sequences

Now suppose that the semigroup is generated by  $m, m + d, m + 2d$ ,  $\gcd(m, m + d, m + 2d) = 1$ . The semigroup is symmetric (so the semigroup ring is a complete intersection) if  $m$  is even and  $d$  odd. Otherwise the relation matrix is

$$\begin{pmatrix} x^{k+d} & y & z \\ z^k & x & y \end{pmatrix}.$$

Thus  $R_S(P) = R[Pt, P^{(2)}t^2]$ .

## Semigroups generated by $a < b < c$ , $c - a \leq 4$

If the semigroup is not generated by an arithmetic sequence, the generators are  $m, m + 1, m + 3$  or  $m, m + 1, m + 4$  or  $m, m + 2, m + 3$  or  $m, m + 3, m + 4$ ,

If the semigroup is generated by  $m, m + 1, m + 3$ , it is symmetric if  $m \equiv 0 \pmod{3}$ . If  $m = 3k + 1$  the relation matrix is

$$\begin{pmatrix} x^k & y & z \\ z^k & x^2 & y^2 \end{pmatrix}$$

and  $R_s(P) \neq R[Pt, P^{(2)}t^2]$  for all  $k$ .



If  $m = 3k + 2$ ,  $k \geq 2$ , the relation matrix is

$$\begin{pmatrix} x^{k+1} & y^2 & z \\ z^k & x^2 & y \end{pmatrix}$$

and  $R_s(P) \neq R[Pt, P^{(2)}t^2]$ .

For  $m = 5$  the relation matrix is

$$\begin{pmatrix} x^2 & y^2 & z \\ z & x^3 & y \end{pmatrix}$$

and  $R_s(P) = R[Pt, P^{(2)}t^2]$ .

If the semigroup is generated by  $m, m + 1, m + 4$ , it is symmetric if  $m \equiv 0 \pmod{4}$  (and if  $m = 5$ ).

If  $m = 4k + 1, k \geq 2$ , the relation matrix is

$$\begin{pmatrix} x^{k-1} & y & z \\ z^k & x^3 & y^3 \end{pmatrix}$$

and  $R_s(P) \neq R[Pt, P^{(2)}t^2]$ .

If  $m = 4k + 2$ ,  $k \geq 2$ , the relation matrix is

$$\begin{pmatrix} x^k & y^2 & z \\ z^k & x^3 & y^2 \end{pmatrix}$$

and  $R_s(P) = R[Pt, P^{(2)}t^2]$  for all  $k \geq 3$ .

If  $m = 4k + 3$  the relation matrix is

$$\begin{pmatrix} x^{k+1} & y^3 & z \\ z^k & x^3 & y \end{pmatrix}$$

and  $R_s(P) = R[Pt, P^{(2)}t^2]$  only if  $k = 1$  or  $k = 2$ .

If the semigroup is generated by  $m, m + 2, m + 3$ , it is symmetric if  $m \equiv 0 \pmod{3}$  (and if  $m = 4$ ).

If  $m = 3k + 1$  the relation matrix is

$$\begin{pmatrix} x^{k+1} & y^2 & z^2 \\ z^{k-1} & x & y \end{pmatrix}$$

and  $R_s(P) \neq R[Pt, P^{(2)}t^2]$ .

If  $m = 3k + 2$  the relation matrix is

$$\begin{pmatrix} x^k & y^2 & z^2 \\ z^k & x & y \end{pmatrix}$$

and  $R_s(P) \neq R[Pt, P^{(2)}t^2]$  for all  $k$ .

If the semigroup is generated by  $m, m + 3, m + 4$ , it is symmetric if  $m \equiv 0 \pmod{4}$  (and if  $m = 6$  or  $m = 9$ ).



If  $m = 4k + 1$ ,  $k \geq 2$ , the relation matrix is

$$\begin{pmatrix} x^{k+1} & y^3 & z^3 \\ z^{k-1} & x & y \end{pmatrix}$$

and  $R_s(P) \neq R[Pt, P^{(2)}t^2]$ .

If  $m = 4k + 2$ ,  $k \geq 2$ , the relation matrix is

$$\begin{pmatrix} x^{k+1} & y^2 & z^3 \\ z^{k-1} & x & y^2 \end{pmatrix}$$

and  $R_s(P) = R[Pt, P^{(2)}t^2]$  if and only if  $k \geq 4$ .

If  $m = 4k + 3$  the relation matrix is

$$\begin{pmatrix} x^{k+1} & y & z^3 \\ z^k & x & y^3 \end{pmatrix}$$

and  $R_s(P) = R[Pt, P^{(2)}t^2]$  only if  $k = 3$ .

# Theorem

*If the semigroup is generated by  $a < b < c$ ,  $c - a \leq 4$ , not symmetric, and  $a, b, c$  not an arithmetic sequence, then  $R_s(P) = R[Pt, P^{(2)}t^2]$  if and only if the generators are 5,6,8 or 15,18,19 or 7,10,11 or 11,14,15 or  $4k + 2, 4k + 3, 4k + 6$ ,  $k \geq 3$ , or  $4k + 2, 4k + 5, 4k + 6$ ,  $k \geq 4$ .*

## Semigroups of multiplicity 3

Suppose that the semigroup is generated by  $3, 3k + 1, 3l + 2$ . In order to have a 3-generated semigroup we must have  $l \leq 2k$  and  $k \leq 2l + 1$ . The semigroup is never symmetric. The relation matrix is

$$\begin{pmatrix} x^{2l-k+1} & y & z \\ z & x^{2k-l} & y \end{pmatrix}$$

and  $R_s(P) = R[Pt, P^{(2)}t^2]$ .

## Semigroups of multiplicity 4

If a 3-generated semigroup has multiplicity 4 and is not symmetric, it has generators  $4, 4k + 1, 4l + 3$ . If  $k > l$  the relation matrix is

$$\begin{pmatrix} x^{3l-k+2} & y & z^2 \\ z & x^{2k-2l-1} & y \end{pmatrix}$$

and  $R_s(P) = R[Pt, P^{(2)}t^2]$  if and only if  $5l - 3k + 3 \leq 0$ . If  $k \leq l$  the relation matrix is

$$\begin{pmatrix} x^{2l-2k+1} & y & z \\ z & x^{3k-l} & y^2 \end{pmatrix}$$

and  $R_s(P) = R[Pt, P^{(2)}t^2]$  if and only if  $3l - 5k + 1 \geq 0$ .

# Theorem

*If the semigroup is 3-generated and has multiplicity 3, then  $R_s(P) = R[Pt, P^{(2)}t^2]$ . If the multiplicity is 4 and not symmetric, it is generated by  $4, 4k + 1, 4l + 3$ , and  $R_s(P) = R[Pt, P^{(2)}t^2]$  if and only if  $k > l$  and  $5l - 3k + 3 \leq 0$  or if  $k \leq l$  and  $3l - 5k + 1 \geq 0$ .*